

# **National Data Archive on Child Abuse and Neglect**

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## **NIS-3 Public Use Files Manual Errata**

- 1.** Section 1.6 lists the Contents of the NIS-3 Manual. Please note that the manual does not include frequency distributions for either nis3main or n3cps data files. Frequencies for these files may be viewed, printed and downloaded from our web site (<http://www.ndacan.cornell.edu>).
- 2.** The variable DUPGRP in the Main Public Use File is a character variable, not numeric as listed on page 2-21.
- 3.** Part 4 of the NIS-3 Manual discusses the NIS-3 Final Estimate Tables. These estimates can be found in the appendices A,B,E, and F of the final report and are provided to users in electronic format with data orders. The file names listed on page 4-1 do not match the names of the files being distributed. There are four Microsoft Excel files which we distribute: app-a-n3.xls, app-b-n3.xls, app-e-n2.xls and app-f-n2.xls. The first two files, (app-a-n3.xls and app-b-n3.xls) contain the tables provided in Appendices A and B of the NIS-3 Final Report. app-a-n3.xls contains the Harm Standard Estimates, while app-b-n3.xls contains the Endangerment Standard Estimates. The Excel files app-e-n2.xls and app-f-n2.xls contain parallel estimates from NIS-2. The file app-e-n2.xls contains supplementary Harm Standard Estimates for the NIS-2, while app-f-n2.xls contains supplementary Endangerment Standard Estimates for the NIS-2.
- 4.** Section 5.4 of the NIS-3 Manual demonstrates how to obtain overall rates with the NIS-3 Main Public Use File. The following correction applies to the instructions in that section. After choosing the appropriate data file and clicking OK, you should select DISCRETE, not NEW as indicated on page 5-6. Section 5.5 requires the same correction, on page 5-10.

**THIRD NATIONAL INCIDENCE STUDY  
OF CHILD ABUSE AND NEGLECT  
(NIS-3)**

**PUBLIC USE FILES  
MANUAL**

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For copies of the NIS-3 Final Report, its separately-bound appendices, any of the technical reports on the NIS-3 (*Revised Study Design, Sample Selection Report, Analysis Report, or Data Collection Report*), and reports on the NIS-3 policy substudies (*Court Referral Study, CPS Screening Policy and Recordkeeping Study, or Sentinel Questionnaire Follow-up Study*) contact the National Clearinghouse on Child Abuse and Neglect Information, P.O. Box 1182, Washington, DC 20013-1182, (800) FYI-3366; e-mail: [nccanch@calib.com](mailto:nccanch@calib.com); website: <http://www.calib.com/nccanch>.

The NIS-3 Public Use Files are available from the National Data Archive on Child Abuse and Neglect (NDACAN), Cornell University, Family Life Development Center, Ithaca, NY 14853, (607) 255-7799; e-mail: [DataCAN@cornell.edu](mailto:DataCAN@cornell.edu); website: <http://www.ndacan.cornell.edu>. NDACAN will also provide technical assistance to users.

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## **PART 1**

## PART 1. INTRODUCTION

This manual documents the contents of the Public Use Files which provide the analysis data from the Third National Incidence Study of Child Abuse and Neglect (NIS-3). This introduction briefly summarizes the study methodology and data, identifies the NIS-3 Public Use Files, indicates how these relate to the documentation provided in the subsequent sections of this manual, and dictates important procedural guidelines for use of the data.

Users are encouraged to verify their understanding of the contents of the NIS-3 Main Public Use File by calculating some of the known estimates provided in the NIS-3 *Final Report*. To better understand the nature of the data and the constraints that govern their use, it may also be helpful to consult one or more of the following NIS-3 technical reports:

- The *Sample Selection Report* describes all the sample development and selection procedures that were used.
- The *Data Collection Report* describes all data collection activities, including the recruitment methods and response rates, the data collection procedures, and the numbers of different data forms ultimately received.
- The *Analysis Report* details the data processing steps, including basic and evaluative coding, the data retrieval, keying, and cleaning processes, the unduplication methods, the weighting and nonresponse adjustment approaches, the derivation of the annualization multipliers, and the development of the national estimates and variances.

This introduction is divided into six sections, covering: the background and study design of the NIS-3; the methodology used to collect and process the principal NIS-3 data; an overview of the contents of the NIS-3 Public Use Files; a summary of the contents of the NIS-3 Main Public Use File and guidelines for its use; a summary of the contents of the NIS-3 CPS-Only Public Use File and guidelines for its use; and an overview of the contents of this manual.

### 1.1 Background and Study Design

The National Incidence Study (NIS) is a congressionally mandated, periodic effort of the National Center on Child Abuse and Neglect, a center within the Administration for Children and Families (ACF). The first NIS (NIS-1), mandated under Public Law 93-247 (1974), was conducted in



1979 and 1980 and published in 1981. The second NIS (NIS-2), mandated under P.L. 98-457 (1984), was conducted in 1986 and 1987, and published in 1988. The third NIS (NIS-3) was mandated under the Child Abuse Prevention, Adoption, and Family Services Act of 1988 (P.L. 100-294, as amended), conducted between 1993 and 1995, and published in 1996.

The Third National Incidence Study of Child Abuse and Neglect (NIS-3) was designed to meet several congressional mandates issued in the Child Abuse Prevention, Adoption, and Family Services Act of 1988 (P.L. 100-294). Specifically, the NIS-3:

- provided current estimates of the incidence of child abuse and neglect in the United States and measured changes in these estimates from earlier studies;
- examined the distribution of child maltreatment in relation to various demographic factors;
- estimated the incidence of substantiated maltreatment cases that result in civil and criminal proceedings, and their disposition; and
- developed an understanding of the relationships between an incident of maltreatment, its observation, its report to a Child Protective Services agency, and any actions taken by the agency.

To accomplish the NIS-3 objectives, Westat and Westat's subcontractor, James Bell Associates, undertook seven studies. These are described in the NIS-3 technical reports (listed above) and in separate reports on the special policy-relevant substudies.<sup>1</sup> The main study, known as the *Basic NIS Sentinel Study*, paralleled the methodology that was used in the earlier national incidence studies (the NIS-1 and the NIS-2). The NIS-3 Public Use Files provide only data from this basic study.<sup>2</sup>

## 1.2 Methodology

Figure 1–1 diagrams the key components of the NIS–3 methodology.

**Sample Selection.** The survey began with a nationally representative sample of 42 counties. This sample included two pairs of adjacent rural counties that were sampled as pairs. Thus, the NIS–3

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<sup>1</sup> There were three policy-relevant substudies which are documented in separate reports: the *Sentinel Questionnaire Follow-up Study*, the *CPS Screening Policy and Recordkeeping Study*, and the *Court Referral Study*.

<sup>2</sup> Three additional, separate studies were also incorporated in the NIS-3 design in order to improve and/or examine the quality of the final incidence estimates derived from the Basic NIS Sentinel Study data and to guide future NIS efforts (the *Annualization Basis Study*, the *Analysis of Hidden Duplication Bias*, and the *New Sentinel Agency Categories Study*).

sample included 40 independent primary sampling units, or PSUs. In each county, both CPS and non-CPS agencies participated. CPS provided information about all reported cases that were accepted for investigation during the study time-period, from September 5 to December 4, 1993, inclusive. In addition, community professionals in non-CPS agencies in the study counties served as “sentinels” by remaining on the lookout for child maltreatment cases during this study data period. (See below.) The non-CPS agencies included public schools; day-care centers; children’s and short-stay, general hospitals; municipal police departments; voluntary social service agencies; the county juvenile probation and public health departments; and the county sheriff or State police division with jurisdiction over any unincorporated areas not served by municipal law enforcement. The targeted sentinels were all the professional staff in these agencies who were likely to come into contact with maltreated children during the normal course of their job duties and whose contact would be sufficient to enable them (1) to recognize children as maltreated and (2) to provide the information necessary for the study team to evaluate the maltreatment against the study's standardized definitions. In the NIS–3, 981 eligible non-CPS agencies were sampled and asked to participate. Of these, 799 agreed to do so, representing 81.4 percent of the sampled agencies. Within these recruited agencies, a total of 5,612 sentinels participated, representing 95.3 percent of the total sampled.

Note that in Figure 1–1 the CPS data included reports from the non-CPS sentinels, but also included reports from sources that were not represented in the non-CPS sector of the NIS design. These sources included private physicians, therapists in private practice, neighbors, friends, etc. Such sources entered the NIS *only* through their reports to CPS.

**Data Collection.** For non-CPS sentinels, the NIS–3 study period began on September 5, 1993, in all agencies other than schools and day-care centers, and on September 26, 1993, for those two categories of agencies. The period continued through December 4, 1993, for all agencies. Data collection was prospective in nature. CPS agencies were asked to submit data forms on all cases that were reported during the period and accepted for investigation by the agency. As was the case in the

**Nationally Representative Sample of Counties:**

*In each county...*

**CPS Agencies:**  
All reported children accepted for investigation during the study period

**Non-CPS Sentinels:**  
Community professionals in specific categories of agencies with regular, direct child/family contact, giving data about all children they encounter during the study period whom they suspected to be victims of maltreatment. The professionals represented:

- Law Enforcement**  
(Police, sheriff, juvenile probation)
- Medical Services**  
(Hospitals, public health departments)
- Education**  
(Public schools)
- Other Services**  
(mental health, day care, voluntary social services)

**Other Sources:**  
Reporters to CPS not represented among Non-CPS Sentinels.

- Other Professionals**  
(private physicians, clinics, therapists)
- General Public**  
(friends, neighbors, anonymous callers)
- Other Government Agencies**  
(AFDC, WIC, etc.)

Data Collection

Unduplication

Reports to the study

Records on individual children

Evaluation

Harm Standard Children

Endangerment Standard Children

Weighting

National Estimates

previous NIS efforts, two types of CPS data forms were used: a Long Form, which obtained sufficient details on the case to allow it to be assessed for countability according to study definitions, and a Short Form, which was for the specific purpose of identifying duplicate reports concerning the same child. Long Forms were filled out for all cases in small CPS agencies and for representative samples of the targeted cases in all other (medium and large) CPS agencies. Short Forms were filled out for all targeted cases in agencies where samples were drawn for the Long Forms. Thus, the study collected a data form (Long and/or Short) for every case in the CPS agency frames (i.e., a complete census of all cases reported during the study period and accepted for CPS investigation). All CPS data forms were “family-level” forms, which documented the information concerning all children in the investigation of a given household or family.

As noted above, non-CPS participants are sentinels in that they are asked to remain on the lookout for cases of child maltreatment that occur during the study data period. They are trained in the study definitions of maltreatment and asked to submit a study data form on each maltreated child they encounter during the study period. The Non-CPS Form was a “child-level” form, which recorded the details on suspected maltreatment of an individual child.

The NIS–3 received a total of 64,018 data forms (3,154 CPS Long Forms, 56,153 CPS Short Forms, and 4,711 Non-CPS Forms). This was nearly nine times the number of forms received in the NIS-2.<sup>3</sup>

**Evaluation Against the Study Definitions.** Data forms received by the study underwent a process of evaluation in which they were assessed for conformity to the study definitions of abuse and neglect. All cases submitted to the NIS were “screened” for conformity to specific definitional standards, and only those cases that fit the standards were considered “countable” and used as the basis for generating incidence estimates.

Among the key achievements of the NIS–1 and the NIS–2 were the development and expansion of operational definitions of child maltreatment that were both clear and able to be reliably applied in order to specify whether or not a given situation should be included in the study. For the NIS–1, a single, objective set of definitions was developed and applied. This set of definitions has come to be

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<sup>3</sup> Specifically, the totals reflect nearly twice the number of CPS Long Forms, nearly 44 percent more Non-CPS Forms, and almost 30 times the number of CPS Short Forms. CPS Short Form data collection was more comprehensive in the NIS–3 than it had been in the NIS–2. See the *Sample Selection Report* for details. This better coverage of the population of maltreated children means that study estimates are more precise than they would be otherwise.

known as the "Harm Standard," because for the most part, it only includes children who have been demonstrably harmed by abuse or neglect. In the NIS-2 and NIS-3, a second set of definitions was also used and applied in parallel with the first set of definitions. This second set of definitions, termed the "Endangerment Standard" was more lenient. It includes all the Harm Standard children, but also includes children who had been endangered but not yet harmed by maltreatment. Further information concerning these definitional standards is given in the *Final Report* and full details are provided in the *Analysis Report*.

The maltreatment described for all children on all Non-CPS Data Forms was evaluated against both definitional standards. Evaluative coding of children on CPS Long Forms was somewhat more selective because these forms often listed children who were entirely uninvolved in the maltreatment events being investigated (i.e., they were simply listed as other children residing in the household). The more in-depth coding was applied only to those children on CPS Long Forms who were (1) alleged to be victims in the investigation, (2) indicated as victims, or (3) described as victims of maltreatment that fit the NIS definitional standards.<sup>4</sup>

For each child, up to three forms of maltreatment were evaluatively coded. This entailed assessing the maltreatment as to its substance (who was alleged to have done what to whom, when, with what effect, and with what quality of evidence), and rating the degree to which the situation fit each individual aspect of the Harm Standard and of the Endangerment Standard. Following this, overall assessments were made under each of the definitional standards. Maltreatment was judged to be "countable" under a given standard if there was reasonable cause to believe that the child had experienced maltreatment that met all of the requirements of the definitional standard in question.

Despite the complexity of this assessment, it was reliable. Measurements of the reliability of these judgments on a random 10 percent of the coded data forms (i.e., on 737 data forms) showed that coders had an agreement of 95.1 percent overall, an agreement of 98.2 percent as to whether a child was countable under the Harm Standard, and an agreement of 98.9 percent as to whether a child was countable under the Endangerment Standard. Details about the evaluative coding procedures and about the assessment of coding reliability can be found in the NIS-3 technical volume, the *Analysis Report*.

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<sup>4</sup> Alleged victims who were not indicated to be victims during the investigation or whose maltreatment would not have been countable under the NIS standards received sufficient in-depth coding to characterize their allegations. However, in general, the details of their maltreatment were not fully evaluatively coded. Two exceptions were made in order to provide comprehensive information about two special categories of victims who sometimes received CPS investigative attention: (1) drug-exposed infants, and (2) victims of child perpetrators. In both cases, the children were fully evaluatively coded.

**Unduplication.** More than one data form could be submitted to the study concerning an individual child. Such duplicates could occur because the same maltreatment event was reported by more than one study source or because the same child had experienced more than one occurrence of maltreatment during the study period that was reported by the same study source. In either case, it was necessary to identify and resolve all such duplicate reports in order to permit estimates based on the child as the unit of measurement. At the same time, unduplication had to be accomplished without the use of fully identifying information, which had been avoided in the interests of confidentiality. Following the approach taken in the previous studies, only enough close-to-identifying information was obtained in the NIS-3 to allow fairly certain judgments as to whether or not two data forms described the same child. These decisions about duplicate data forms on a given child relied on the child's sex, first name, last initial, date of birth, race, city of residence, and number of other children in the child's household. The decisions were sometimes clarified by the nature of the maltreatment, the child's relation to the perpetrator, and the first names and dates of birth of other children in the family, when these were available.

Having determined which data forms were duplicates, only one record was retained to represent an individual child. (For a detailed explanation of the unduplication and record unification procedures, see the *Analysis Report*.) Also, whenever a child had been identified to the study as a maltreated child both by CPS and by a non-CPS respondent, the child was coded as having had his or her maltreatment investigated by CPS. (The coding of whether or not CPS investigated a given child's maltreatment is provided in the variable labelled CPSROL in the NIS-3 Main Public Use File. This file is further specified in section 1.4 below and in Part 2 of this manual.) In addition, each child was coded as having been recognized as maltreated by a non-CPS agency source. For children who were investigated by CPS, their recognition source was the agency who reported them to CPS; for children who were only identified to the study through a non-CPS sentinel, their recognition source was the agency category of this sentinel. Children who were identified on duplicate records were credited according to a priority system that was used in all previous NIS's, which is described in greater detail in Chapter 7 of the *Final Report* and specified in the derivation of the variable RECSOURC in the NIS-3 Main Public Use File. (See section 1.4 below and Part 2 of this manual.)

**Weighting and Estimation.** A final weight was attached to each child's record which reflects the probability of having selected the source who reported the child to the study. A number of important issues were taken into account in the process of weighting, including multiple sources for

children who appeared in duplicate data forms, corrections for incomplete or poor participation by non-CPS respondents, and annualization to provide estimates for a full 12-month period. Details are provided in the *Analysis Report*.

The final case weight is given in the NIS-3 Main Public Use File as FINWT. (See Part 2 of this manual.) **All analyses of this file must use this weight (or an appropriate modification of it among the alternatives described below)**. Additionally, the NIS-3 Main Public Use File provides PSUWGT and ANNFAC, the PSU weight and the annualization factor, each of which can be used to modify FINWT for users with special interests in within-PSU estimates and/or non-annualized estimates that reflect the NIS-3 data period only. (See section 1.4 below for further information about these alternatives.)

**Sampling Errors.** There is some degree of uncertainty associated with any estimate that is made on the basis of a sample. The standard error provides some idea of how much uncertainty is associated with a given estimate as a result of the use of a sample rather than a complete study of the total population. (It does not reflect other sources of error.) Thus, the standard error (or its square, the variance) indicates the precision of an estimate, and having reliable estimates of the standard error is a prerequisite for conducting statistical comparisons of the estimates for different groups.

Like many large national surveys, the NIS-3 used a multi-stage sample design (i.e., sentinels were sampled within agencies, agencies within counties, and counties within the United States). When multi-stage samples are used, there is a considerable degree of positive covariance in the data. In order to accurately assess variances (or standard errors) and in order for significance tests to yield meaningful results, one must take special measures.<sup>5</sup> In the NIS-3 (as well as in the earlier NIS-2), standard errors of estimates were computed using a replication approach, the jackknife. The NIS-3 *Analysis Report* describes the procedures used to establish the replicate weights for the NIS-3 data. The resultant replicate weights are given as variables REPWT1 through REPWT21 in the NIS-3 Main Public Use File. (See Section 1.4 below.) These replicate weights were used in the calculation of all standard errors and significance tests reported in the *Final Report* Appendices.

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<sup>5</sup> Lee, E.S., Forthofer, R.N., & Lorimor, R.J. (1989). *Analyzing Complex Survey Data*. Newbury Park, CA: Sage Publications.

### 1.3 Contents of the NIS-3 Public Use Files

The NIS-3 Public Use Files are distributed by the National Data Archive on Child Abuse and Neglect.<sup>6</sup> There are three main files or categories of files:

- 1) The **NIS-3 Main Public Use File** includes the analysis data from the *Basic NIS Sentinel Study*. It is available in SAS, SPSS, or ASCII format. This file is discussed further in section 1.4 below and Part 2 of this manual gives detailed documentation of its contents.
- 2) The **NIS-3 CPS-Only Public Use File** includes all the CPS Long Form data collected in the NIS-3. It, too, is available in SAS, SPSS, or ASCII format. This file is discussed further in section 1.5 below and documented in detail in Part 3 of this manual.
- 3) The third component of the NIS-3 Public Use Files comprises electronic versions of the **Final Report Appendices A, B, E, and F**. Earlier NIS users requested that the study estimates be provided in electronic format, so they are provided in Excel 4.0 spreadsheets for users' convenience. Part 4 of this manual briefly describes the contents of these files.

Figure 1-2 schematically diagrams the NIS-3 information base, showing the different categories of children included in the NIS-3 and how they logically relate to each other. The relative sizes of the rectangles are not necessarily meaningful. What is important in this figure are the set and subset relationships it conveys. This figure is helpful in understanding and explaining the contents of the different NIS-3 Public Use Files.

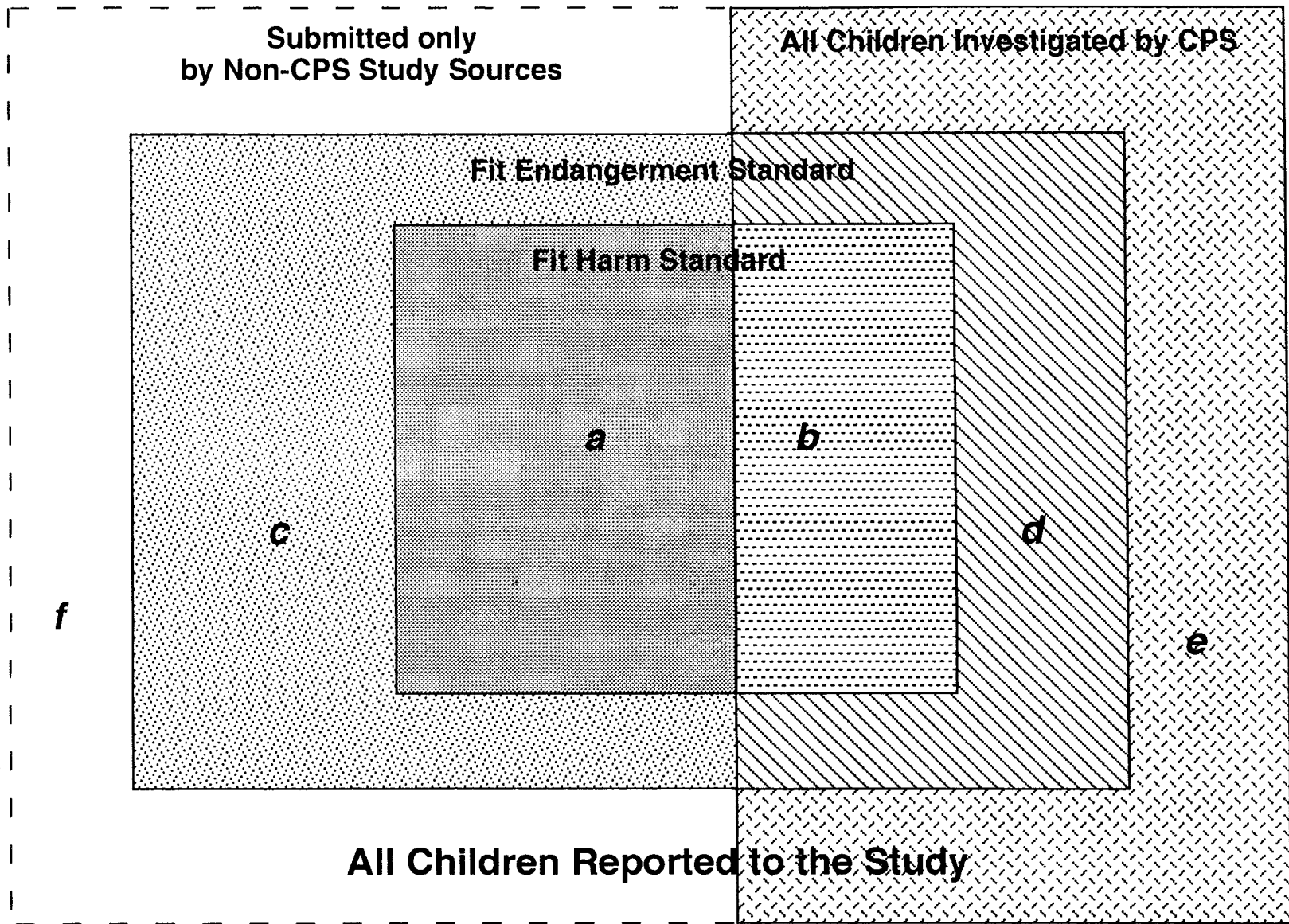
The center rectangle (sections **a** and **b** taken together) represents all the children who fit the Harm Standard. The next larger rectangle (comprising the four sections labelled **a**, **b**, **c**, and **d**) represents those who fit the Endangerment Standard. As conveyed by the fact that the larger rectangle contains the center rectangle, the set of Endangerment Standard children includes all children who fit the Harm Standard and other children as well. As further explained above and in the NIS-3 technical reports, the additional children included in the Endangerment Standard (those in sections **c** and **d**) are children who were not actually harmed but who were endangered by their maltreatment.

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Figure 1-2. Categories of children in the NIS.



Some of the children who fit each definitional standard were among those whose maltreatment was investigated by CPS. That is, as the figure shows, section **b** children were countable under the Harm Standard and their maltreatment was investigated by CPS; children in sections **b** and **d** were countable under the Endangerment Standard and their maltreatment was investigated by CPS. The remaining countable children under each standard (i.e., those in sections **a** and **c**) did *not* have their maltreatment investigated by CPS.

Children who are in sections **e** and **f** were not countable under either of the study's definitional standards.

The NIS-3 Main Public Use File contains the records of all children in the sections labelled **a**, **b**, **c**, **d**, and **f** in Figure 1-2, as well as the records of those children in section **e** who were evaluatively coded. Thus, the NIS-3 Main Public Use File includes all countable children. It also includes children who were not countable under either definitional standard but who were submitted to the study by participating sentinels (community professionals) in non-CPS agencies or who were listed in CPS investigations and were evaluatively coded.<sup>7</sup>

The NIS-3 CPS-Only Public Use File includes the records of all children in sections labelled **b**, **d**, and **e** in Figure 1-2. Thus, the NIS-3 CPS-Only Public Use File includes all children who were identified in CPS investigations, whether or not they were among the subjects of the investigation, whether or not they were evaluatively coded, and whether or not they experienced maltreatment that was countable under the NIS definitional standards.

The ensuing sections of this introduction further explain the contents of the NIS-3 Public Use Files and provide specific guidelines for their use. The appendix to this manual contains a more detailed discussion of the way the NIS-3 samples and data forms relate to the data items that are (or are not) found in the different Public Use Files or in the records of different children within a given file.

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<sup>7</sup> Children who were listed in CPS investigations were evaluatively coded if they were (1) indicated as victims in the investigation, (2) described as victims of maltreatment that fit the NIS definitions, (3) were drug-exposed infants, or (4) were victims of child perpetrators.

## 1.4 NIS-3 Main Public Use File

Most NIS-3 users will be concerned with the countable children, or some subset thereof. Some users may be interested in examining the children whom sentinels submitted to the NIS-3 beyond those who experienced countable maltreatment, and others may be interested in considering the impact of specific changes in the definitional standards (e.g., relaxing the Harm Standard requirement of serious injury/harm for neglect, relaxing the perpetrator requirement that excludes most children maltreated by teenage babysitters, etc). The weights given on the records in this file were designed to provide the most precise estimates for children who were countable or potentially countable within the NIS methodology.

There are some universal principles that should be followed in using the NIS-3 Main Public Use File (the need to always use weights and the need to conduct significance tests via methods that take the sample design into account), and some properties of the data that may require special explanation (specific meanings of several key variables, the distribution of certain categories of data items, and the options for generating site-specific and/or non-annual estimates from the NIS-3 data). These are discussed in the ensuing sections.

**Required Use of Weights.** *It is crucial that any and all analyses of the data in this file use FINWT.*<sup>8</sup> This point cannot be overemphasized. All frequency distributions that are run should be *weighted* frequency distributions using the variable FINWT as the weight. All computations of percentages or proportions should also be weighted, using FINWT to weight the components of both the numerator and denominator of the proportion of interest. The reason that weighted analyses are critical is because the NIS-3 data were obtained through a complex sampling design (see the NIS-3 *Sample Selection Report*), in which children were selected with unequal probabilities. This means that the prevalence of a given type of child in the NIS-3 sample of maltreated children may bear little relationship to that type of child's prevalence in the overall population of maltreated children. Seriously misleading analyses of NIS-2 data were published by users who neglected to apply weights to the data.<sup>9</sup> NIS-3 users are here explicitly forewarned against repeating this error.

For instance, children who died as a result of abuse or neglect are overrepresented in the NIS-3 sample (they were selected with certainty in CPS agencies). Other types of cases were typically sampled. The children's weights (FINWTs) correctly adjust for this, so that each child represents the

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<sup>8</sup> Or one of the allowable variants of FINWT, as described below under "Options to Modify FINWT for Specialized Needs."

<sup>9</sup> Sedlak, A.J. & Rust, K. (1994). Letter to the Editor. *Child Abuse & Neglect*, 18, 293-297.

correct number of children in the nation in the weighted estimates. Similarly, cases seen by different types of community sentinels typically came into the study with different probabilities. For example, depending on the sample county involved, children who are recognized as maltreated at the county sheriff's departments may be overrepresented in the sample relative to those who are recognized at day-care centers in the county. Again, the weights (FINWT) incorporate all the appropriate adjustments needed to ensure that children make their accurate contribution to the population estimates. Failure to use FINWT can seriously distort percentage distributions.

Regression analyses must also take account of the nature of the sample design, and the use of FINWT optimally does so. Although statisticians do disagree about whether it is always necessary to use weighting information in regression analyses,<sup>10,11</sup> there is consensus on the need to take sample design factors into account in the model in order to obtain meaningful results—at least if such factors are likely to be related to the outcome of interest. The sheer complexity of the NIS-3 sample design (see the *Sample Selection Report*) makes the theoretical option to model statistically the relevant sample design factors a virtual impossibility with these data. Hence, *NIS-3 users must always use FINWT.*

**Significance Tests With NIS-3 Data.** Any significance tests must use a method for computing variance that is appropriate for the NIS-3 multi-stage sample design—meaning that standard statistical packages cannot be used. Standard statistical packages assume that the data derive from simple random samples with the elements of the sample statistically independent of each other. However, as described above and detailed in the *Sample Selection Report*, the NIS-3 used a multi-stage sample design that involved clustering of sentinels within agencies and of agencies within counties, so there is a considerable degree of covariance in the NIS-3 data. In order for significance tests to yield meaningful results in this context, users must take special measures to compute unbiased variance estimates.<sup>12</sup> Otherwise, findings will be distorted by the misspecification effect.<sup>13</sup> This effect varies with the specific analysis in the NIS data, meaning that there is no simple "fix" for it in the context of standard statistical packages.

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<sup>10</sup> Lepkowski, J.M., Landis, J.R., Parsons, P.E., Stehouwer, S.A. (1988). Statistical methodologies for analyzing a complex sample survey. *Series A. Methodological Report No. 4, National Center for Health Statistics, National Medical Care Utilization and Expenditure Survey.* DHHS Pub. No. 88-20004, Public Health Service, Washington, D.C. U.S. Government Printing Office.

<sup>11</sup> Smith, T.M.F. (1984). Present position and potential developments: Some personal views. *Sample Surveys. Journal of the Royal Statistical Society, 147* (2), 208-221.

<sup>12</sup> Lee *et al.*, *op cit.*

<sup>13</sup> Skinner, C.J. (1989). Domain means, regression and multivariate analysis. In C.J. Skinner, D. Holt, and T.M.F. Smith (Eds.), *Analysis of Complex Surveys.* New York: John Wiley & Sons. Pp. 59-87.

Westat currently provides free software that appropriately computes variances for analysis of NIS-3 data. Instructions for obtaining this specialized software package, called WesVarPC, are given in Part 5 of this manual, where users will also find a brief description of its use with the NIS-3 data. WesVarPC requires replicate weights as well as the full sample weight in order to compute variances. The NIS-3 Main Public Use File includes a series of 21 replicate weights, REPWT1 through REPWT21, which were designed for appropriately computing variances in this database through use of the jackknife replication approach. This approach was used in computing all standard errors and testing the significance of differences in the NIS-3 *Final Report*.

**Contents of the NIS-3 Main Public Use File.** As indicated above, the NIS-3 Main Public Use File contains the records of children in sections labelled *a*, *b*, *c*, *d*, *e*, and *f* in Figure 1-2. That is, the NIS-3 Main Public Use File includes all countable children and also includes children who were not countable under either standard, but whose maltreatment was described to the study by a professional in a participating non-CPS agency or in a CPS investigation which was sampled for data collection on a CPS Long Form.

All the records in this file reflect the information on children who were submitted to the study on either Non-CPS Data Forms, CPS Long Forms, or both. All the children in this file had maltreatment allegations that were evaluatively coded in accordance with the procedures and coding system that is fully described in the *Analysis Report*. Evaluatively-coded children were all provided with a transmittal form, capturing their evaluative codes, as is also detailed in Appendix C of that report. Part 2 of this manual provides the names of the variables provided in this file and the meanings of their code values, and explains how these variables relate to the original data items on the submitted forms and/or the evaluative codes on the accompanying transmittal form.

The records in this file reflect unduplicated children. That is, children who were submitted to the study by multiple sources are represented in this database by only one record. Children who had multiple records which were unduplicated can be recognized by the fact that they have a non-blank value for the variable DUPGRP. The specific data form that was chosen to represent the child in this final analysis database (coded in the variable FORMTYPE) was the data form with the "best" information concerning the countability of the child's maltreatment (i.e., the child's "fit" with the study's definitional standards of abuse and neglect) and the most complete information (i.e., minimum missing data). Users should consult the *Analysis Report* for a full description of how multiple records were unified in the process of unduplication.

Because of the process of unduplication and case unification, users should not rely on the variable FORMTYPE (Type of Form) to identify CPS-investigated children.<sup>14</sup> It is quite possible for a child whose maltreatment was investigated by CPS to be represented in this database via a Non-CPS Data Form. Children in section sections labelled **b** and **d** in Figure 1-2 should be distinguished from those in sections **a** and **c** through the variables CPSH, CPSE, NCP SH and NCPSE. These were defined on the basis of the critical variable CPSROL, which took into account all the information on all the data forms in a duplicate grouping in order to determine whether the child's maltreatment had received investigative attention.

For the same reason (i.e., the need to take account of all the information in a duplicate grouping), users should use the more integrative variable RECSOURC to identify the agency source who recognized the child's maltreatment (rather than the more preliminary, and more form-type-based variables AGENCY or SOURCE). Users will note that there are sometimes considerable discrepancies between SOURCE and RECSOURC for children who have non-blank DUPGRP values. Whereas SOURCE reflects only the source related to the specific data form that was chosen to represent the child, RECSOURC took into account all the sources on all data forms listing that child. For children who received CPS investigation of their maltreatment, RECSOURC also took into account the sources who reported the child to CPS. Thus, RECSOURC provides the more comprehensive summary concerning the source that recognized the child as maltreated.

The process of case unification between Non-CPS and CPS data sources meant that some of the children who were submitted to the study by a Non-CPS sentinel were discovered to be among the children whose maltreatment was investigated by CPS. As described above, this intersection was captured in the variable CPSROL. Note that such a child could have been identified among those investigated by CPS through either a CPS Long Form or a CPS Short Form. If the determination was made on the basis of a CPS Long Form, then information about the CPS agency activity on the case was gathered (e.g., the substantiation decision, whether the child was an alleged or indicated victim, whether there had been any prior substantiated reports on the child's family, etc.) However, if that determination was made on the basis of a CPS Short Form, then only a few data items were collected.<sup>15</sup> As a result,

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<sup>14</sup> The only importance of FORMTYPE was in deriving the countability of maltreatment in accordance with the standard NIS definitions (*cf.* the derivations specified for PAH, PAE, etc.).

<sup>15</sup> The information collected on the Short Forms was the bare minimum necessary for the study's purposes. The CPS Short Form provided the information needed to identify the duplicate record as a duplicate of the same child, to identify children who were fatally injured so they could

there are quite a number of children in the NIS-3 Main Public Use File who are identified as having had their maltreatment investigated by CPS but for whom very little else can be said about their CPS investigation. Because it cannot be meaningfully used to describe the CPS investigation experiences of any group of children, *the NIS-3 Main Public Use File does not include information about the details of the CPS investigations for any children.* Instead, users who are interested in characterizing the CPS investigations of countable children, or of any investigated children, should use the CPS-Only Public Use File. This issue is revisited below and is discussed in greater detail in the Appendix.

All of the children in the NIS-3 Main Public Use File were evaluatively coded and, regardless of the type of data form that was selected to represent them in the final analysis, all the information about them is presented in the form of a unified data record. Part 2 of this manual explains how the items from the different data forms were integrated into this unified data record.

**Options To Modify FINWT For Specialized Needs.** As noted above, FINWT and the replicate weights, REPWT1 through REPWT21, are provided on this data file, and users are required to employ them for correct computations and significance tests. FINWT produces the national, annual estimate of the number of children who fit whatever characteristic has been selected in the analysis. For instance, the weighted total of children with AMALE=1 produces the estimated total number of children who experienced Endangerment Standard maltreatment in 1993. REPWT1 through REPWT21 are important in computing the variance, or standard error, of this estimate. These are the weight variables that will be used by all users of this database.

The NIS-3 Main Public Use File also provides two additional weight variables—PSUWGT and ANNFAC, for the small subset of users who may have more narrow interests. These two additional variables are provided for special analyses involving within-PSU estimates and/or estimates pertaining only to the NIS-3 three-month data period (i.e., not annualized).

For within-PSU estimates (i.e., estimates that will reflect only the numbers of maltreated children within a specific PSU), users should subset the data to the PSU of interest (using the PSU variable) and divide FINWT by PSUWGT to compute a within-PSU weight for each record. Because FINWT includes the PSUWGT, dividing it by the PSUWGT will remove this multiplier from its composition and produce within-PSU weights for the selected children. Note that the replicate weights

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be assured of being sampled into the study, to identify the source of the report to CPS, and to determine whether or not CPS had investigated the child's maltreatment (i.e., whether or not the child was an alleged victim).

(REPWT1 through REPWT21) are designed for national estimates and they cannot be used for within-PSU analyses. Thus, within-PSU estimates are quite limited—users cannot compute variances for any within-PSU estimates, nor can they conduct appropriate statistical tests concerning any within-PSU differences. Also note that within-PSU annualized estimates are suspect in that the annualization factor, ANNFAC, was designed to be nationally representative, and it may not accurately reflect the annual patterns within a specific PSU.

Further limitations also apply to within-PSU analyses. This is because within-PSU estimates which are based on relatively small numbers of cases will be very unreliable and should not be used. *The recommended rule-of-thumb is that users should avoid reporting any estimate that is based on fewer than 20 cases.* This means that some of the smallest PSUs may not support any within-PSU estimates, and most PSUs will support only a few major estimates, not finer breakdowns.

Nonannualized estimates face far fewer limitations. This is because nonannualized estimates will nevertheless use the full NIS-3 database (i.e., nonannualized estimates are national estimates). To compute weights that are nonannualized, users should divide FINWT by ANNFAC. Because FINWT includes ANNFAC, dividing it by ANNFAC will remove this multiplier from its composition and produce nonannualized weights which can be used to generate estimates pertaining only to the 3-month data period of the NIS-3 (September 5 through December 4, 1993). If standard errors of estimate and/or significance tests are needed for these estimates, users should likewise modify the replicate weight series (REPWT1 through REPWT21), by dividing each replicate weight by ANNFAC. This will produce a revised replicate weight series that is appropriate for use with WesVarPC, as discussed in Part 5 of this manual.

## **1.5 NIS-3 CPS-Only Public Use File**

The NIS-3 CPS-Only Public Use File includes all the data collected on CPS Long Forms in the NIS-3. Thus, it includes all children who were listed in CPS investigations, providing child-level records for all individuals in the sections labelled **b**, **d**, and **e** in Figure 1-2. This file can be used to compute national estimates concerning children in CPS investigations during 1993.

It is important to recognize that this is a comprehensive file, including all children who were identified in CPS investigations. That is, some of the children included in this file are here simply



because they were listed as children in a household where maltreatment of another child was investigated, but they themselves were not involved in that maltreatment. Thus, this file includes children who were neither alleged nor indicated victims in the CPS investigations in which they were listed. It also includes children who experienced maltreatment that was not countable under the NIS definitional standards. (Further clarifications of these points can be found in the Appendix.)

Users should understand the following three issues before implementing analyses with this file:

- 1) *Two* alternatives for weighting these data are provided, one providing estimates in terms of unduplicated numbers of children (where children who are listed in more than one investigation are only counted once) and the other providing estimates in terms of duplicated children (where children are counted as many times as they are listed in separate investigations). These alternatives are further described below and in Part 3 of this manual.
- 2) All estimates should be presented in terms of children in CPS investigations, as the weights in this file have been designed to maximize the precision of these estimates. This means that special cautions must be followed in using the NIS-countability information that has been provided on this file, as detailed below.
- 3) Constraints on the use of this file include *all* the caveats described above in connection with the NIS-3 Main Public Use File:
  - The data should *always* be weighted. That is, users *must* select one of the weighting alternatives described below and apply the selected weighting alternative in computing all estimates, proportions, significance tests, etc.
  - Significance tests should be appropriate for the NIS-3 multi-stage sample design. The NIS-3 Public Use Data file is designed for use with WesVarPC (which will compute variances using the selected series of replicate weights in this data file). WesVarPC operates in a Windows environment and can be obtained free of charge from Westat. Part 5 of this manual gives further details about WesVarPC and its use with the NIS-3 Public Use Data Files.
  - The final case weight can be modified for specialized needs such as to compute within-PSU estimates and/or non-annualized estimates in a manner completely analogous to that described above in connection with the NIS-3 Main Public Use File. Use of this file in these ways is subject to the same constraints outlined above (i.e., the unavailability of variance measures for within-PSU estimates and the need to avoid reporting estimates that are based on too few sample cases).

Because these constraints listed in (3) above were discussed in the preceding section, they will not be discussed further here.

**Two Weighting Alternatives.** The child-level records in this file have two final weights, and a series of replicate weights to correspond to each of these, as shown in Table 1-1.

Users who want to generate estimates of unduplicated numbers of children, where each child is counted only once, regardless of how many different CPS investigations listed the child, should use the full sample weight variable called UNIFWT and the corresponding replicate weight variables, UNIFWT1 through UNIFWT21. Users who would prefer to consider estimates in terms of investigation-listings of children should use the duplicated-child weights given as DUPFWT and DUPFWT1 through DUPFWT21 in this file. Note that because of duplicate-listings of children in the records provided, there are some records which do not have a value for UNIFWT and its replicate weight series. This is by design, because these are duplicate records which should contribute nothing to unduplicated-child estimates. *When doing unduplicated-child estimates, users should subset the data to those records with non-blank values for UNIFWT.*

**Estimates Involving Countable Children.** The weights provided in this NIS-3 CPS-Only Public Use File were designed to maximize the precision of estimates concerning CPS-investigated children. Analogously, the weights that are provided in the NIS-3 Main Public Use File, discussed in the previous section, were designed to maximize the precision of estimates concerning children who fit the NIS definitional standards (i.e., children who were "countable" under the NIS definitional standards). Both files provide information about countable children who were investigated by CPS, but since very different objectives were targeted in developing the weights for these different files, they do not provide the same total estimates concerning countable children whose maltreatment was investigated by CPS.

Table 1-2 shows the difference between estimates of the same total which were computed using the two different files. Note that there is a difference of 30,037 (437,326 minus 407,289) in the two estimates of the number of children whose maltreatment fit the Harm Standard who received CPS investigation, and a difference of 33,846 (927,044 minus 893,198) in the analogous estimates using the Endangerment Standard. These reflect differences of 7 percent and 4 percent of the total estimates, respectively. In each case, the estimate obtained using one data file is within the confidence bounds of

Table 1-1. Two Alternative Final Weights and Their Corresponding Series of Replicate Weights in the NIS-3 CPS-Only Public Use File.

Variable	Variable Names to Use For Unduplicated Estimates of Children <sup>a</sup>	Variable Names to Use For Duplicated Estimates of Children <sup>b</sup>
Final Weight	UNIFWT	DUPFWT
Replicate Weight 1	UNIFWT1	DUPFWT1
Replicate Weight 2	UNIFWT2	DUPFWT2
Replicate Weight 3	UNIFWT3	DUPFWT3
Replicate Weight 4	UNIFWT4	DUPFWT4
Replicate Weight 5	UNIFWT5	DUPFWT5
Replicate Weight 6	UNIFWT6	DUPFWT6
Replicate Weight 7	UNIFWT7	DUPFWT7
Replicate Weight 8	UNIFWT8	DUPFWT8
Replicate Weight 9	UNIFWT9	DUPFWT9
Replicate Weight 10	UNIFWT10	DUPFWT10
Replicate Weight 11	UNIFWT11	DUPFWT11
Replicate Weight 12	UNIFWT12	DUPFWT12
Replicate Weight 13	UNIFWT13	DUPFWT13
Replicate Weight 14	UNIFWT14	DUPFWT14
Replicate Weight 15	UNIFWT15	DUPFWT15
Replicate Weight 16	UNIFWT16	DUPFWT16
Replicate Weight 17	UNIFWT17	DUPFWT17
Replicate Weight 18	UNIFWT18	DUPFWT18
Replicate Weight 19	UNIFWT19	DUPFWT19
Replicate Weight 20	UNIFWT20	DUPFWT20
Replicate Weight 21	UNIFWT21	DUPFWT21

<sup>a</sup> Estimates where each child is counted only once, no matter how many times he or she was listed in a CPS investigation.

<sup>b</sup> Estimates where each child is counted as many times as he or she was listed in a CPS investigation.

Table 1-2. Estimates of the Number of Maltreated Children Whose Maltreatment Was Investigated by CPS Derived From the Different NIS-3 Public Use Files.

Public Use File Used to Compute the Estimate	Children Whose Maltreatment Fit the Harm Standard and Who Received CPS Investigation <sup>a</sup>	Children Whose Maltreatment Fit the Endangerment Standard and Who Received CPS Investigation <sup>b</sup>
<u>Main File:</u>		
Estimated Total	437,326 <sup>a</sup>	927,044 <sup>b</sup>
{Standard Error}	{36,217}	{78,239}
<i>Lower Bound</i>	<i>362,008</i>	<i>764,338</i>
<i>Upper Bound</i>	<i>512,645</i>	<i>1,089,750</i>
<u>CPS-Only File:</u>		
Estimated Total	407,289 <sup>c</sup>	893,198 <sup>d</sup>
{Standard Error}	{32,881}	{78,031}
<i>Lower Bound</i>	<i>338,909</i>	<i>730,923</i>
<i>Upper Bound</i>	<i>475,668</i>	<i>1,055,473</i>

<sup>a</sup> Defined as the weighted total (using FINWT) of children with CPSH=1.

<sup>b</sup> Defined as the weighted total (using FINWT) of children with CPSE=1.

<sup>c</sup> Defined as the weighted total (using UNIFWT) of children with AMALH=1.

<sup>d</sup> Defined as the weighted total (using UNIFWT) of children with AMALE=1.

the corresponding estimate using the other data file. (For example, the estimate of 437,326 for Harm Standard children using the NIS-3 Main Public Use File is with the bounds of 338,909 and 475,688 given for this estimate using the NIS-3 CPS-Only File.) The difference between the two estimates is due to sampling error and is not meaningful. It arises entirely from the fact that there was sampling error in the data. The weights in the two files were designed in the context of this sampling error to maximize different types of precision.

These two sets of estimates are shown here solely to demonstrate the discrepancies in findings that could arise as a result of the choice to use one file rather than the other. Users are advised *not* to compute the same estimate in multiple ways. Instead, the following guidelines are provided to ensure that users select the file that will be most appropriate for their interests:

First, if an estimate can be computed using the NIS-3 Main Public Use File, then that is the file that should be used. Thus, the number of countable children whose maltreatment received CPS investigation should be computed using the NIS-3 Main Public Use File. This means that the estimates in

the top section of Table 1-2 are the ones that should be used for the totals described in the column headings.

Second, no estimates of totals should be made from the CPS-Only Public Use File when these totals would specifically refer to children whose maltreatment fit the NIS definitional standards. Instead, any estimates computed from the NIS-3 CPS-Only File which specifically concern countable NIS children should be presented *only* in terms of percentages (or proportions). For instance, a user could appropriately use the NIS-3 CPS-Only File to compute the percentage of Harm Standard children investigated by CPS who were classified as indicated victims in substantiated CPS investigations. Note that this proportion cannot be computed using the NIS-3 Main Public Use File, (because that file does not contain information about CPS substantiation and provides very incomplete information about indicated victim status for CPS-investigated children<sup>16</sup>). Thus, even though the denominator in this computation (all Harm Standard children investigated by CPS) should not be reported as the total for this quantity (because that total should be computed using the NIS-3 Main Public Use File, as given in the first rule, above), the proportion is appropriately computed in the NIS-3 CPS-Only File. However, this finding should be presented *only* as a proportion (i.e., the numerator cannot be presented as a total in its own right) because it specifically refers to children whose maltreatment fits the NIS Harm Standard. Table 1-3 lists various examples of estimates that might be of interest to users and indicates which data file should be used to compute each.

## **1.6 Contents of This Documentation Manual**

Parts 2 through 5 of this manual provide details about the different files, their contents, and their use.

Part 2 provides all the documentation for the NIS-3 Main Public Use File, including a comprehensive listing of the variable names and code values, the relation between these variables and the data fields on the study data forms and evaluative coding transmittal sheets, the relation between the variables in this file and those in the earlier NIS-2 Public Use File, and the frequency distributions of the file contents.

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<sup>16</sup> Indicated victim status and substantiation status are only known for children who were included on CPS Long Forms. A number of the children in the NIS-3 Main Public Use File were determined to have had their maltreatment investigated by CPS because they were on CPS Short Forms. The records for the latter children have no information about their indicated victim status or the substantiation of their cases.

Table 1-3. Examples of Possible Estimates That Can Be Developed From the NIS-3 Data, the Appropriate Data File to Use in Generating Them, and the Form(s) in Which They Should Be Presented.

Estimate of Interest	Data File That Should Be Used	Allowable Form of Presentation (Total vs. only %)
children whose maltreatment fit the Harm Standard.....	Main	Total
children whose maltreatment fit the Harm Standard and who received CPS investigation of their maltreatment.....	Main	Total
children whose maltreatment fit the Harm Standard and who were indicated victims in substantiated CPS investigations.....	CPS-Only	Only %
children who were indicated victims in substantiated CPS investigations.....	CPS-Only	Total
children who were physically abused under the Endangerment Standard whose parent(s) were cooperative with a CPS investigation.....	CPS-Only	Only %
children who were indicated victims of sexual abuse in a substantiated CPS investigation.....	CPS-Only	Total <sup>a</sup>
children who were indicated victims in substantiated CPS investigations.....	CPS-Only	Total

<sup>a</sup> Note that this estimate does not refer to countable sex abuse under a NIS definitional standard, so it can be based solely on the maltreatment allegation codes in the NIS-3 CPS-Only File.

Part 3 provides similar documentation for the NIS-3 CPS-Only Public Use File, including a full listing of the variable names and code values, the relation between these variables and the data fields on the CPS Long Form and evaluative codes on the CPS Transmittal Form, and the frequency distributions of the file contents.

Part 4 briefly describes the contents of the Excel spreadsheets of all the NIS-3 estimates, standard errors, and confidence intervals which were given in the Appendices to the *Final Report*.

Part 5 gives instructions for obtaining WesVarPC and offers a brief overview of its use with the NIS-3 data, including examples.

The Appendix contains a more complete, technical description of the interrelationships among the frames, samples, and data forms in the study, and further elaborates the rationale for why the NIS-3 data are provided in the form of two separate Public Use Files, each with its own set of cases and case weights.

## **PART 2**



## PART 2. NIS-3 MAIN PUBLIC USE FILE

The sections in this part of the NIS-3 manual document the contents of the NIS-3 Main Public Use File. This file is available in SAS, SPSS, or ASCII format.

The NIS-3 Main Public Use File contains the records of all children who were evaluatively coded to assess their countability under the NIS definitions. This includes all the countable children, all the noncountable children who had been submitted by Non-CPS sentinels, and any other children who were listed in CPS investigations as indicated victims, drug-affected infants, or victims of non-adult perpetrators.<sup>1</sup>

The records in this file reflect unduplicated children. As explained in Part 1, the NIS-3 Main Public Use File cannot be meaningfully used to describe the CPS investigation experiences of any group of children. For this reason, it *does not include information about the details of the CPS investigations for any children*. Instead, users who are interested in characterizing the CPS investigations of countable children, or of any investigated children, should use the CPS-Only Public Use File. This issue is discussed in greater detail in the Appendix.

All information about the children in the NIS-3 Main File is presented in the form of a unified data record. The documentation given in this part of the manual is divided into four main sections, as follows:

- Section 2.1 This section comprises four exhibits, Exhibits 2-1, 2-2, 2-3, and 2-4, which show the correspondences between the variables in this unified record and the data items on the CPS Long Form, the Non-CPS Form, and the evaluative coding transmittal sheets.
- Section 2.2 This section gives two listings which index the variables included in this data file.
- Section 2.3 This section gives the complete codebook for this file, providing the variable names, definitions, and code values, and specifying the steps followed in computing all derived variables.
- Section 2.4 This section contains the frequency distributions of the file contents.

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<sup>1</sup> As noted in Part 1, children who were listed in CPS investigations were evaluatively coded if they were (1) indicated as victims in the investigation, (2) described as victims of maltreatment that fit the NIS definitions, (3) were drug-exposed infants, or (4) were victims of child perpetrators.

## **2.1 Correspondence Between Variables and Data Form Items or Evaluative Codes**

Exhibits 2-1, 2-2, 2-3, and 2-4 show the correspondences between the variables in the NIS-3 Main Public Use File and the data items on the CPS Long Form, the Non-CPS Form, and the evaluative coding transmittal sheets. Items marked "XXXX" on these data forms were purged from the data for confidentiality reasons. Items marked "CPS-ONLY" are not provided in this NIS-3 Main Public Use File, but can be found in the CPS-Only Public Use File, which is documented in Part 3 of this manual.

Exhibit 2-1. Correspondence Between the Data Items on the CPS Long Form  
and Variables in the Main NIS-3 Public Use File.

Data Form No.:

ID: PSU, AGENCY, SEQNO

OMB No.: 0980-0249

Expires: March 1995

**CHILD PROTECTIVE SERVICES (CPS) DATA FORM**  
**NATIONAL STUDY OF THE INCIDENCE OF**  
**CHILD ABUSE AND NEGLECT**

Conducted for: National Center on Child Abuse and Neglect, DHHS

Conducted by: Westat, Inc., 1850 Research Blvd., Rockville, Maryland 20850

1. _____ CPS (CHILD PROTECTIVE SERVICES) CASE NO.	2. <u>PSMON</u> / <u>PSDAY</u> / <u>PSYR</u> DATE OF REPORT TO CPS	3. _____ NAME OF INVESTIGATING CPS WORKER	4. <u>REPTMO</u> / <u>REPTDA</u> / <u>REPTYR</u> DATE FORM COMPLETED
--	---	--	---

5. PARENT(S)/SUBSTITUTES(S) IN HOME	AGE IN YEARS	SEX M or F	ALLEGED ROLE <b>(A)</b>	EMPLOYMENT <b>(B)</b>	ETHNIC GROUP <b>(C)</b>
a. Mother/Substitute: MSSTAT 1 - Present 2 - Absent 9 - Status unknown	AGEM	F	**	EMPM	METH METHOTH
b. Father/Substitute: FSSTAT 1 - Present 2 - Absent 9 - Status unknown	AGEF	M	**	EMPF	FETHNIC FETHOTH
6. OTHER (INVOLVED ADULTS, IF ANY. Specify relationship (e.g., mother's boyfriend, babysitter))					
a. OTHPCDE, OTHPSP	AGEOTH	SEXOTH	**	EMPOTH	OTHET OTHE
b. OTHPCDE2, OTHPSP2	AGEOTH2	SEXOTH2	**	EMPOTH2	OTHET2 OTHE2
7. NUMBER OF CHILDREN UNDER 18 IN HOUSEHOLD: <u>NCHILD</u> (List below, beginning with alleged victims)					

**ANSWER CODES**

**(A) ALLEGED ROLE CODE:**  
1 - Maltreated the child  
2 - "Permitted" maltreatment by others  
3 - Not involved  
9 - Unknown

**(B) EMPLOYMENT CODE:**  
1 - Employed full-time  
2 - Employed part-time  
3 - Unemployed, looking for work  
4 - Not in labor force  
5 - Active duty military  
9 - Unknown

**(C) ETHNIC GROUP CODE:**  
1 - American Indian/Alaskan/Native  
2 - Asian/Pacific Islander  
3 - Black, not of Hispanic origin  
4 - Hispanic  
5 - White, not of Hispanic origin  
6 - Other (Specify) \_\_\_\_\_  
9 - Unknown

\*\*PRSP\_F1 to PRSP\_F9

CHILD'S FIRST NAME AND INITIAL LETTER OF LAST NAME		AGE IN YEARS	BIRTHDATE			SEX (M or F)	ETHNIC GROUP <b>(C)</b>	RELATIONSHIP TO: <b>(D)</b>		CHILD STATUS <b>(E)</b>	
FIRST NAME	INITIAL		MO	DA	YR			MOTHER/ SUB	FATHER/ SUB	ALLEGED	INDI- CATED
a. XXXX	X	AGE	XX	XX	XX	CHSEX	ETHNIC ETOTH	MSUB MSUBOTH	FSUB FSUBOTH	ROLA	ROLI
b.											
c.											
d.											
e.											
f.											

**(D) RELATIONSHIP TO PARENT/  
SUBSTITUTE CODE:**  
1 - Natural child  
2 - Step child  
3 - Foster child  
4 - Adopted child  
5 - Grandchild  
6 - Other (SPECIFY) \_\_\_\_\_  
9 - Unknown

**(E) CHILD STATUS CODE:**  
1 - Victim  
2 - Not a victim  
9 - Unknown

2-3

8. LOCATION OF HOUSEHOLD: City/Town: XXXX

County: PSU

State: PSU

9. ESTIMATED ANNUAL FAMILY INCOME:

1 - Less than \$15,000

2 - \$15,000 - \$29,999

3 - \$30,000-\$44,999

4 - \$45,000 or more

INCOME

10. FAMILY RECEIVING AFDC?

AFDC

1 - Yes

2 - No

9 - Unknown

11a. SOURCE OF THIS REPORT TO CPS:

CPS-ONLY

1 - Other unit of this DSS/Welfare Dept.

2 - DSS/Welfare Dept. in other state/county

3 - Police/Sheriff

4 - Probation/Corrections Dept.

5 - Coroner/Medical Examiner

6 - School

7 - Day care program

8 - Hospital

9 - Medical clinic/office not in hosp.

10 - Mental health facility/agency

11 - Social/family service agency

12 - Self, family member, relative

13 - Individual unrelated to family

14 - Anonymous

15 - Other (SPECIFY) \_\_\_\_\_

11b. TYPE OF REPORT SOURCE:

1 - Public Agency

2 - Private facility or professional

3 - Individual

CPS-ONLY

12. PARENT/SUBSTITUTE RESPONSE TO CPS ASSESSMENT (Enter code number in space indicated; answer a. and b. for both Parents/Substitutes)

a. Mother/Substitute CPS-ONLY

1 - Cooperative

2 - Uncooperative

3 - Not contacted/not applicable

b. Mother/Substitute CPS-ONLY

1 - Acknowledged allegation

2 - Denied allegation

3 - Not contacted/not applicable

Father/Substitute CPS-ONLY

Father/Substitute CPS-ONLY

13. Have there been any previous substantiated reports of abuse or neglect involving this family?

       Yes

       No

       Unknown

CPS-ONLY

14. STATUS OF CPS ASSESSMENT:

1 - Founded

2 - Indicated

3 - Unfounded

CPS-ONLY

15. If the CPS assessment is Founded or Indicated, briefly explain the basis for the assessment. If the CPS assessment is Unfounded, briefly summarize the allegation. \_\_\_\_\_

RESPCD1F, RESPCD2F, RESPCD3F

CKCDE1F, CKCDE2F, CKCDE3F

IF THE CPS ASSESSMENT IS FOUNDED OR INDICATED, CONTINUE ON NEXT PAGE. IF THE CPS ASSESSMENT IS UNFOUNDED, DO NOT COMPLETE REST OF FORM.

**INDICATED OR FOUNDED CASES ONLY**

SUMMARY OF MALTREATMENT (Use answer codes from back page.)

16. FORM(S) OF MALTREATMENT (F)	RESPCD1F	RESPCD2F	RESPCD3F	
17. ADULT(S) RESPONSIBLE FOR ABOVE (G)	PRSP1F1 - PRSP1F9	PRSP2F1 - PRSP2F9	PRSP3F1 - PRSP3F9	
18. DATE OF (MOST RECENT) OCCURRENCE	INMON1F, INDAY1F INR1F	INMON2F, INDAY2F INR2F	INMON3F, INDAY3F INR3F	
19. INVOLVED CHILD(REN) (From Item 7: circle all that apply)	a b c d e f	a b c d e f	a b c d e f	a b c d e f
20. SEVERITY (H)	SEVTY1F	SEVTY2F	SEVTY3F	
21. NATURE (I)	NATURE1F	NATURE2F	NATURE3F	

22. BRIEFLY DESCRIBE THE HARM CAUSING OR ENDANGERING ACTS/OMISSIONS. Include pertinent information about frequency, duration, precipitating events, if applicable. Indicate suspected involvement of parent/substitutes or other adults. Use Item 24 if you need more space.

CKCDE1F, CKCDE2F, CKCDE3F

23. EVIDENCE OF HARM. Describe any physical injuries, illnesses, symptoms of emotional injury or trauma, or health, school, or behavior problems which you suspect to have been caused or worsened by the acts/omissions described above. Answer separately for each involved child. Use Item 24 if you need more space.

Child's First Name

Effects of Suspected Maltreatment

XXXX

SEVEV1F, SEVEV2F, SEVEV3F

24. ADDITIONAL COMMENTS OR EXPLANATIONS:

2-5

## ANSWER CODES

### **F** FORM(S) OF MALTREATMENT

#### Sexual Exploitation

- 1 - Intrusion (acts involving penile penetration - oral, anal or genital; e.g., rape, incest)
- 2 - Molestation with genital contact
- 3 - Other or unknown sexual exploitation

#### Other Abusive Treatment

- 4 - Physical assault
- 5 - Close confinement (tying, locking in closet, etc.)
- 6 - Verbal or emotional assault (threatening, belittling, etc.)
- 7 - Other or unknown abuse

#### Inattention to Remedial Health Care Needs

- 8 - Refusal to allow or provide needed care for diagnosed condition or impairment
- 9 - Unwarranted delay or failure to seek needed care for serious injury, illness, or impairment

#### Inattention to Physical Needs

- 10 - Refusal of custody (abandonment, expulsion, refusal to accept custody of runaway, etc.)
- 11 - Inadequate supervision
- 12 - Other physical neglect (inadequate nutrition/clothing, unhygienic/dangerous living conditions, etc.)

#### Inattention to Education Needs

- 13 - Knowingly permitted chronic truancy (5+ days per month on an average)
- 14 - Other (failed to enroll, repeatedly kept child home, etc.)

#### Inattention to Developmental/Emotional Needs

- 15 - Inadequate nurturance/affection (e.g., failure-to-thrive)
- 16 - Knowingly permitted maladaptive behavior (delinquency, serious drug/alcohol abuse, etc.)

#### Other

- 17 - Other

### **G** ADULT(S) ROLE IN MALTREATMENT ACTS/EVENTS (Use all that apply)

- 1 - Mother/substitute maltreated child
- 2 - Mother/substitute knowingly permitted maltreatment by others
- 3 - Father/substitute maltreated child
- 4 - Father/substitute knowingly permitted maltreatment by others
- 5 - Other adult maltreated the child
- 6 - Other than above

### **H** SEVERITY OF MALTREATMENT-CAUSED HARM/ENDANGERMENT (Use lowest code number that applies)

- 1 - Fatal: maltreatment suspected as a major contributory cause of death
- 2 - Serious Injury/Condition: professional treatment/remediation needed to alleviate acute present suffering or to prevent significant long-term impairment
- 3 - Moderate Injury/Condition: behavior problem or physical/mental/emotional condition with observable symptoms lasting at least 48 hours
- 4 - Probable Impairment: no obvious injuries or problems but, in view of the extreme or traumatic nature of the maltreatment, it is probable that the child's mental or emotional health or capabilities have been significantly impaired
- 5 - Endangered: child's health or safety was or is seriously endangered, but child appears not to have been harmed
- 6 - Other/unknown

### **I** NATURE OF HARM

0 - Not applicable (Use only if **H** = 5 or 6)

- 1 - Physical injury
- 2 - Other health condition or physical impairment
- 3 - Impaired educational development (includes chronic truancy)
- 4 - Mental/emotional injury or impairment or behavior problem (except chronic truancy)
- 9 - Unknown

Exhibit 2-2. Correspondence Between the Data Items on the  
Non-CPS Form and Variables in the Main NIS-3 Public Use File.

Data Form No:

ID: PSU, AGENCY, SEQNO

Conducted for: National Center on Child Abuse and Neglect, DHHS

**NATIONAL STUDY OF THE INCIDENCE OF  
CHILD ABUSE AND NEGLECT**

OMB No.: 0980-0249

Expires: March 1995

Conducted by: Westat, Inc., 1850 Research Blvd., Rockville, Maryland 20850

DEFINITIONS OF KEY TERMS ARE GIVEN ON PAGE 4. SEE RESPONDENT'S GUIDE FOR ADDITIONAL INSTRUCTIONS AND GUIDELINES. FOR ASSISTANCE OR ADDITIONAL FORMS, CALL TOLL-FREE 1-(800)-288-NIS3 (6473), 9 a.m. TO 5 p.m. (EASTERN TIME) MONDAY - FRIDAY.

IMPORTANT: Information provided on this form is confidential and will be used for research purposes only. Return of this form does not constitute an official report of suspected child abuse or neglect as may be required of you under State Law, and the study guidelines are not intended to indicate circumstances where an official report would - or would not - be required.

**PART A. THE CHILD**

1. CHILD'S FIRST NAME AND INITIAL LETTER OF LAST NAME: XXXX X  
First Name Initial
2. CHILD'S SEX:  
1 - Male CHSEX  
2 - Female
3. CHILD'S AGE: AGE Years (OR) AGEMO Months
4. CHILD'S BIRTHDATE: XX / XX / XX  
Month Day Year
5. CHILD'S ETHNIC GROUP: (Circle one)  
1 - American Indian or Alaskan Native  
2 - Asian or Pacific Islander  
3 - Black, not of Hispanic origin  
4 - Hispanic ETHNIC  
5 - White, not of Hispanic origin  
6 - Other (SPECIFY) ETHOTH

**PART B. THE CHILD'S HOME**

6. LOCATION OF HOUSEHOLD:  
City or Town: XXXX  
County: PSU  
State: PSU
7. ESTIMATED ANNUAL FAMILY INCOME: (Circle one: If no basis for estimate, write "Unknown")  
1 - Less than \$15,000 3 - \$30,000 to \$44,999  
2 - \$15,000 to \$29,999 4 - \$45,000 or more INCOME
8. DOES THE HOUSEHOLD RECEIVE AID TO FAMILIES WITH DEPENDENT CHILDREN (AFDC)? (Circle one)  
1 - Yes 9 - Unknown AFDC  
2 - No
9. TOTAL NUMBER OF CHILDREN UNDER 18 LIVING IN THIS HOUSEHOLD AT TIME OF MALTREATMENT EVENTS (including child described on this form):  
NCHILD No. children in household
10. TOTAL NUMBER OF CHILDREN IN THIS HOUSEHOLD FOR WHOM YOU ARE SUBMITTING STUDY DATA FORMS: (See instructions in Respondent's Guide):  
NVICT No. maltreated children

**PART C. SIGNIFICANT ADULTS**

11. Describe in a - d the Parent(s)/Substitute(s) who live in the child's home, and any other adult who was involved in the suspected maltreatment. See page 4 for definition of parent/substitute.

	MOTHER/ SUBSTITUTE	FATHER/ SUBSTITUTE	OTHER INVOLVED ADULT, IF ANY
a. RELATIONSHIP TO CHILD: (Circle one. If there is no mother/substitute or no father/substitute in the child's home, circle code 3 in the appropriate column and leave rest of column blank.)	<u>MSSTAT</u> 1 - Natural mother 2 - Stepmother 3 - Not living in child's home 4 - Other (SPECIFY) <u>MSUB</u> <u>MSUBOTH</u>	<u>FSSTAT</u> 1 - Natural father 2 - Stepfather 3 - Not living in child's home 4 - Other (SPECIFY) <u>FSUB</u> <u>FSUBOTH</u>	(SPECIFY RELATIONSHIP) <u>OTHPCDE, OTHPCDE2</u> <u>OTHPSP, OTHPSP2</u>
b. SEX: (Circle one)			1 - Male <u>SEXOTH</u> 2 - Female <u>SEXOTH2</u>
c. AGE: (Estimate if uncertain. If no basis for estimate, enter "Unknown.")	<u>AGEM</u>	<u>AGEF</u>	<u>AGEOTH</u> <u>AGEOTH2</u>
d. EMPLOYMENT STATUS: (Circle one)	<u>EMPM</u>	<u>EMPF</u>	<u>EMPOTH, EMPOTH2</u>
1 - Employed full-time (30 hours or more/week).....	1	1	1
2 - Employed part-time (less than 30 hours/week).....	2	2	2
3 - Unemployed, looking for work.....	3	3	3
4 - Active Duty Military.....	4	4	4
5 - Other (SPECIFY, e.g., housewife, disabled).....	<u>5 EMPOTH</u>	<u>5 EMPFOTH</u>	<u>5OEMPOTH, 5OEMPOTH2</u>
9 - Unknown.....	9	9	9

**PART D. THE SUSPECTED MALTREATMENT**

12. FORM(S) OF SUSPECTED MALTREATMENT: (Circle all that apply to this child during the study period. Give details in Item 13.)

Sexual Exploitation

- 1 - Intrusion (acts involving penile penetration - oral, anal, or genital; e.g., rape, incest)
- 2 - Molestation with genital contact
- 3 - Other or unknown sexual exploitation

Other Abusive Treatment

- 4 - Physical assault
- 5 - Close confinement (tying, locking in closet, etc.)
- 6 - Verbal or emotional assault (threatening, belittling, etc.)
- 7 - Other or unknown abuse

Inattention to Remedial Health Care Needs

- 8 - Refusal to allow or provide needed care for diagnosed condition or impairment
- 9 - Unwarranted delay or failure to seek needed care for serious injury, illness, or impairment

Inattention to Physical Needs

- 10 - Refusal of custody (abandonment, expulsion, refusal to accept custody of runaway, etc.)
- 11 - Inadequate supervision
- 12 - Other physical neglect (e.g., inadequate nutrition/clothing, unhygienic/dangerous living conditions)

Inattention to Educational Needs

- 13 - Knowingly permitted chronic truancy (5+ days per month on an average)
- 14 - Other (failed to enroll, repeatedly kept child home, etc.)

Inattention to Developmental/Emotional Needs

- 15 - Inadequate nurturance/affection (e.g., failure-to-thrive)
- 16 - Knowingly permitted maladaptive behavior (delinquency, serious drug/alcohol abuse, etc.)

13. SUSPECTED MALTREATMENT EVENTS: Describe the suspected maltreatment acts or omissions of the child's parent(s)/substitute(s) or other adult known to the child. Include any available information about the precipitating events and about the extent of the problem (e.g., frequency, duration, prior incidents). Refer to individuals by relationship to child (e.g., mother, grandmother), not by name.

CKCDE1F, CKCDE2F, CKCDE3F

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**DO NOT WRITE IN THIS SPACE**

14. INDICATIONS OF INJURY/IMPAIRMENT: Describe any injuries, health conditions, physical impairments, emotional problems, or school or behavior problems you suspect to have been caused or worsened by maltreatment. Be specific (for example, *child often truant during last 2 months - missed 18 of last 30 school days*). Indicate approximate dates of key events. If you are not aware of any particular symptoms or problems, so indicate.

SEVEV1F, SEVEV2F, SEVEV3F

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15. BASIS FOR SUSPICION: Describe how this situation came to your attention: indicate the information or reasoning which leads you to suspect that the above-described behavior of the suspected adult(s) has actually occurred and (if applicable) caused or contributed to child's injuries or problems.

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16. Indicate in item 16a the form(s) of maltreatment you suspect the child to have experienced during the study period and then answer b-e (for each form, if more than one applies). If you suspect more than one form of maltreatment, begin in the left-most column with the one you judge most injurious to the child.

	MOST INJURIOUS FORM OF MALTREATMENT	IF APPLICABLE	
		2nd FORM	3rd FORM
a. FORM(S) OF SUSPECTED MALTREATMENT: (Enter code from item 12, above.)	RESPCD1F	RESPCD2F	RESPCD3F
b. SEVERITY OF INJURY OR IMPAIRMENT: (Circle one)	SEVTY1F	SEVTY2F	SEVTY3F
1 - Fatal: maltreatment suspected as a major contributory cause of death .....	1	1	1
2 - Serious Injury/Condition: professional treatment/remediation needed to alleviate acute present suffering or to prevent significant long-term impairment .....	2	2	2
3 - Moderate Injury/Condition: behavior problem or physical/mental/emotional condition with observable symptoms lasting at least 48 hours .....	3	3	3
4 - Probable Impairment: no obvious injuries or problems, but in view of the extreme or traumatic nature of the maltreatment, it is probable that the child's mental or emotional health or capabilities have been significantly impaired .....	4	4	4
5 - Endangered: child's health or safety was or is seriously endangered, but child appears not to have been harmed .....	5	5	5
c. TYPE OF INJURY OR IMPAIRMENT DESCRIBED IN ITEM 16b: (Circle one)	NATURE1F	NATURE2F	NATURE3F
0 - Not applicable (Use only if item 16b = 5).....	0	0	0
1 - Physical injury .....	1	1	1
2 - Other health condition or physical impairment .....	2	2	2
3 - Impaired educational development (includes chronic truancy) .....	3	3	3
4 - Mental/emotional injury or impairment or behavior problem (except truancy).....	4	4	4
9 - Unknown .....	9	9	9
d. (APPROXIMATE) DATE OF INCIDENT: (Enter Month/Day/Year)	IMON1F INYR1F Mo. Day Yr. INDAY1F	IMON2F INYR2F Mo. Day Yr. INDAY2F	IMON3F INYR3F Mo. Day Yr. INDAY3F
e. ADULT(S) BELIEVED RESPONSIBLE FOR MALTREATMENT DESCRIBED ABOVE: (Circle all that apply)	PRSP1F1 to PRSP1F9	PRSP2F1 to PRSP2F9	PRSP3F1 to PRSP3F9
1 - Mother/substitute maltreated child.....	1	1	1
2 - Mother/substitute knowingly permitted maltreatment by others .....	2	2	2
3 - Father/substitute maltreated child .....	3	3	3
4 - Father/substitute knowingly permitted maltreatment by others .....	4	4	4
5 - Other adult maltreated child .....	5	5	5

17. PLEASE PROVIDE THE INFORMATION REQUESTED BELOW SO WE CAN REACH YOU IN CASE ANY CLARIFICATION IS NEEDED. This section will be deleted and destroyed within 10 days of receipt at Westat.

Your Name \_\_\_\_\_ Phone No. \_\_\_\_\_ ( ) Home or Office Best time of day to call \_\_\_\_\_ AM PM

18. PLACE OF EMPLOYMENT OF PERSON PROVIDING INFORMATION ON THIS DATA FORM: (Circle one)

- 1 - School
- 2 - Day care
- 3 - Hospital AGENCY
- 4 - Police/Sheriff Department
- 5 - Probation Department
- 6 - Public Health Department
- 7 - Social services agency
- 8 - Other (SPECIFY) \_\_\_\_\_

19. OCCUPATION OF PERSON PROVIDING INFORMATION ON THIS DATA FORM: (Circle one)

- 1 - Social worker
- 2 - Nurse
- 3 - Physician ROCC
- 4 - Psychologist
- 5 - Teacher
- 6 - Sheriff/police officer
- 7 - Probation officer
- 8 - Other (SPECIFY) ROCCSP \_\_\_\_\_

20. DATE FORM COMPLETED: REPTMO / REPTDA / REPTYR  
Month Day Year

21. ADDITIONAL COMMENTS OR EXPLANATIONS: (Also, use this space to list Data Form Numbers for other maltreated children from this household, if any.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

THANK YOU FOR TAKING PART IN THE THIRD NATIONAL STUDY OF CHILD ABUSE AND NEGLECT.

### DEFINITION OF KEY TERMS

**Study Period:** September 5, 1993 to December 4, 1993. The study is limited to harm-causing or endangering acts/events that occur during this period.

**Parent(s)/Substitute(s):** the parents, stepparents, foster parents or other legal guardians with whom the child was living, or if not living with such persons, the adult(s) with primary responsibility for the child's care. If one parent/substitute does not have a spouse normally living in the household (e.g., separated, divorced, never married), the other is considered missing.

**Other Involved Adult:** Any adult 18 or older who was known to the child (i.e., not a stranger), was at least five years older than the child, and is suspected to have maltreated the child.

**Permitted:** Knowingly allowed without attempting to intervene - was present during the maltreatment or maladaptive behavior and did not attempt to stop it, or had reason to know about the problem or danger (e.g., having been informed of previous incidents) but did not attempt to protect the child or prevent recurrences.

Exhibit 2-3. Correspondence Between the CPS Transmittal Form Codes and Variables in the Main NIS-3 Public Use File.  
**CPS TRANSMITTAL FORM**

CPS-ONLY  
 Coding Needed:  Identification Only  
                    Pre-evaluative  
                    Evaluative

IDENTIFICATION

ID: PSU, AGENCY, SEQNO	Rec	Sub	Fill	Check	Fill	Child's Letter		
Form ID:  _ _  -  _ _  -  _ _  -  _ _ _ _	0   6	_ _	+	_	+	_	Batch No.: L  _ _ _ _	Coder:  _  <input checked="" type="checkbox"/>
Child's Name:	Last Init.:	Sex	Birthdate:					
_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _	_	_	M M	D D	Y Y			
X X X X X	X	CHSEX	X X	X X	X X			

PRE-EVALUATION

Mother/Substitute:	Father/Substitute:	Other Involved Person:
a. In-Home Status:  _  MSSTAT	a. In-Home Status:  _  FSSTAT	b. Relationship:  _ _  OTHPCDE, OTHPCDE2
b. Relationship:  _  MSUB	b. Relationship:  _  FSUB	(Other): OTHPSP, OTHPSP2
(Other): MSUBOTH	(Other): FSUBOTH	_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _
_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _	_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _	c. Sex:  _  SEXOTH, SEXOTH2
d. Ethnic Group:  _ _  METH	d. Ethnic Group:  _ _  FETHNIC	d. Ethnic Group:  _ _  OTHET, OTHET2
(Other): METHOTH	(Other): FETHOTH	(Other): OTHE, OTHE2
_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _	_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _	_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _
e. Age: AGEM	e. Age: AGEF	e. Age: AGEOTH, AGEOTH2
f. Employment: EMPM	f. Employment: EMPF	f. Employment: EMPOTH, EMPOTH2
_ _	_ _	_ _

	<u>First Form</u>	<u>Second Form</u>	<u>Third Form</u>
Allegations:	_ _ · _	_ _ · _	_ _ · _
	CPS-ONLY	CPS-ONLY	CPS-ONLY

EVALUATION

	Age	Residence	Custody Status
Checklist:	_  AGECK	_  RESIDCK	_  CUSTDYCK

**EXHIBIT 2-3. (CONTINUED)  
CPS TRANSMITTAL FORM (CONT.)**

MALTREATMENT

First Form

Respondent's Code:    RESPCD1F  
Checked Code:     CKCDE1F

SEVTY1F NATURE1F  
Severity Nature  
of Harm:  of Harm:

INMON1F INDAY1F INYR1F  
M M D D Y Y

Date of Incident:

Person(s) Respon.:      PRSP1F1

1 2 3 4  
5 6 7 8 9 to  
     PRSP1F9

Second Form

Respondent's Code:    RESPCD2F  
Checked Code:     CKCDE2F

SEVTY2F NATURE2F  
Severity Nature  
of Harm:  of Harm:

INMON2F INDAY2F INYR2F  
M M D D Y Y

Date of Incident:

Person(s) Respon.:      PRSP2F1

1 2 3 4  
5 6 7 8 9 to  
     PRSP2F9

Third Form

Respondent's Code:    RESPCD3F  
Checked Code:     CKCDE3F

SEVTY3F NATURE3F  
Severity Nature  
of Harm:  of Harm:

INMON3F INDAY3F INYR3F  
M M D D Y Y

Date of Incident:

Person(s) Respon.:      PRSP3F1

1 2 3 4  
5 6 7 8 9 to  
     PRSP3F9

CIRCUMSTANCE EVALUATIONS:

A. Time Evaluation:   TIMEV1F  
B. Severity Evaluation:   SEVEV1F

A. Time Evaluation:   TIMEV2F  
B. Severity Evaluation:   SEVEV2F

A. Time Evaluation:   TIMEV3F  
B. Severity Evaluation:   SEVEV3F

HARM STANDARD EVALUATIONS:

A. Perpetrator Requirement:  HSA1F  
B. Harm Requirement:  HSB1F  
C. Perpetrator Responsibility:  HSC1F  
D. HS Countability:  HSD1F

A. Perpetrator Requirement:  HSA2F  
B. Harm Requirement:  HSB2F  
C. Perpetrator Responsibility:  HSC2F  
D. HS Countability:  HSD2F

A. Perpetrator Requirement:  HSA3F  
B. Harm Requirement:  HSB3F  
C. Perpetrator Responsibility:  HSC3F  
D. HS Countability:  HSD3F

E. Overall HS Countability of Case:	HSE <input type="checkbox"/>
--	---------------------------------

ENDANGERMENT STANDARD EVALUATIONS:

A. Perpetrator Requirement:  ESA1F  
B. Harm Requirement:  ESB1F  
C. Perpetrator Responsibility:  ESC1F  
D. ES Countability:  ESD1F

A. Perpetrator Requirement:  ESA2F  
B. Harm Requirement:  ESB2F  
C. Perpetrator Responsibility:  ESC2F  
D. ES Countability:  ESD2F

A. Perpetrator Requirement:  ESA3F  
B. Harm Requirement:  ESB3F  
C. Perpetrator Responsibility:  ESC3F  
D. ES Countability:  ESD3F

E. Overall ES Countability of Case:	ESE <input type="checkbox"/>
--	---------------------------------

Exhibit 2-4. Correspondence Between the Non-CPS Transmittal Form Codes  
and Variables in the Main NIS-3 Public Use File.

**NON-CPS TRANSMITTAL FORM**

ID: PSU, AGENCY, SEQNO

PRE-EVALUATION

Form ID: \_\_\_\_\_

Rec \_\_\_\_\_

Sub \_\_\_\_\_

Fill \_\_\_\_\_

Check \_\_\_\_\_

Fill \_\_\_\_\_

Batch No.: \_\_\_\_\_

Coder: \_\_\_\_\_

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

|0|4| |0|0| |+| | | |+

N|\_\_\_\_\_|\_\_\_\_\_|

|\_\_|

Child's Name: \_\_\_\_\_

Last Init.: \_\_\_\_\_

Sex: CHSEX

Birthdate: \_\_\_\_\_

X X X X

X

M M D D Y Y

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

|\_\_|

|\_\_|

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

X X X X X X

Other Involved Person: \_\_\_\_\_

Mother/Substitute: \_\_\_\_\_

Father/Substitute: \_\_\_\_\_

a. In-Home Status:  MSSTAT

a. In-Home Status:  FSSTAT

b. Relationship:  MSUB

b. Relationship:  FSUB

(Other): MSUBOTH

(Other): FSUBOTH

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

b. Relationship:  OTHPCDE, OTHPCDE2  
(Specify): OTHPSP, OTHPSP2

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|  
SEXOTH, SEXOTH2 AGEOTH, AGEOTH2

d. Age: ||

d. Age: ||

c. Sex: || d. Age: ||

e. Employment:  EMPM

e. Employment:  EMPF

(Other): EMPMOTH

(Other): EMPFOTH

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

e. Employment:  EMPOTH, EMPOTH2

(Other): OEMPOTH, OEMPOTH2

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

EVALUATION

Age Residence Custody Status  
Checklist: ||  
AGECK RESIDCK CUSTDYCK

MALTREATMENT

First Form

Second Form

Third Form

Respondent's Code: | RESPCD1F  
Checked Code: || CKCDE1F

Respondent's Code: | RESPCD2F  
Checked Code: || CKCDE2F

Respondent's Code: | RESPCD3F  
Checked Code: || CKCDE3F

Severity SEVTY1F Nature NATURE1F  
of Harm: ||  
of Harm: ||  
IMON1F INDAY1F INYR1F  
M M D D Y Y

Severity SEVTY2F Nature NATURE2F  
of Harm: ||  
of Harm: ||  
IMON2F INDAY2F INYR2F  
M M D D Y Y

Severity SEVTY3F Nature NATURE3F  
of Harm: ||  
of Harm: ||  
IMON3F INDAY3F INYR3F  
M M D D Y Y

Date of Incident ||||

Date of Incident ||||

Date of Incident ||||

Person(s) Resp.: |||| PRSP1F1  
to  
|||| PRSP1F9

Person(s) Resp.: |||| PRSP2F1  
to  
|||| PRSP2F9

Person(s) Respon.: |||| PRSP3F1  
to  
|||| PRSP3F9

CIRCUMSTANCE EVALUATIONS:

A. Time Evaluation:  TIMEV1F  
B. Severity Evaluation:  SEVEV1F

A. Time Evaluation:  TIMEV2F  
B. Severity Evaluation:  SEVEV2F

A. Time Evaluation:  TIMEV3F  
B. Severity Evaluation:  SEVEV3F

Exhibit 2-4. (CONTINUED)  
NON-CPS TRANSMITTAL FORM (CONT.)

HARM STANDARD EVALUATIONS:

<u>First Form</u>		<u>Second Form</u>		<u>Third Form</u>	
A. Perpetrator Requirement:	<input type="checkbox"/> HSA1F	A. Perpetrator Requirement:	<input type="checkbox"/> HSA2F	A. Perpetrator Requirement:	<input type="checkbox"/> HSA3F
B. Harm Requirement:	<input type="checkbox"/> HSB1F	B. Harm Requirement:	<input type="checkbox"/> HSB2F	B. Harm Requirement:	<input type="checkbox"/> HSB3F
C. Perpetrator Responsibility:	<input type="checkbox"/> HSC1F	C. Perpetrator Responsibility:	<input type="checkbox"/> HSC2F	C. Perpetrator Responsibility:	<input type="checkbox"/> HSC3F
D. HS Countability:	<input type="checkbox"/> HSD1F	D. HS Countability:	<input type="checkbox"/> HSD2F	D. HS Countability:	<input type="checkbox"/> HSD3F
<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 0 auto;"><p>E. Overall Harm Standard      HSE Countability of Case:      <input type="checkbox"/></p></div>					

ENDANGERMENT STANDARD EVALUATIONS:

<u>First Form</u>		<u>Second Form</u>		<u>Third Form</u>	
A. Perpetrator Requirement:	<input type="checkbox"/> ESA1F	A. Perpetrator Requirement:	<input type="checkbox"/> ESA2F	A. Perpetrator Requirement:	<input type="checkbox"/> ESA3F
B. Harm Requirement:	<input type="checkbox"/> ESB1F	B. Harm Requirement:	<input type="checkbox"/> ESB2F	B. Harm Requirement:	<input type="checkbox"/> ESB3F
C. Perpetrator Responsibility:	<input type="checkbox"/> ESC1F	C. Perpetrator Responsibility:	<input type="checkbox"/> ESC2F	C. Perpetrator Responsibility:	<input type="checkbox"/> ESC3F
D. ES Countability:	<input type="checkbox"/> ESD1F	D. ES Countability:	<input type="checkbox"/> ESD2F	D. ES Countability:	<input type="checkbox"/> ESD3F
<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 0 auto;"><p>E. Overall Endangerment Standard    ESE Countability of Case:      <input type="checkbox"/></p></div>					

## 2.2 Index of Variables in the NIS-3 Main Public Use File

This section contains two index listings, given as Table 2-1 and Table 2-2, respectively, each of which comprehensively lists the 213 variables in the NIS-3 Main Public Use File. The page numbers to which they refer are those in Section 2.3, where each variable is fully defined. These index listings also note whether each variable is numeric or character. In the first listing, Table 2-1, the variables are given in order of their appearance in Section 2.3. The second index, Table 2-2, lists the variables in alphabetical order.

Table 2-1. Index of Variables Included in the NIS-3 Main Public Use File In Order of Their Appearance in Section 2.3, Showing Their Variable Names and Labels, the Pages Where They Are Defined in Section 2.3, and Their Type (Character or Numeric).

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
ID .....	Child's Unique Identification Number .....	2-28	Character
PSU .....	Primary Sampling Unit.....	2-28	Character
AGENCY.....	Facility Type Submitting Data Form.....	2-29	Character
SEQNO .....	Within-Agency-in-PSU Sequence Number .....	2-29	Character
METSTAT.....	Metropolitan Status of County .....	2-30	Numeric
FORMTYPE ....	Type of Data Form--CPS Long, Non-CPS .....	2-30	Character
REPTMO .....	Month Form Completed .....	2-30	Character
REPTDA .....	Day Form Completed .....	2-31	Character
REPTYR .....	Year Form Completed.....	2-31	Character
DUPGRP.....	Duplicate Group Identifier.....	2-31	Numeric
CPSROL.....	CPS Investigation of Child's Maltreat.....	2-31	Character
RECSOURC ....	Recognition Source.....	2-33	Character
NCHILD .....	Number of Children Under 18 In Household .....	2-35	Character
NVICT .....	Total Number of Victims in Household .....	2-36	Character
INCOME .....	Estimated Annual Family Income .....	2-36	Character
AFDC .....	Family Received AFDC .....	2-36	Character
AGE .....	Child's Age: Years .....	2-36	Character
AGEMO .....	Child's Age: Months.....	2-37	Character
AGEYCALC ...	Child's Age at Maltreatment in Years.....	2-37	Character
AGEMCALC ...	Child's Age at Maltreatment in Months.....	2-38	Character
AGEYRMO ....	Child's Age at Maltreatment: Yrs_Mos .....	2-38	Character
AGECLASS.....	Child's Age Group .....	2-38	Numeric
CHSEX .....	Child's Sex .....	2-39	Character
ETHNIC .....	Child's Race/Ethnicity.....	2-40	Character

(Table 2-1 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
ETHOTH .....	Other Race/Ethnicity of Child .....	2-40	Character
RACEETH .....	Child's Race/Ethnicity Group .....	2-40	Numeric
MSSTAT .....	Status of Mother/Substitute .....	2-41	Character
FSSTAT .....	Status of Father/Substitute.....	2-41	Character
MSUB .....	Mother/Substitute Relationship to Child .....	2-41	Character
FSUB .....	Father/Substitute Relationship to Child .....	2-42	Character
MSUBOTH .....	Oth Relationship of Mother/Sub to Child .....	2-42	Character
FSUBOTH .....	Oth Relationship of Father/Sub to Child .....	2-42	Character
INHSTATP.....	Number and Status of In-Home Parents.....	2-43	Numeric
INHSTONE.....	Mother-Only or Father-Only Household .....	2-44	Numeric
AGEM .....	Age of Mother/Substitute.....	2-44	Character
AGEF .....	Age of Father/Substitute.....	2-44	Character
METH .....	Mother/Substitute Race/Ethnicity .....	2-45	Character
FETHNIC .....	Father/Substitute Race/Ethnicity .....	2-45	Character
METHOTH .....	Other Race/Ethnicity of Mother/Sub.....	2-45	Character
FETHOTH .....	Other Race/Ethnicity of Father/Sub.....	2-45	Character
EMPM .....	Employment Status of Mother/Substitute .....	2-46	Character
EMPF .....	Employment Status of Father/Substitute .....	2-46	Character
EMPMOTH .....	Other Employment of Mother/Substitute.....	2-46	Character
EMPFOTH .....	Other Employment of Father/Substitute.....	2-47	Character
OTHPCDE .....	Other Involved Person Relatnshp to Child.....	2-47	Character
OTHPCDE2.....	2nd Oth Involvd Person Reltnshp to Child.....	2-47	Character
OTHPSP .....	Relatnshp of Other to Child: Specify .....	2-48	Character
OTHPSP2 .....	Relat of 2nd Other to Chld: Specify.....	2-48	Character
AGEOTH .....	Age of Other Involved Person .....	2-49	Character
AGEOTH2 .....	Age of 2nd Other Involved Person .....	2-49	Character
SEXOTH .....	Sex of Other Involved Person.....	2-50	Character
SEXOTH2 .....	Sex of 2nd Other Involved Person .....	2-50	Character
OTHET .....	Other Involved Person's Race/Ethnicity .....	2-50	Character
OTHET2 .....	2nd Oth Involved Person's Race/Ethnicity .....	2-50	Character
OTHE .....	Other Race/Eth of Other Involvd Person.....	2-50	Character
OTHE2 .....	Oth Race/Eth of 2nd Oth Involvd Person .....	2-50	Character
EMPOTH.....	Employment of Other Involved Person.....	2-51	Character
EMPOTH2 .....	Employment of 2nd Other Involvd Person.....	2-51	Character
OEMPOTH .....	Oth Emp Status of Other Involved Person .....	2-51	Character
OEMPOTH2 .....	Oth Emp Stat of 2nd Oth Involved Person.....	2-51	Character
ROCC .....	Occupation of Sentinel Submitting Form .....	2-52	Character
ROCCSP .....	Oth Occupation of Sentinel .....	2-52	Character
AGECK .....	Child's Age: Out of Scope Check .....	2-52	Character
RESIDCK .....	Child's Residency: Out of Scope Check.....	2-53	Character
CUSTDYCK .....	Child's Custody Status:Out of Scope Chk.....	2-53	Character

(Table 2-1 continues on next page.)



<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
RESPCD1F .....	Respondent's Code of Maltreat--1st Form.....	2-53	Character
RESPCD2F .....	Respondent's Code of Maltreat--2nd Form.....	2-53	Character
RESPCD3F .....	Respondent's Code of Maltreat--3rd Form.....	2-53	Character
CKCDE1F .....	Checked Code of Maltreatment--1st Form.....	2-54	Character
CKCDE2F .....	Checked Code of Maltreatment--2nd Form.....	2-54	Character
CKCDE3F .....	Checked Code of Maltreatment--3rd Form.....	2-54	Character
SEVTY1F .....	Respondent's Severity of Harm--1st Form.....	2-56	Character
SEVTY2F .....	Respondent's Severity of Harm--2nd Form.....	2-56	Character
SEVTY3F .....	Respondent's Severity of Harm--3rd Form.....	2-56	Character
NATURE1F .....	Nature of Harm--1st Form.....	2-57	Character
NATURE2F .....	Nature of Harm--2nd Form.....	2-57	Character
NATURE3F .....	Nature of Harm--3rd Form.....	2-57	Character
INMON1F .....	Month of Incident--1st Form.....	2-57	Character
INMON2F .....	Month of Incident--2nd Form.....	2-57	Character
INMON3F .....	Month of Incident--3rd Form.....	2-57	Character
INDAY1F .....	Day of Incident--1st Form.....	2-58	Character
INDAY2F .....	Day of Incident--2nd Form.....	2-58	Character
INDAY3F .....	Day of Incident--3rd Form.....	2-58	Character
INYR1F .....	Year of Incident--1st Form.....	2-58	Character
INYR2F .....	Year of Incident--2nd Form.....	2-58	Character
INYR3F .....	Year of Incident--3rd Form.....	2-58	Character
TIMEV1F .....	Time Frame Eligibility--1st Form.....	2-58	Character
TIMEV2F .....	Time Frame Eligibility--2nd Form.....	2-58	Character
TIMEV3F .....	Time Frame Eligibility--3rd Form.....	2-58	Character
SEVEV1F .....	Severity Evaluation--1st Form.....	2-59	Character
SEVEV2F .....	Severity Evaluation--2nd Form.....	2-59	Character
SEVEV3F .....	Severity Evaluation--3rd Form.....	2-59	Character
PRSP1F1 .....	Mother/Sub Maltreated--Form 1.....	2-60	Character
PRSP2F1 .....	Mother/Sub Maltreated--Form 2.....	2-60	Character
PRSP3F1 .....	Mother/Sub Maltreated--Form 3.....	2-60	Character
PRSP1F2 .....	Father/Sub Maltreated--Form 1.....	2-60	Character
PRSP2F2 .....	Father/Sub Maltreated--Form 2.....	2-60	Character
PRSP3F2 .....	Father/Sub Maltreated--Form 3.....	2-60	Character
PRSP1F3 .....	Mo/Sub Permitted Maltreatment--Form 1.....	2-61	Character
PRSP2F3 .....	Mo/Sub Permitted Maltreatment--Form 2.....	2-61	Character
PRSP3F3 .....	Mo/Sub Permitted Maltreatment--Form 3.....	2-61	Character
PRSP1F4 .....	Fa/Sub Permitted Maltreatment--Form 1.....	2-61	Character
PRSP2F4 .....	Fa/Sub Permitted Maltreatment--Form 2.....	2-61	Character
PRSP3F4 .....	Fa/Sub Permitted Maltreatment--Form 3.....	2-61	Character
PRSP1F5 .....	Other Involved Maltreated--Form 1.....	2-62	Character
PRSP2F5 .....	Other Involved Maltreated--Form 2.....	2-62	Character

(Table 2-1 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
PRSP3F5 .....	Other Involved Maltreated--Form 3.....	2-62	Character
PRSP1F6 .....	Other Permitted Maltreatment--Form 1 .....	2-62	Character
PRSP2F6 .....	Other Permitted Maltreatment--Form 2 .....	2-62	Character
PRSP3F6 .....	Other Permitted Maltreatment--Form 3 .....	2-62	Character
PRSP1F7 .....	2nd Other Involved Maltreated--Form 1 .....	2-63	Character
PRSP2F7 .....	2nd Other Involved Maltreated--Form 2 .....	2-63	Character
PRSP3F7 .....	2nd Other Involved Maltreated--Form 3 .....	2-63	Character
PRSP1F8 .....	Not Ascertained Who Maltreated--Form 1.....	2-63	Character
PRSP2F8 .....	Not Ascertained Who Maltreated--Form 2.....	2-63	Character
PRSP3F8 .....	Not Ascertained Who Maltreated--Form 3.....	2-63	Character
PRSP1F9 .....	Unknown Who Maltreated--Form 1 .....	2-64	Character
PRSP2F9 .....	Unknown Who Maltreated--Form 2 .....	2-64	Character
PRSP3F9 .....	Unknown Who Maltreated--Form 3 .....	2-64	Character
HSA1F .....	Harm Std Perp Requirement--1st Form.....	2-64	Character
HSA2F .....	Harm Std Perp Requirement--2nd Form.....	2-64	Character
HSA3F .....	Harm Std Perp Requirement--3rd Form .....	2-64	Character
HSB1F .....	Harm Std Harm Requirement--1st Form .....	2-65	Character
HSB2F .....	Harm Std Harm Requirement--2nd Form .....	2-65	Character
HSB3F .....	Harm Std Harm Requirement--3rd Form.....	2-65	Character
HSC1F .....	Harm Std Perp Responsibility--1st Form.....	2-65	Character
HSC2F .....	Harm Std Perp Responsibility--2nd Form.....	2-65	Character
HSC3F .....	Harm Std Perp Responsibility--3rd Form .....	2-65	Character
HSD1F .....	Harm Standard Assessment--1st Form .....	2-66	Character
HSD2F .....	Harm Standard Assessment--2nd Form .....	2-66	Character
HSD3F .....	Harm Standard Assessment--3rd Form.....	2-66	Character
HSE .....	Overall Harm Standard Assessment .....	2-66	Character
ESA1F .....	Endang Std Perp Requirement--1st Form .....	2-67	Character
ESA2F .....	Endang Std Perp Requirement--2nd Form .....	2-67	Character
ESA3F .....	Endang Std Perp Requirement--3rd Form.....	2-67	Character
ESB1F .....	Endang Std Harm Requirement--1st Form.....	2-67	Character
ESB2F .....	Endang Std Harm Requirement--2nd Form.....	2-67	Character
ESB3F .....	Endang Std Harm Requirement--3rd Form .....	2-67	Character
ESC1F .....	Endang Std Perp Responsibility--1st Form .....	2-68	Character
ESC2F .....	Endang Std Perp Responsibility--2nd Form .....	2-68	Character
ESC3F .....	Endang Std Perp Responsibility--3rd Form.....	2-68	Character
ESD1F .....	Endang Standard Assessment--1st Form .....	2-68	Character
ESD2F .....	Endang Standard Assessment--2nd Form .....	2-68	Character
ESD3F .....	Endang Standard Assessment--3rd Form.....	2-68	Character
ESE .....	Overall Endang Standard Assessment .....	2-69	Character
AMALH .....	Harm Std Countable--Any Maltreatment.....	2-70	Numeric
AABUSEH .....	Harm Std Countable--Any Abuse .....	2-70	Numeric

(Table 2-1 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
PAH .....	Harm Std Countable--Any Physical Abuse .....	2-71	Numeric
SAH .....	Harm Std Countable--Any Sexual Abuse .....	2-71	Numeric
EAH .....	Harm Std Countable--Any Emotional Abuse.....	2-71	Numeric
ANEGH .....	Harm Std Countable--Any Neglect .....	2-71	Numeric
PNH .....	Harm Std Countable--Any Physical Neglect.....	2-72	Numeric
EDNH .....	Harm Std Countable--Any Educat'l Neglect.....	2-72	Numeric
ENH .....	Harm Std Countable--Any Emot'l Neglect.....	2-72	Numeric
AMALE .....	Endg Std Countable--Any Maltreatment .....	2-73	Numeric
AABUSEE .....	Endg Std Countable--Any Abuse.....	2-74	Numeric
PAE .....	Endg Std Countable--Any Physical Abuse.....	2-74	Numeric
SAE .....	Endg Std Countable--Any Sexual Abuse.....	2-74	Numeric
EAE .....	Endg Std Countable--Any Emotional Abuse .....	2-75	Numeric
ANEGE .....	Endg Std Countable--Any Neglect.....	2-75	Numeric
PNE .....	Endg Std Countable--Any Physical Neglect.....	2-75	Numeric
EDNE .....	Endg Std Countable--Any Educat'l Neglect.....	2-76	Numeric
ENE .....	Endg Std Countable--Any Emot'l Neglect .....	2-76	Numeric
OTHNEGE .....	Endg Std Countable--Other Neglect .....	2-76	Numeric
OTHMALE .....	EndgStd Countable--Other Maltreatment .....	2-77	Numeric
CPSH .....	Harm Std Ctbl and Investigated By CPS .....	2-77	Numeric
CPSE .....	Endg Std Ctbl and Investigated By CPS.....	2-77	Numeric
NCPSH .....	Harm Std Ctbl and Not Investigated By PS.....	2-78	Numeric
NCPSE .....	Endg Std Ctbl and Not Investigated By PS .....	2-78	Numeric
SEVER1 .....	Most Severe Outcome from Harm Std Maltx.....	2-78	Numeric
SEVER2 .....	Most Severe Outcome from Endg Std Maltx .....	2-79	Numeric
SV1.....	Most Harm from HS Maltreatment.....	2-80	Numeric
SV2.....	Most Harm from HS Abuse .....	2-81	Numeric
SV3.....	Most Harm from HS Physical Abuse .....	2-81	Numeric
SV4.....	Most Harm from HS Sexual Abuse .....	2-81	Numeric
SV5.....	Most Harm from HS Emotional Abuse.....	2-82	Numeric
SV6.....	Most Harm from HS Neglect .....	2-82	Numeric
SV7.....	Most Harm from HS Physical Neglect .....	2-83	Numeric
SV8.....	Most Harm from HS Educational Neglect .....	2-83	Numeric
SV9.....	Most Harm from HS Emotional Neglect.....	2-84	Numeric
PRP1 .....	Closest Perp--HS Maltrix w/Most Harm .....	2-86	Numeric
PRP2 .....	Closest Perp--HS Abuse w/Most Harm.....	2-87	Numeric
PRP3 .....	Closest Perp--HS Phys Abuse w/Most Harm.....	2-87	Numeric
PRP4 .....	Closest Perp--HS Sexual Abuse w/Most Hrm....	2-87	Numeric
PRP5 .....	Closest Perp--HS Emot'l Abuse w/Most Hrm ....	2-87	Numeric
PRP6 .....	Closest Perp--HS Nelgect w/Most Harm.....	2-87	Numeric
PRP7 .....	Closest Perp--HS Phys Neglect w/Most Hrm.....	2-87	Numeric
PRP8 .....	Closest Perp--HS Ed Neglect w/Most Harm.....	2-87	Numeric
PRP9 .....	Closest Perp--HS Emo Neglect w/Most Harm ...	2-87	Numeric

(Table 2-1 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
FINWT.....	Final Weight.....	2-88	Numeric
REPWT1 .....	Replicate Weight 1 .....	2-88	Numeric
REPWT2 .....	Replicate Weight 2 .....	2-88	Numeric
REPWT3 .....	Replicate Weight 3 .....	2-88	Numeric
REPWT4 .....	Replicate Weight 4 .....	2-89	Numeric
REPWT5 .....	Replicate Weight 5 .....	2-89	Numeric
REPWT6 .....	Replicate Weight 6 .....	2-89	Numeric
REPWT7 .....	Replicate Weight 7 .....	2-89	Numeric
REPWT8 .....	Replicate Weight 8 .....	2-89	Numeric
REPWT9 .....	Replicate Weight 9 .....	2-89	Numeric
REPWT10.....	Replicate Weight 10.....	2-89	Numeric
REPWT11.....	Replicate Weight 11.....	2-89	Numeric
REPWT12.....	Replicate Weight 12.....	2-89	Numeric
REPWT13.....	Replicate Weight 13.....	2-89	Numeric
REPWT14.....	Replicate Weight 14.....	2-89	Numeric
REPWT15.....	Replicate Weight 15.....	2-89	Numeric
REPWT16.....	Replicate Weight 16.....	2-89	Numeric
REPWT17.....	Replicate Weight 17.....	2-89	Numeric
REPWT18.....	Replicate Weight 18.....	2-89	Numeric
REPWT19.....	Replicate Weight 19.....	2-89	Numeric
REPWT20.....	Replicate Weight 20.....	2-89	Numeric
REPWT21.....	Replicate Weight 21.....	2-89	Numeric
PSUWGT .....	PSU weight.....	2-90	Numeric
ANNFAC.....	Annualization Factor.....	2-90	Numeric

Table 2-2. Index of Variables Included in the NIS-3 Main Public Use File In Alphabetical Order, Showing Their Variable Names and Labels, the Pages Where They Are Defined in Section 2.3, and Their Type (Character or Numeric).

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
AABUSEE .....	Endg Std Countable--Any Abuse.....	2-74	Numeric
AABUSEH .....	Harm Std Countable--Any Abuse .....	2-70	Numeric
AFDC .....	Family Received AFDC .....	2-36	Character
AGE .....	Child's Age: Years.....	2-36	Character
AGECK .....	Child's Age: Out of Scope Check .....	2-52	Character
AGECLASS.....	Child's Age Group .....	2-38	Numeric
AGEF .....	Age of Father/Substitute.....	2-44	Character
AGEM .....	Age of Mother/Substitute.....	2-44	Character
AGEMCALC ....	Child's Age at Maltreatment in Months .....	2-38	Character
AGEMO .....	Child's Age: Months.....	2-37	Character
AGENCY.....	Facility Type Submitting Data Form.....	2-29	Character
AGEOTH .....	Age of Other Involved Person .....	2-49	Character
AGEOTH2 .....	Age of 2nd Other Involved Person .....	2-49	Character
AGEYCALC ....	Child's Age at Maltreatment in Years.....	2-37	Character
AGEYRMO ....	Child's Age at Maltreatment: Yrs_Mos.....	2-38	Character
AMALE .....	Endg Std Countable--Any Maltreatment .....	2-73	Numeric
AMALH .....	Harm Std Countable--Any Maltreatment.....	2-70	Numeric
ANEGE .....	Endg Std Countable--Any Neglect.....	2-75	Numeric
ANEGH .....	Harm Std Countable--Any Neglect .....	2-71	Numeric
ANNFAC.....	Annualization Factor.....	2-90	Numeric
CHSEX .....	Child's Sex.....	2-39	Character
CKCDE1F .....	Checked Code of Maltreatment--1st Form.....	2-54	Character
CKCDE2F .....	Checked Code of Maltreatment--2nd Form.....	2-54	Character
CKCDE3F .....	Checked Code of Maltreatment--3rd Form .....	2-54	Character
CPSE .....	Endg Std Ctbl and Investigated By CPS.....	2-77	Numeric
CPSH .....	Harm Std Ctbl and Investigated By CPS .....	2-77	Numeric
CPSROL.....	CPS Investigation of Child's Maltreat .....	2-31	Character
CUSTDYCK ....	Child's Custody Status:Out of Scope Chk.....	2-53	Character
DUPGRP .....	Duplicate Group Identifier .....	2-31	Numeric
EAE .....	Endg Std Countable--Any Emotional Abuse .....	2-75	Numeric
EAH .....	Harm Std Countable--Any Emotional Abuse.....	2-71	Numeric
EDNE .....	Endg Std Countable--Any Educat'l Neglect.....	2-76	Numeric
EDNH .....	Harm Std Countable--Any Educat'l Neglect.....	2-72	Numeric
EMPF .....	Employment Status of Father/Substitute .....	2-46	Character

(Table 2-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
EMPFOTH .....	Other Employment of Father/Substitute.....	2-47	Character
EMPM .....	Employment Status of Mother/Substitute .....	2-46	Character
EMPMOTH .....	Other Employment of Mother/Substitute.....	2-46	Character
EMPOTH.....	Employment of Other Involved Person.....	2-51	Character
EMPOTH2 .....	Employment of 2nd Other Involvd Person.....	2-51	Character
ENE .....	Endg Std Countable--Any Emot'l Neglect .....	2-76	Numeric
ENH .....	Harm Std Countable--Any Emot'l Neglect.....	2-72	Numeric
ESA1F .....	Endang Std Perp Requirement--1st Form .....	2-67	Character
ESA2F .....	Endang Std Perp Requirement--2nd Form .....	2-67	Character
ESA3F .....	Endang Std Perp Requirement--3rd Form.....	2-67	Character
ESB1F .....	Endang Std Harm Requirement--1st Form.....	2-67	Character
ESB2F .....	Endang Std Harm Requirement--2nd Form.....	2-67	Character
ESB3F .....	Endang Std Harm Requirement--3rd Form .....	2-67	Character
ESC1F .....	Endang Std Perp Responsibility--1st Form .....	2-68	Character
ESC2F .....	Endang Std Perp Responsibility--2nd Form .....	2-68	Character
ESC3F .....	Endang Std Perp Responsibility--3rd Form.....	2-68	Character
ESD1F .....	Endang Standard Assessment--1st Form .....	2-68	Character
ESD2F .....	Endang Standard Assessment--2nd Form .....	2-68	Character
ESD3F .....	Endang Standard Assessment--3rd Form.....	2-68	Character
ESE .....	Overall Endang Standard Assessment .....	2-69	Character
ETHNIC .....	Child's Race/Ethnicity .....	2-40	Character
ETHOTH .....	Other Race/Ethnicity of Child .....	2-40	Character
FETHNIC .....	Father/Substitute Race/Ethnicity .....	2-45	Character
FETHOTH .....	Other Race/Ethnicity of Father/Sub.....	2-45	Character
FINWT.....	Final Weight.....	2-88	Numeric
FORMTYPE ....	Type of Data Form--CPS Long, Non-CPS.....	2-30	Character
FSSTAT .....	Status of Father/Substitute.....	2-41	Character
FSUB .....	Father/Substitute Relationship to Child .....	2-42	Character
FSUBOTH .....	Oth Relationship of Father/Sub to Child .....	2-42	Character
HSA1F .....	Harm Std Perp Requirement--1st Form.....	2-64	Character
HSA2F .....	Harm Std Perp Requirement--2nd Form.....	2-64	Character
HSA3F .....	Harm Std Perp Requirement--3rd Form .....	2-64	Character
HSB1F .....	Harm Std Harm Requirement--1st Form .....	2-65	Character
HSB2F .....	Harm Std Harm Requirement--2nd Form .....	2-65	Character
HSB3F .....	Harm Std Harm Requirement--3rd Form.....	2-65	Character
HSC1F .....	Harm Std Perp Responsibility--1st Form.....	2-65	Character
HSC2F .....	Harm Std Perp Responsibility--2nd Form.....	2-65	Character
HSC3F .....	Harm Std Perp Responsibility--3rd Form .....	2-65	Character
HSD1F .....	Harm Standard Assessment--1st Form .....	2-66	Character
HSD2F .....	Harm Standard Assessment--2nd Form .....	2-66	Character
HSD3F .....	Harm Standard Assessment--3rd Form.....	2-66	Character

(Table 2-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
HSE	Overall Harm Standard Assessment	2-66	Character
ID	Child's Unique Identification Number	2-28	Character
INCOME	Estimated Annual Family Income	2-36	Character
INDAY1F	Day of Incident--1st Form	2-58	Character
INDAY2F	Day of Incident--2nd Form	2-58	Character
INDAY3F	Day of Incident--3rd Form	2-58	Character
INHSTATP	Number and Status of In-Home Parents	2-43	Numeric
INHSTONE	Mother-Only or Father-Only Household	2-44	Numeric
INMON1F	Month of Incident--1st Form	2-57	Character
INMON2F	Month of Incident--2nd Form	2-57	Character
INMON3F	Month of Incident--3rd Form	2-57	Character
INYR1F	Year of Incident--1st Form	2-58	Character
INYR2F	Year of Incident--2nd Form	2-58	Character
INYR3F	Year of Incident--3rd Form	2-58	Character
METH	Mother/Substitute Race/Ethnicity	2-45	Character
METHOTH	Other Race/Ethnicity of Mother/Sub	2-45	Character
METSTAT	Metropolitan Status of County	2-30	Numeric
MSSTAT	Status of Mother/Substitute	2-41	Character
MSUB	Mother/Substitute Relationship to Child	2-41	Character
MSUBOTH	Oth Relationship of Mother/Sub to Child	2-42	Character
NATURE1F	Nature of Harm--1st Form	2-57	Character
NATURE2F	Nature of Harm--2nd Form	2-57	Character
NATURE3F	Nature of Harm--3rd Form	2-57	Character
NCHILD	Number of Children Under 18 In Household	2-35	Character
NCPSE	Endg Std Ctbl and Not Investigated By PS	2-78	Numeric
NCPSH	Harm Std Ctbl and Not Investigated By PS	2-78	Numeric
NVICT	Total Number of Victims in Household	2-36	Character
OEMPOTH	Oth Emp Status of Other Involved Person	2-51	Character
OEMPOTH2	Oth Emp Stat of 2nd Oth Involved Person	2-51	Character
OTHE	Other Race/Eth of Other Involvd Person	2-50	Character
OTHE2	Oth Race/Eth of 2nd Oth Involvd Person	2-50	Character
OTHET	Other Involved Person's Race/Ethnicity	2-50	Character
OTHET2	2nd Oth Involved Person's Race/Ethnicity	2-50	Character
OTHMALE	EndgStd Countable--Other Maltreatment	2-77	Numeric
OTHNEGE	Endg Std Countable--Other Neglect	2-76	Numeric
OTHPCDE	Other Involved Person Relatnshp to Child	2-47	Character
OTHPCDE2	2nd Oth Involvd Person Reltnshp to Child	2-47	Character
OTHSP	Relatnshp of Other to Child: Specify	2-48	Character
OTHSP2	Relat of 2nd Other to Chld: Specify	2-48	Character
PAE	Endg Std Countable--Any Physical Abuse	2-74	Numeric
PAH	Harm Std Countable--Any Physical Abuse	2-71	Numeric

(Table 2-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
PNE .....	Endg Std Countable--Any Physical Neglect .....	2-75	Numeric
PNH .....	Harm Std Countable--Any Physical Neglect .....	2-72	Numeric
PRP1 .....	Closest Perp--HS Maltrx w/Most Harm .....	2-86	Numeric
PRP2 .....	Closest Perp--HS Abuse w/Most Harm .....	2-87	Numeric
PRP3 .....	Closest Perp--HS Phys Abuse w/Most Harm .....	2-87	Numeric
PRP4 .....	Closest Perp--HS Sexual Abuse w/Most Harm .....	2-87	Numeric
PRP5 .....	Closest Perp--HS Emot'l Abuse w/Most Harm .....	2-87	Numeric
PRP6 .....	Closest Perp--HS Nelgect w/Most Harm .....	2-87	Numeric
PRP7 .....	Closest Perp--HS Phys Neglect w/Most Harm .....	2-87	Numeric
PRP8 .....	Closest Perp--HS Ed Neglect w/Most Harm .....	2-87	Numeric
PRP9 .....	Closest Perp--HS Emo Neglect w/Most Harm .....	2-87	Numeric
PRSP1F1 .....	Mother/Sub Maltreated--Form 1 .....	2-60	Character
PRSP1F2 .....	Father/Sub Maltreated--Form 1 .....	2-60	Character
PRSP1F3 .....	Mo/Sub Permitted Maltreatment--Form 1 .....	2-61	Character
PRSP1F4 .....	Fa/Sub Permitted Maltreatment--Form 1 .....	2-61	Character
PRSP1F5 .....	Other Involved Maltreated--Form 1 .....	2-62	Character
PRSP1F6 .....	Other Permitted Maltreatment--Form 1 .....	2-62	Character
PRSP1F7 .....	2nd Other Involved Maltreated--Form 1 .....	2-63	Character
PRSP1F8 .....	Not Ascertained Who Maltreated--Form 1 .....	2-63	Character
PRSP1F9 .....	Unknown Who Maltreated--Form 1 .....	2-64	Character
PRSP2F1 .....	Mother/Sub Maltreated--Form 2 .....	2-60	Character
PRSP2F2 .....	Father/Sub Maltreated--Form 2 .....	2-60	Character
PRSP2F3 .....	Mo/Sub Permitted Maltreatment--Form 2 .....	2-61	Character
PRSP2F4 .....	Fa/Sub Permitted Maltreatment--Form 2 .....	2-61	Character
PRSP2F5 .....	Other Involved Maltreated--Form 2 .....	2-62	Character
PRSP2F6 .....	Other Permitted Maltreatment--Form 2 .....	2-62	Character
PRSP2F7 .....	2nd Other Involved Maltreated--Form 2 .....	2-63	Character
PRSP2F8 .....	Not Ascertained Who Maltreated--Form 2 .....	2-63	Character
PRSP2F9 .....	Unknown Who Maltreated--Form 2 .....	2-64	Character
PRSP3F1 .....	Mother/Sub Maltreated--Form 3 .....	2-60	Character
PRSP3F2 .....	Father/Sub Maltreated--Form 3 .....	2-60	Character
PRSP3F3 .....	Mo/Sub Permitted Maltreatment--Form 3 .....	2-61	Character
PRSP3F4 .....	Fa/Sub Permitted Maltreatment--Form 3 .....	2-61	Character
PRSP3F5 .....	Other Involved Maltreated--Form 3 .....	2-62	Character
PRSP3F6 .....	Other Permitted Maltreatment--Form 3 .....	2-62	Character
PRSP3F7 .....	2nd Other Involved Maltreated--Form 3 .....	2-63	Character
PRSP3F8 .....	Not Ascertained Who Maltreated--Form 3 .....	2-63	Character
PRSP3F9 .....	Unknown Who Maltreated--Form 3 .....	2-64	Character
PSU .....	Primary Sampling Unit .....	2-28	Character
PSUWGT .....	PSU weight .....	2-90	Numeric
RACEETH .....	Child's Race/Ethnicity Group .....	2-40	Numeric

(Table 2-2 continues on next page.)



<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
RECSOURC	Recognition Source.....	2-33	Character
REPTDA	Day Form Completed.....	2-31	Character
REPTMO	Month Form Completed.....	2-30	Character
REPTYR	Year Form Completed.....	2-31	Character
REPWT1	Replicate Weight 1.....	2-88	Numeric
REPWT10	Replicate Weight 10.....	2-89	Numeric
REPWT11	Replicate Weight 11.....	2-89	Numeric
REPWT12	Replicate Weight 12.....	2-89	Numeric
REPWT13	Replicate Weight 13.....	2-89	Numeric
REPWT14	Replicate Weight 14.....	2-89	Numeric
REPWT15	Replicate Weight 15.....	2-89	Numeric
REPWT16	Replicate Weight 16.....	2-89	Numeric
REPWT17	Replicate Weight 17.....	2-89	Numeric
REPWT18	Replicate Weight 18.....	2-89	Numeric
REPWT19	Replicate Weight 19.....	2-89	Numeric
REPWT2	Replicate Weight 2.....	2-88	Numeric
REPWT20	Replicate Weight 20.....	2-89	Numeric
REPWT21	Replicate Weight 21.....	2-89	Numeric
REPWT3	Replicate Weight 3.....	2-88	Numeric
REPWT4	Replicate Weight 4.....	2-89	Numeric
REPWT5	Replicate Weight 5.....	2-89	Numeric
REPWT6	Replicate Weight 6.....	2-89	Numeric
REPWT7	Replicate Weight 7.....	2-89	Numeric
REPWT8	Replicate Weight 8.....	2-89	Numeric
REPWT9	Replicate Weight 9.....	2-89	Numeric
RESIDCK	Child's Residency: Out of Scope Check.....	2-53	Character
RESPCD1F	Respondent's Code of Maltreat--1st Form.....	2-53	Character
RESPCD2F	Respondent's Code of Maltreat--2nd Form.....	2-53	Character
RESPCD3F	Respondent's Code of Maltreat--3rd Form.....	2-53	Character
ROCC	Occupation of Sentinel Submitting Form.....	2-52	Character
ROCCSP	Oth Occupation of Sentinel.....	2-52	Character
SAE	Endg Std Countable--Any Sexual Abuse.....	2-74	Numeric
SAH	Harm Std Countable--Any Sexual Abuse.....	2-71	Numeric
SEQNO	Within-Agency-in-PSU Sequence Number.....	2-29	Character
SEVER1	Most Severe Outcome from Harm Std Maltx.....	2-78	Numeric
SEVER2	Most Severe Outcome from Endg Std Maltx.....	2-79	Numeric
SEVEV1F	Severity Evaluation--1st Form.....	2-59	Character
SEVEV2F	Severity Evaluation--2nd Form.....	2-59	Character
SEVEV3F	Severity Evaluation--3rd Form.....	2-59	Character
SEVTY1F	Respondent's Severity of Harm--1st Form.....	2-56	Character
SEVTY2F	Respondent's Severity of Harm--2nd Form.....	2-56	Character

(Table 2-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
SEVTY3F .....	Respondent's Severity of Harm--3rd Form.....	2-56	Character
SEXOTH .....	Sex of Other Involved Person.....	2-50	Character
SEXOTH2 .....	Sex of 2nd Other Involved Person .....	2-50	Character
SV1.....	Most Harm from HS Maltreatment.....	2-80	Numeric
SV2.....	Most Harm from HS Abuse .....	2-81	Numeric
SV3.....	Most Harm from HS Physical Abuse .....	2-81	Numeric
SV4.....	Most Harm from HS Sexual Abuse .....	2-81	Numeric
SV5.....	Most Harm from HS Emotional Abuse.....	2-82	Numeric
SV6.....	Most Harm from HS Neglect .....	2-82	Numeric
SV7.....	Most Harm from HS Physical Neglect .....	2-83	Numeric
SV8.....	Most Harm from HS Educational Neglect .....	2-83	Numeric
SV9.....	Most Harm from HS Emotional Neglect.....	2-84	Numeric
TIMEV1F .....	Time Frame Eligibility--1st Form.....	2-58	Character
TIMEV2F .....	Time Frame Eligibility--2nd Form.....	2-58	Character
TIMEV3F .....	Time Frame Eligibility--3rd Form .....	2-58	Character

## 2.3 Definitions and Codes for All Variables in the NIS-3 Main Public Use File

This section gives the full listing of variables in this file, providing the variable names, definitions, and code values, and specifying the steps followed in computing all derived variables. It is organized topically, beginning with a section of variables that provide case-level data pertaining to the child's identification number, duplication status in the NIS-3 database, family-level characteristics (such as the number of children in the child's household, the family's income, etc.), and information about whether the child's maltreatment had been investigated by child protective services (CPS) as well as the agency category of the source that recognized the child as maltreated. Variables about the child's characteristics come next, including several indices that captured the child's age, and items pertaining to the child's sex and race/ethnicity. Following these are variables concerning the parents' (or parent substitutes') characteristics--whether or not they resided in the child's home at the time of the maltreatment events, their specific relationship to the child, age, race/ethnicity, and employment. If there were any other persons who were involved as perpetrators of the maltreatment, their characteristics follow (their relationship to the child, age, sex, race/ethnicity, and employment status). For children who were submitted to the study on Non-CPS Forms, the submitting sentinel was asked to indicate their specific occupation. This data item comes next in the sequence, for those children to whom it applied.

The above information is followed by the various evaluative coding decisions that were captured on the Transmittal Forms. Derived variables come next. These were used in the analyses for the *Final Report* in order to summarize the child's maltreatment and harm, and to permit analyses of perpetrator characteristics. The listing concludes with the weighting variables. ***Users must always use FINWT*** (or an allowable modification thereof, as described in Part 1).

**NIS-3 MAIN PUBLIC USE FILE:  
VARIABLE DEFINITIONS AND CODES**

**CASE LEVEL INFORMATION:**

<u>Variable Name</u>	<u>Definition and Codes</u>																																																																					
ID	<p><u>Child's Unique Identification Number</u> CPS/Non-CPS: Westat ID Number.</p> <p>This is a ten digit field. The first two digits identify the PSU. The third and fourth digits identify the reporting agency, the remaining digits convey the sequence number within the PSU and agency.</p>																																																																					
PSU	<p><u>Primary Sampling Unit (PSU)</u> CPS/Non-CPS: Same as the first two digits of Unique Identification Number.</p> <p>(See <i>Sample Selection Report</i> and <i>Analysis Report</i>.)</p> <table border="0"> <tr><td>01</td><td>=</td><td>Maricopa, AZ</td></tr> <tr><td>02</td><td>=</td><td>Ouachita, AR</td></tr> <tr><td>03</td><td>=</td><td>Kern, CA</td></tr> <tr><td>04</td><td>=</td><td>Los Angeles, CA</td></tr> <tr><td>05</td><td>=</td><td>Sacramento, CA</td></tr> <tr><td>06</td><td>=</td><td>San Bernardino, CA</td></tr> <tr><td>07</td><td>=</td><td>Fairfield, CT</td></tr> <tr><td>08</td><td>=</td><td>Dade, FL</td></tr> <tr><td>09</td><td>=</td><td>Palm Beach, FL</td></tr> <tr><td>10</td><td>=</td><td>Dawson, GA</td></tr> <tr><td></td><td></td><td>Gilmer, GA</td></tr> <tr><td>11</td><td>=</td><td>Cook, IL</td></tr> <tr><td>12</td><td>=</td><td>Marion, IN</td></tr> <tr><td>13</td><td>=</td><td>Keokuk, IA</td></tr> <tr><td></td><td></td><td>Louisa, IA</td></tr> <tr><td>14</td><td>=</td><td>Plymouth, IA</td></tr> <tr><td>15</td><td>=</td><td>Jefferson, KY</td></tr> <tr><td>16</td><td>=</td><td>Plymouth, MA</td></tr> <tr><td>17</td><td>=</td><td>Carver, MN</td></tr> <tr><td>18</td><td>=</td><td>Grenada, MS</td></tr> <tr><td>19</td><td>=</td><td>Bergen, NJ</td></tr> <tr><td>20</td><td>=</td><td>Dona Ana, NM</td></tr> <tr><td>21</td><td>=</td><td>Monroe, NY</td></tr> </table>	01	=	Maricopa, AZ	02	=	Ouachita, AR	03	=	Kern, CA	04	=	Los Angeles, CA	05	=	Sacramento, CA	06	=	San Bernardino, CA	07	=	Fairfield, CT	08	=	Dade, FL	09	=	Palm Beach, FL	10	=	Dawson, GA			Gilmer, GA	11	=	Cook, IL	12	=	Marion, IN	13	=	Keokuk, IA			Louisa, IA	14	=	Plymouth, IA	15	=	Jefferson, KY	16	=	Plymouth, MA	17	=	Carver, MN	18	=	Grenada, MS	19	=	Bergen, NJ	20	=	Dona Ana, NM	21	=	Monroe, NY
01	=	Maricopa, AZ																																																																				
02	=	Ouachita, AR																																																																				
03	=	Kern, CA																																																																				
04	=	Los Angeles, CA																																																																				
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18	=	Grenada, MS																																																																				
19	=	Bergen, NJ																																																																				
20	=	Dona Ana, NM																																																																				
21	=	Monroe, NY																																																																				

(PSU continues on the next page)

Variable Name

Definition and Codes

(PSU continued)

22	=	New York, NY
23	=	Queens, NY
24	=	Burleigh, ND
25	=	Brown, OH
26	=	Fulton, OH
27	=	Warren, OH
28	=	Washington, OH
29	=	Multnomah, OR
30	=	Butler, PA
31	=	Cumberland, PA
32	=	Montgomery, PA
33	=	Lancaster, SC
34	=	Anderson, TN
35	=	Shelby, TN
36	=	Dallas, TX
37	=	Harris, TX
38	=	Montague, TX
39	=	Travis, TX
40	=	Wood, WV

AGENCY

Facility Type Submitting the Data Form

CPS/Non-CPS: Same as the third and fourth digits of the ID (Unique Identification Number).

01	=	Child Protective Services
02	=	County Sheriff/State Police
03	=	Hospital
04	=	School
06	=	Day-care center
07	=	Social Services
08	=	Mental Health
09	=	Municipal Police Department
10	=	Juvenile Probation Department
11	=	Public Health Department

SEQNO

Sequential Number of Data

CPS/Non-CPS: Same as the last four digits of Unique Identification Number.

0001 - 9999	=	Sequence within AGENCY and within PSU
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## METSTAT

Metropolitan Status of County

CPS/Non-CPS: Assigned.

This variable was assigned codes based on the metrostatus stratum from which each PSU was drawn. (See *Sample Selection Report*.) It was developed only for the countable children during the analyses for the *Final Report*.

If	PSU = ('01' or '04' or '06' or '08' or '11' or '16' or '17' or '22' or '23' or '32' or '36' or '37')
Then	METSTAT = 1;
Else	
If	PSU = ('03' or '05' or '07' or '09' or '12' or '15' or '19' or '20' or '21' or '24' or '26' or '27' or '28' or '29' or '31' or '34' or '35' or '39' or '40')
Then	METSTAT = 2;
Else	
If	PSU = ('02' or '10' or '13' or '14' or '18' or '25' or '30' or '33' or '38')
Then	METSTAT = 3;

Blank	=	Child not countable under the Endangerment Standard
1	=	Major urban
2	=	Urban
3	=	Rural

## FORMTYPE

Type of Form

CPS/Non-CPS: Assigned.

This variable was assigned based on the type of data form that represented the unduplicated child's record.

0	=	CPS Data Form
2	=	Non-CPS Data Form

## REPTMO

Month Form Completed

CPS: Q. 4, Date Form Completed.  
 Non-CPS: Q. 20, Date Form Completed.

01	=	January
02	=	February
03	=	March
04	=	April
05	=	May
06	=	June

(REPTMO continues on the next page)

Variable Name

Definition and Codes

(REPTMO continued)

07	=	July
08	=	August
09	=	September
10	=	October
11	=	November
12	=	December
99	=	Unknown

REPTDA

Day Form Completed

CPS: Q. 4, Date Form Completed.

Non-CPS: Q. 20, Date Form Completed.

01-31	=	Day
97	=	Greater than 31 or anomalous
99	=	Unknown

REPTYR

Year Form Completed

CPS: Q. 4, Date Form Completed.

Non-CPS: Q. 20, Date Form Completed.

93	=	1993
94	=	1994
95	=	1995
99	=	Unknown

DUPGRP

Duplicate Group Identifier

CPS/Non-CPS: Assigned, as described below.

This identifying number was assigned to children who were submitted to the study on more than one data form. Multiple data forms were determined to be duplicates of the same child on the basis of their similarities on a number of variables, as described in detail in Part II of the *Analysis Report*.

Blank	=	No duplicate
0001 - 9999	=	Duplicate group assignment

CPSROL

CPS Investigation of the Child's Maltreatment

CPS/Non-CPS: Derived.

CPSROL was defined at the unduplicated-child level, based on considering the information on all the CPS data forms listing the child. If the child was an

(CPSROL continues on next page)

(CPSROL continued)

alleged or indicated victim or had countable maltreatment on any CPS data form, then CPSROL was assigned a value of '1.'

The specific derivation steps began by considering all data forms prior to unduplication. A temporary code (TEMPA) was assigned to each data form. Then, TEMPA was used to define CPSROL at the unduplicated child level. For data forms which were in duplicate groupings, the lowest TEMPA code among the forms was assigned as the CPSROL for the child.

For CPS Long Forms, CPSROL was derived as follows (unless otherwise noted, the variables referenced are defined elsewhere in this codebook):

If	ROLA* = '1' or ROLI** = '1' or HSE = '1' or HSE = '2' or ESE = '1' or ESE = '2' or HSE = '7' or ESE = '7'
Then	TEMPA = 1;
Else	
If	ROLA = '2' or ROLI = '2'
Then	TEMPA = 2;
Else	
If	ROLA = ' ' or ROLA = '9' or ROLI = ' ' or ROLI = '9'
Then	TEMPA = 8;

If any of the forms listing the child was a CPS Short Form, then it was determined whether the child was checked as an alleged victim on the data form. If so, then TEMPA = 1; if the child was not checked, then TEMPA = 8.

For Non-CPS Data Forms, TEMPA was left blank.

For data forms not in duplicate groupings, CPSROL = TEMPA. For those in duplicate groupings, CPSROL = min(TEMPA)--that is, the lowest (non-blank) value of TEMPA across the data forms was assigned as the child's CPSROL value.

Blank	=	No CPS Data Form for the child
1	=	CPS investigated this child's maltreatment
2	=	CPS did not investigate this child's maltreatment
8	=	Not determined whether CPS investigated this child's maltreatment

\* See CPS-Only NIS-3 Public Use File for the definition and codes of this variable, as it is not given here in the Main NIS-3 Public Use File. ROLA=1 meant that the child was an alleged victim; ROLA=2 meant that the child was not an alleged victim; ROLA=blank or 9 meant that the child's alleged-victim status could not be determined from the CPS case record.

\*\* See CPS-Only NIS-3 Public Use File for the definition and codes of this variable, as it is not given here in the Main NIS-3 Public Use File. ROLI=1 meant that the child was an indicated victim; ROLI=2 meant that the child was not an indicated victim; ROLI=blank or 9 meant that the child's indication status could not be determined from the CPS case record.



## RECSOURC

Recognition Source

CPS/Non-CPS: Derived.

This variable 'credits' the recognition of the child's maltreatment to a particular agency category, using a hierarchical listing of agency categories to resolve multiple recognition sources. The derivation rules also distinguished children on the basis of whether CPS had investigated their maltreatment (cf. CPSROL, defined above).

A temporary variable (TEMPB) was first assigned to each data form prior to unduplication. For Non-CPS forms, TEMPB was defined using the variable AGENCY. For CPS forms (Long or Short), TEMPB was derived from the FCPSSRC.\*

For Non-CPS Data Forms, AGENCY was used to define TEMPB, as follows:

```

If      AGENCY = '10'
Then    TEMPB = '01';
Else
If      AGENCY = ('02' or '09')
Then    TEMPB = '02';
Else
If      AGENCY = '11'
Then    TEMPB = '03';
Else
If      AGENCY = '03'
Then    TEMPB = '04';
Else
If      AGENCY = '04'
Then    TEMPB = '05';
Else
If      AGENCY = '06'
Then    TEMPB = '06';
Else
If      AGENCY = '08'
Then    TEMPB = '07';
Else
If      AGENCY = '07'
Then    TEMPB = '08';
END;
```

(RECSOURC continues on next page)

---

\* See CPS-Only NIS-3 Public Use File for the definition and codes of FCPSSRC, as this variable is not given here in the Main NIS-3 Public Use File.

(RECSOURC continued)

For CPS forms (Long or Short), FCPSSRC\* defined TEMPB at the data form level as follows:

If	FCPSSRC = ('04' or '16')
Then	TEMPB = '01';
Else	
If	FCPSSRC = ('03' or '17')
Then	TEMPB = '02';
Else	
If	FCPSSRC = '18'
Then	TEMPB = '03';
Else	
If	FCPSSRC = '08'
Then	TEMPB = '04';
Else	
If	FCPSSRC = '06'
Then	TEMPB = '05';
Else	
If	FCPSSRC = '07'
Then	TEMPB = '06';
Else	
If	FCPSSRC = '10'
Then	TEMPB = '07';
Else	
If	FCPSSRC = '11'
Then	TEMPB = '08';
Else	
If	FCPSSRC = ('01' or '02')
Then	TEMPB = '09';
Else	
If	FCPSSRC = ('05' or '09' or '19')
Then	TEMPB = '10';
Else	
If	FCPSSRC = ('12' or '13' or '14' or '15' or '20')
Then	TEMPB = '11';
Else	
If	FCPSSRC = '99'
Then	TEMPB = '99';

(RECSOURC continues on next page)

\* See CPS-Only NIS-3 Public Use File for the definition and codes of FCPSSRC, as this variable is not given here in the Main NIS-3 Public Use File.

(RECSOURC continued)

For data forms not in duplicate groupings, RECSOURC = TEMPB. For data forms which were in duplicate groupings, the definition of RECSOURC depended on the value of CPSROL for the unduplicated child. When CPSROL was equal to 1 or 8 the derivation of RECSOURC considered only the CPS data forms in a duplicate grouping. When CPSROL was equal to 2 or blank, RECSOURC considered only the Non-CPS Data Forms in a duplicate grouping. Then, considering the TEMPB values of the relevant data forms in the duplicate grouping, RECSOURC = min(TEMPB), or the lowest code value (source highest in the hierarchical listing).

The RECSOURC codes have the following meanings:

01	=	Court, Probation
02	=	County Sheriff, State Police, Municipal Police
03	=	Public Health
04	=	Hospital
05	=	School
06	=	Day-care
07	=	Mental Health
08	=	Social Services
09	=	Other unit of DSS/Welfare Dept., or DSS/Welfare Dept. in other state or county.
10	=	Other agency or professional not represented among NIS non-CPS sentinels
11	=	General public (including self, family member, relative, and anonymous)
99	=	Unknown

NCHILD

Number of Children under 18 in Household

CPS: Q. 7, Number of Children Under 18 in Household.  
 Non-CPS: Q. 9, Total Number of Children under 18 Living in the Household at Time of Maltreatment Events.

01-50	=	Number of children in household
97	=	Anomalous
99	=	Unknown

## NVICT

Total Number of Victims in This Household

CPS: Computed from Q. 7E, as described below.

Non-CPS: Q. 10, Total Number of Children for Whom You (Sentinel) are Submitting Study Data Forms.

This variable was computed for children listed on CPS Long Forms by computing the number of children on the form who were alleged and/or indicated victims (i.e., for whom ROLA=1 or ROLI=1\* ).

01-15	=	Actual number of victims
97	=	Anomalous
99	=	Unknown

## INCOME

Estimated Annual Family Income

CPS: Q. 9, Estimated Annual Family Income.

Non-CPS: Q. 7, Estimated Annual Family Income.

1	=	Less than \$15,000
2	=	\$15,000- \$29,999
3	=	\$30,000 - \$44,999
4	=	\$45,000 or more
9	=	Unknown

## AFDC

Family Received Aid to Families with Dependent Children (AFDC)?

CPS: Q. 10, Family Receiving AFDC.

Non-CPS: Q. 8, Does the Household Receive Aid to Families with Dependent Children (AFDC).

1	=	Yes
2	=	No
9	=	Unknown

**CHILD CHARACTERISTICS:**

## AGE

Child's Age: Years

CPS: Q. 7, Age in Years.

Non-CPS: Q. 3, Child's Age (years).

00-17	=	Actual age in years
96	=	Listed as 18 or older
99	=	Unknown

---

\* See CPS-Only NIS-3 Public Use File for the definition and codes for ROLA and ROLI, as these variables are not given here in the Main NIS-3 Public Use File.

AGEMO

Child's Age: Months

CPS: Not on data form.  
 Non-CPS: Q. 3, Child's Age (months).

Blank = Inapplicable (child at least one year old at time of maltreatment or not on data form)  
 00-11 = Actual age in months  
 97 = Not born at time of maltreatment

AGEYCALC

Child's Age at Time of Maltreatment in Years (Calculated)

CPS/Non-CPS: Derived.

The child's age at maltreatment in years, defined as the child's age at the time of the earliest countable maltreatment, or (for noncountable children) the earliest maltreatment within the NIS-3 data period. Failing those alternatives, age was defined as the age at the time of the latest maltreatment described. The derivation followed the algorithm described below:

Age = maltreatment date - birthdate + 1 day

Where:

Age = Integer value of (number of days + 1)/365.25

Birthdate = Birthdate with nonmissing month and year (CPS/Non-CPS Transmittal Form, Item Labeled "Birthdate"). Missing day set to 15.

Maltreatment date = Maltreatment date with nonmissing month and year (INMON1F, INDAY1F, INYR1F or INMON2F, INDAY2F, INYR2F or INMON3F, INDAY3F, INYR3F). Missing day set to 15.

Date selected according to the following priority:

1. Earliest date where HSD1F or HSD2F or HSD3F or ESD1F or ESD2F or ESD3F = 1 or 2;
- Else
2. Earliest date where TIMEV1F or TIMEV2F or TIMEV3F = 1 or 2;
- Else
3. Latest date.

00 = Less than 1 year  
 01 - 18 = Age in years  
 99 = Could not be calculated (no birthdate or no maltreatment date)

## AGEMCALC

Age at Time of Maltreatment in Months (Calculated)

CPS/Non-CPS: Derived.

This variable has a computed value only for children less than one year old at the time of their maltreatment. It was assigned a value of '00' for all others. Its calculation paralleled that of AGEYCALC except:

Age = Integer value of (number of days)/30.4
--

Where:

Number of days is less than 1 year.
-------------------------------------

00	=	Less than 1 month or AGEYCALC => 1
01 - 11	=	Age in months
99	=	Could not be calculated (no birthdate or no maltreatment date)

## AGEYRMO

Child's Age at Time of Maltreatment

CPS/Non-CPS: Derived.

Age of the child at maltreatment used in the analyses:

If calculated age not = 9999
Then
AGEYRMO = AGEYCALC followed by AGEMCALC
Else
AGEYRMO = AGE followed by AGEMO (reformatted for consistency with AGEYCALC and AGEMCALC)

0000	=	Less than 1 month
0001 - 0011	=	Age in months (under 1 year)
0099	=	Age unknown but less than one year
0100 - 1800	=	Age in years (children one year or older, last two digits are always 00)
9999	=	No age information available

## AGECLASS

Child's Age Group

CPS/Non-CPS: Derived.

This variable was derived only for countable children (i.e., those with AMALE=1).

(AGECLASS continues on next page)

(AGECLASS continued)

```

If     AGEYRMO => '0000' and AGEYRMO < '0300'
Then  AGECLASS = 1;
Else
If     AGEYRMO => '0300' and AGEYRMO < '0600'
Then  AGECLASS = 2;
Else
If     AGEYRMO => '0600' and AGEYRMO < '0900'
Then  AGECLASS = 3;
Else
If     AGEYRMO => '0900' and AGEYRMO < '1200'
Then  AGECLASS = 4;
Else
If     AGEYRMO => '1200' and AGEYRMO < '1500'
Then  AGECLASS = 5;
Else
If     AGEYRMO => '1500' and AGEYRMO < '1800'
Then  AGECLASS = 6;
Else  AGECLASS = 9;
    
```

Blank	=	Child not countable under the Endangerment Standard
1	=	0 to 2 years old
2	=	3 to 5 years old
3	=	6 to 8 years old
4	=	9 to 11 years old
5	=	12 to 14 years old
6	=	15 to 17 years old
9	=	Child's age unknown

CHSEX

Child's Sex

CPS: Q. 7, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 2, as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

1	=	Male
2	=	Female
9	=	Unknown

ETHNIC

Child's Race/Ethnicity

CPS: Q. 7, Ethnic Group.  
 Non-CPS: Q. 5, Child's Ethnic Group.

- 1 = American Indian/Alaskan Native
- 2 = Asian/Pacific Islander
- 3 = Black, not Hispanic
- 4 = Hispanic
- 5 = White, not Hispanic
- 6 = Other (specify)
- 9 = Unknown

ETHOTH

Other Race/Ethnicity of Child

CPS: Q. 7, Ethnic Group: Other (Specify)  
 Non-CPS: Q. 5, Child's Ethnic Group: Other (Specify).

Verbatim response

RACEETH

Child's Race/Ethnicity Group

CPS/Non-CPS: Derived.

This variable was derived only for countable children.

```

If ETHNIC = ('4' or '5')
Then RACEETH = 1;
Else
If ETHNIC = '3'
Then RACEETH = 2;
Else
If ETHNIC = ('1' or '2' or '6')
Then RACEETH = 3;
Else RACEETH = 9;
```

- Blank = Child not countable under the Endangerment Standard
- 1 = White
- 2 = Black
- 3 = Other
- 9 = Unknown



## PARENT CHARACTERISTICS:

<u>Variable Name</u>	<u>Definition and Codes</u>
MSSTAT	<u>Status of Mother/Substitute</u>
	CPS: Q. 5a (Status of Mother/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.
	Non-CPS: Q. 11a (Mother/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.
	1 = Present
	2 = Absent
9 = Status unknown	
FSSTAT	<u>Status of Father/Substitute</u>
	CPS: Q. 5b (Status of Father/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.
	Non-CPS: Q. 11a (Father/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.
	1 = Present
	2 = Absent
9 = Status unknown	
MSUB	<u>Mother/Substitute's Relationship to Child</u>
	CPS: Q. 7d (Mother/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.
	Non-CPS: Q. 11a (Mother/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.
	Blank = Inapplicable (no mother/substitute in the home)
	1 = Birth mother
	2 = Stepmother
	3 = Foster Mother
	4 = Adoptive Mother
	5 = Grandmother
	6 = Other (specify)
9 = Unknown	

## FSUB

Father/Substitute's Relationship to Child

CPS: Q. 7d (Father/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11a (Father/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Blank	=	Inapplicable (no father/substitute in the home)
1	=	Birth father
2	=	Stepfather
3	=	Foster Father
4	=	Adoptive Father
5	=	Grandfather
6	=	Other (specify)
9	=	Unknown

## MSUBOTH

Other Relationship of Mother/Substitute to Child

CPS: Q. 7d (Mother/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11a (Mother/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Verbatim responses

## FSUBOTH

Other Relationship of Father/Substitute to Child

CPS: Q. 7d (Father/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11a (Father/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Verbatim responses

PARENT STRUCTURE VARIABLES

Two variables were derived to index the parent structure of the child's household. The derivation of both of these is given in the algorithm below. INHSTATP indexes the overall in-home parent structure and INHSTONE differentiates between mother-only and father-only single-parent households.

If	(MSSTAT = '1' and MSUB = ('1' or '2' or '4')) and ((FSSTAT = '2') or (FSSTAT = '1' and FSUB not = ('1' or '2' or '4' or '9')))
Then	INHSTATP = 1 and INHSTONE = 1;
Else	
If	(FSSTAT = '1' and FSUB = ('1' or '2' or '4')) and ((MSSTAT = '2') or (MSSTAT = '1' and MSUB NOT = ('1' or '2' or '4' or '9')))
Then	INHSTATP = 1 and INHSTONE = 2;
Else	
If	(MSSTAT = '1' and MSUB = ('1' or '2' or '4')) and (FSSTAT = '1' and FSUB = ('1' or '2' or '4'))
Then	INHSTATP = 2;
Else	
If	((MSSTAT = '1' and MSUB = ('3' or '5' or '6')) and (FSSTAT = '1' and FSUB = ('3' or '5' or '6')) or ((MSSTAT = '1' and MSUB = ('3' or '5' or '6')) and FSSTAT = '2') or ((FSSTAT = '1' and FSUB = ('3' or '5' or '6')) and MSSTAT = '2') or (MSSTAT = '2' and FSSTAT = '2'))
Then	INHSTATP = 3;
Else	INHSTATP = 9;

## INHSTATP

Number and Status of In-Home Natural, Adoptive, and Stepparents  
CPS/Non-CPS: Derived.

This variable was derived only for countable children.

Blank	=	Child not countable under the Endangerment Standard
1	=	Single-parent household
2	=	Two-parent household
3	=	Neither natural, adoptive or stepparent in household
9	=	Unknown

## INHSTONE

Mother-Only or Father-Only Household

CPS/Non-CPS: Derived.

This variable was derived only for countable children.

Blank	=	Not a single-parent household or child not countable under the Endangerment Standard
1	=	Mother only household
2	=	Father only household

## AGEM

Age of Mother/Substitute

CPS: Q. 5a, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11c (Mother/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Blank	=	Inapplicable (no mother/substitute in the home)
12-70	=	Actual age in years
71	=	71 or higher
97	=	Less than 12
99	=	Unknown

## AGEF

Age of Father/Substitute

CPS: Q. 5b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11c (Father/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Blank	=	Inapplicable (no father/substitute in the home)
12-70	=	Actual age in years
71	=	71 or higher
97	=	Less than 12
99	=	Unknown

## METH

Mother/Substitute's Race/Ethnicity

CPS: Q. 5a, column C, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Not on data form.

Blank = Inapplicable (no mother/substitute in the home or Non-CPS Data Form)

01 = American Indian/Alaskan/Native

02 = Asian/Pacific Islander

03 = Black, not Hispanic

04 = Hispanic

05 = White, not Hispanic

06 = Other (specify)

09 = Unknown

## FETHNIC

Father/Substitute's Race/Ethnicity

CPS: Q. 5b, column C, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Not on data form.

Blank = Inapplicable (no father/substitute in the home or Non-CPS Data Form)

01 = American Indian/Alaskan/Native

02 = Asian/Pacific Islander

03 = Black, not Hispanic

04 = Hispanic

05 = White, not Hispanic

06 = Other (Specify)

09 = Unknown

## METHOTH

Other Race/Ethnicity of Mother/Substitute

CPS: Q. 5a, column C, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Not on data form.

Verbatim responses

## FETHOTH

Other Race/Ethnicity of Father/Substitute

CPS: Q. 5b, column C, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Not on data form.

Verbatim responses

## EMPM

Employment Status of Mother/Substitute

CPS: Q. 5a, column B, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11d (Mother/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Blank	=	Inapplicable (no mother/substitute in the home)
1	=	Employed full time
2	=	Employed part time
3	=	Unemployed, looking for work
4	=	Not in labor force*
5	=	Active duty military
6	=	Other (specify)**
9	=	Unknown

## EMPF

Employment Status of Father/Substitute

CPS: Q. 5b, column B, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11d (Father/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Blank	=	Inapplicable (no father/substitute in the home)
1	=	Employed full time
2	=	Employed part time
3	=	Unemployed, looking for work
4	=	Not in labor force*
5	=	Active duty military
6	=	Other (specify)**
9	=	Unknown

## EMPMOTH

Other Employment of Mother/Substitute

CPS: Not on data form.

Non-CPS: Q. 11d (Mother/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Verbatim response

---

\* This value only available for CPS Data Forms.

\*\* This value only available for Non-CPS Data Forms.

EMPFOTH Other Specified Employment of Father/Substitute  
 CPS: Not on data form.  
 Non-CPS: Q. 11d (Father/Substitute), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

Verbatim response

**CHARACTERISTICS OF OTHER INVOLVED PERSONS:**

OTHER INVOLVED PERSON'S RELATIONSHIP TO THE CHILD

CPS: Q. 6a/6b, as verified or corrected by evaluative coders on the CPS Transmittal Form.  
 Non-CPS: Q. 11a (Other Involved Adult), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

OTHPCDE (First or Only) Other Involved Person's Relationship to Child

OTHPCDE2 Second Other Involved Person's Relationship to Child

Blank	=	Inapplicable (no other involved person, or no second other involved person)
01	=	Out-of-home natural parent
02	=	Other separated/divorced spouse or in-home parent/substitute
23	=	Parent's paramour living in the child's home
24	=	Parent's paramour not living in the child's home
25	=	Parent's paramour, but could not tell whether this person was or was not living in child's home
33	=	Other: clearly/probably a caretaker relative living in the child's home
34	=	Other: clearly/probably a caretaker relative not living in the child's home
35	=	Other: clearly/probably a caretaker relative, but could not tell whether this person was or was not living in child's home
43	=	Other: clearly/probably a caretaker non-relative (or unknown whether a relative) living in the child's home
44	=	Other: clearly/probably a caretaker non-relative (or unknown whether a relative) not living in the child's home
45	=	Other: clearly/probably a caretaker non-relative, but could not tell whether this person was or was not living in child's home
53	=	Other: not a caretaker (or serious doubt) relative of child living in the child's home
54	=	Other: not a caretaker (or serious doubt) relative of child not living in the child's home

(OTHPCDE & OTHPCDE2 continues on the next page)

## (OTHPCDE &amp; OTHPCDE2 continued)

55	=	Other: not a caretaker (or serious doubt) relative of child, but could not tell whether this person was or was not living in child's home
63	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child living in the child's home
64	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child not living in the child's home
65	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child, but could not tell whether this person was or was not living in child's home
70	=	Child's spouse
73	=	Child's boy/girlfriend living in the child's home
74	=	Child's boy/girlfriend not living in the child's home
75	=	Child's boy/girlfriend, but could not tell whether this person was or was not living in the child's home
96	=	Stranger to the child
99	=	Unknown

OTHER RELATIONSHIP OF OTHER INVOLVED PERSON TO THE CHILD (SPECIFIED)

CPS: Q. 6a/6b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11a (Other Involved Adult), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

OTHPSP Other Specified Relationship of (First or Only )Other Involved Person to Child

OTHPSP2 Other Specified Relationship of Second Other Involved Person to Child

Verbatim responses for OTHPCDE codes 33-35, 43-45, 53-55 and 63-65 (see above).



AGE OF OTHER INVOLVED PERSON

CPS: Q. 6a/6b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11c (Other Involved Adult), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

AGEOTH

Age of (First or Only) Other Involved Person

AGEOTH2

Age of Second Other Involved Person

Blank	=	Inapplicable (no other involved person, or no second other involved person)
12-70	=	Other's age
71	=	71 years or older
74	=	Minor (probably <18 years old) and (probably) older than the child by 5+ years
75	=	Minor (probably <18 years old) and (probably) older than the child, but could not tell whether older by 5+ years
76	=	Minor (probably <18 years old) and (probably) older than the child but by <5 years
77	=	Minor (probably <18 years old) and younger than the child
78	=	Minor (probably <18 years old) and could not tell this individual's age relative to the child's age
84	=	Unclear whether adult or minor and (probably) older than the child by 5+ years
85	=	Unclear whether adult or minor and (probably) older than the child, but could not tell whether older by 5+ years
86	=	Unclear whether adult or minor and (probably) older than the child but by <5 years
87	=	Unclear whether adult or minor and younger than the child
88	=	Unclear whether adult or minor and could not tell this individual's age relative to the child's age
94	=	Adult (probably 18+ years old) and (probably) older than the child by 5+ years
95	=	Adult (probably 18+ years old) and (probably) older than the child but could not tell whether older by 5+ years
96	=	Adult (probably 18+ years old) and (probably) older than the child but by <5 years
97	=	Less than 12
99	=	Unknown

SEX OF OTHER INVOLVED PERSON

CPS: Q. 6a/6b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 11b (Other Involved Adult), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

SEXOTH

Sex of (First or Only) Other Involved Person

SEXOTH2

Sex of Second Other Involved Person

Blank = Inapplicable (no other involved person, or no second other involved person)

1 = Male

2 = Female

9 = Unknown

OTHER INVOLVED PERSON'S RACE/ETHNICITY

CPS: Q. 6a/6b (column C), as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Not on data form.

OTHET

(First or Only) Other Involved Person's Race/Ethnicity

OTHET2

Second Other Involved Person's Race/Ethnicity

Blank = Inapplicable (no other involved person, no second other involved person, or not on data form)

01 = American Indian/Alaskan/Native

02 = Asian/Pacific Islander

03 = Black, not Hispanic

04 = Hispanic

05 = White, not Hispanic

06 = Other (specify)

09 = Unknown

OTHER RACE/ETHNICITY OF OTHER INVOLVED PERSON (SPECIFIED)

CPS: Q. 6a/6b (column C), as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Not on data form.

OTHE

Other Race/Ethnicity of (First or Only) Other Involved Person (Specified)

OTHE2

Other Race/Ethnicity of Second Other Involved Person (Specified)

Verbatim response

EMPLOYMENT OF OTHER INVOLVED PERSON

CPS: Q. 6a/6b (column B), as verified or corrected by evaluative coders on the CPS Transmittal Form.  
 Non-CPS: Q. 11d (Other Involved Adult), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

EMPOTH Employment of (First or Only) Other Involved Person

EMPOTH2 Employment of Second Other Involved Person

Blank	=	Inapplicable (no other involved person, or no second other involved person)
1	=	Employed full time
2	=	Employed part time
3	=	Unemployed, looking for work
4	=	Not in labor force*
5	=	Active duty military
6	=	Other (specify)**
9	=	Unknown

OTHER EMPLOYMENT OF OTHER INVOLVED PERSON

CPS: Not on data form.  
 Non-CPS: Q. 11d (Other Involved Adult), as verified or corrected by evaluative coders on the Non-CPS Transmittal Form.

OEMPOTH Other Employment Status of (First or Only) Other Involved Person

OEMPOTH2 Other Employment Status of Second Other Involved Person

Verbatim response

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\* This value only available for CPS Data Forms.

\*\* This value only available for Non-CPS Data Forms.

**SUPPLEMENTARY CASE-LEVEL INFORMATION FOR CASES  
ON NON-CPS DATA FORMS**

<u>Variable Name</u>	<u>Definition and Codes</u>
ROCC	<u>Occupation of Sentinel Who Completed the Data Form</u>
	CPS: Not on data form.
	Non-CPS: Q. 19, Occupation of Person Providing Information on this data form.
	Blank = Inapplicable (not on data form)
	01 = Social worker
	02 = Nurse
	03 = Physician
	04 = Psychologist
	05 = Teacher
	06 = Sheriff/Police Officer
	07 = Probation Officer
	08 = Other (specify)
	99 = Unknown

ROCCSP	<u>Other Occupation of Sentinel Who Completed the Data Form</u>
	CPS: Not on data form.
	Non-CPS: Q. 19, Occupation of Person Providing Information on this Data Form, Other (Specify).
	Verbatim response

**EVALUATIVE CODING DECISIONS:**

AGECK	<u>Age: Out-Of-Scope Check</u>
	CPS/Non-CPS: Transmittal Form, Item Labeled "Checklist: Age."
	Assessment of whether the child was live-born and under 18 years of age at the time of the suspected maltreatment. Evaluatively coded based on all available information on the data form, including narrative. (See <i>Analysis Report</i> , Appendix C, pp. 3-4 and 3-5.)
	1 = Birth through 17
	2 = Unspecified - no indication of problem
	3 = Indication(s) of possible age problem
	8 = Out-of-scope: events before/during delivery
	9 = Out-of-scope: events on/after 18th birthday

RESIDCK

County of Residence: Out-Of-Scope Check

CPS/Non-CPS: Transmittal Form, Item Labeled "Checklist: Residence."

Assessment of whether the child lived in a study county some time during the period, September 5, 1993, through December 4, 1993. Evaluatively coded based on all available information on the data form, including narrative (See *Analysis Report*, Appendix C, p. 3-6.)

- |   |   |   |
|---|---|---|
| 1 | = | Clearly in a study county   |
| 2 | = | Unspecified, but no indication of a problem   |
| 3 | = | Multiple residences during Sept. 5, 1993 through Dec. 4, 1993: at least one county of residence is a study county |
| 4 | = | Other indications of possible residence problem   |
| 9 | = | Out-of-scope: not a study county resident at any time during study  |

CUSTDYCK

Custody Status: Out-Of-Scope Check

CPS/Non-CPS: Transmittal Form, Item Labeled "Checklist: Custody Status."

Assessment of whether child met criterion of being a noninstitutionalized dependent in a household at the time of the maltreatment event(s). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, p. 3-7.)

- |   |   |   |
|---|---|---|
| 1 | = | No indication of problem  |
| 2 | = | Some indication of possible dependency/institutional problem                        |
| 8 | = | Out-of-scope: child in institutional custody at time of events                      |
| 9 | = | Out-of-scope: child 15-17 and not living with parents/substitutes at time of events |

RESPONDENT'S CODE FOR SUSPECTED MALTREATMENT

CPS: Q. 16, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 16a, as verified or corrected by evaluative coders on the non-CPS Transmittal Form.

Maltreatment codes given on the data form by the respondent. (See *Analysis Report*, Appendix A and Appendix C, pp. 3-8 through 3-11.)

RESPCD1F

Respondent's Code for Suspected Maltreatment - 1st Form

RESPCD2F

Respondent's Code for Suspected Maltreatment - 2nd Form, If Applicable

RESPCD3F

Respondent's Code for Suspected Maltreatment - 3rd Form, If Applicable

(RESPCD\_F continues on the next page)

(RESPCD\_F continued)

Blank	=	No maltreatment code specified by respondent (or, for RESPCD2F or RESPCD3F, no additional form of maltreatment)
01	=	Intrusion - acts involving penile penetration
02	=	Molestation with genital contact
03	=	Other or unknown sexual exploitation
04	=	Physical assault
05	=	Close confinement
06	=	Verbal or emotional assault
07	=	Other or unknown abuse
08	=	Refusal to allow or provide needed care
09	=	Unwarranted delay or failure to seek needed care for injury, illness, impairment
10	=	Refusal of custody
11	=	Inadequate supervision
12	=	Other physical neglect
13	=	Knowingly permitted chronic truancy
14	=	Other (failed to enroll, repeatedly kept child home, etc.)
15	=	Inadequate nurturance/affection
16	=	Knowingly permitted maladaptive behavior
17	=	Other

CHECKED CODE OF MALTREATMENT

CPS/Non-CPS: Transmittal Form, Item Labeled "Checked Code."

Assessment of what acts or omissions were involved in maltreatment of child. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix A and Appendix C, pp. 3-8 to 3-11.)

CKCDE1F	<u>Checked Code of Maltreatment - 1st Form</u>
CKCDE2F	<u>Checked Code of Maltreatment - 2nd Form, If Applicable</u>
CKCDE3F	<u>Checked Code of Maltreatment - 3rd Form, If Applicable</u>

Blank	=	Inapplicable (for CKCDE2F and CKCDE3F, no corresponding RESPCD_F)
010	=	Sexual exploitation: penile intrusion
011	=	Sexual exploitation: digital/object intrusion
020	=	Sexual exploitation: molestation with genital contact
030	=	Sexual exploitation: other or unknown
040	=	Physical assault

(CKCDE\_F continues on next page)

## (CKCDE\_F continued)

051	=	Close confinement: tying/binding
052	=	Close confinement: other
060	=	Verbal or emotional assault
070	=	Other or unknown abuse
080	=	Inattention to remedial health care needs: refusal to allow or provide needed care for diagnosed condition or impairment
090	=	Inattention to remedial health care needs: unwarranted delay or failure to seek needed care
101	=	Inattention to physical needs: refusal of custody/abandonment
102	=	Inattention to physical needs: other refusal of custody
103	=	Inattention to physical needs: other custody related maltreatment
110	=	Inattention to physical needs: inadequate supervision
120	=	Inattention to physical needs: other physical neglect
130	=	Inattention to educational needs: knowingly permitted chronic truancy
141	=	Inattention to educational needs: other truancy/failure to register or enroll
142	=	Inattention to educational needs: other refusal to allow or provide needed attention to diagnosed educational need
151	=	Inattention to developmental/emotional needs: inadequate nurturance/affection
152	=	Inattention to developmental/emotional needs: spouse abuse
161	=	Inattention to developmental/emotional needs: knowingly permitting drug/alcohol abuse
162	=	Inattention to developmental/emotional needs: knowingly permitting other maladaptive behavior
171	=	Inattention to developmental/emotional needs: refusal to allow or provide needed care for diagnosed emotional or behavioral impairment/problem
172	=	Inattention to developmental/emotional needs: failure to seek needed care for emotional or behavioral impairment/problem
173	=	Inattention to developmental/emotional needs: other
180	=	Involuntary neglect
190	=	General or unspecified neglect
201	=	Other or unspecified maltreatment
202	=	Chemically dependent newborns
203	=	Nonmaltreatment cases

RESPONDENT'S SEVERITY OF HARM

CPS: Q. 20, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 16b, as verified or corrected by evaluative coders on the non-CPS Transmittal Form.

Respondent's coding of the most severe injury/impairment the resulted from the maltreatment described in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F), as shown in answers to Q. 16b on the Non-CPS Data Form and Q. 20 on the CPS Data Form. (See *Analysis Report*, Appendix C, pp. 3-12 and 3-13.)

SEVTY1F

Respondent's Severity of Harm - 1st Form

SEVTY2F

Respondent's Severity of Harm - 2nd Form, If Applicable

SEVTY3F

Respondent's Severity of Harm - 3rd Form, If Applicable

Blank = No severity of harm specified by respondent (or, for SEVTY2F and SEVTY3F, no corresponding form of maltreatment)

1 = Fatal  
 2 = Serious  
 3 = Moderate  
 4 = Probable impairment  
 5 = Endangered  
 6 = Other  
 8 = Not ascertained  
 9 = Unknown

NATURE OF HARM

CPS: Q. 21, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 16c, as verified or corrected by evaluative coders on the non-CPS Transmittal Form.

Assessment of the nature of harm to the child for the type of injury involved in the maltreatment described in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-14 and 3-15.)

(NATURE\_F continues on next page)



(NATURE\_F continued)

NATURE1F Nature of Harm - 1st FormNATURE2F Nature of Harm - 2nd Form, If ApplicableNATURE3F Nature of Harm- 3rd Form, If Applicable

Blank	=	Inapplicable (for NATURE2F and NATURE3F, no corresponding form of maltreatment)
0	=	Not applicable
1	=	Physical injury
2	=	Other health condition or physical impairment
3	=	Impaired educational development
4	=	Mental/emotional injury or impairment, or behavior problem
5	=	Unknown

DATE OF INCIDENT

CPS: Q. 18, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Non-CPS: Q. 16d, as verified or corrected by evaluative coders on the non-CPS Transmittal Form.

Assessment of respondent's coding of date of the maltreatment incident referenced in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F), as shown in answers to Q. 16d on the Non-CPS Data Form and Q. 18 on the CPS Data Form. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-16 and 3-17.)

INMON1F Month of Incident - 1st FormINMON2F Month of Incident - 2nd Form, If ApplicableINMON3F Month of Incident - 3rd Form, If Applicable

Blank	=	Inapplicable (for INMON2F and INMON3F, no corresponding form of maltreatment)
01-12	=	Month of incident
96	=	Partial information
97	=	Nonspecific answer
98	=	Not ascertained
99	=	Unknown

(IN[DATE] continues on next page)

(IN[DATE] continued)

INDAY1F	<u>Day of Incident - 1st Form</u>
INDAY2F	<u>Day of Incident - 2nd Form, If Applicable</u>
INDAY3F	<u>Day of Incident - 3rd Form, If Applicable</u>
Blank	= Inapplicable (for INDAY2F and INDAY3F, no corresponding form of maltreatment)
01-31	= Day of incident
96	= Partial information
97	= Nonspecific answer
98	= Not ascertained
99	= Unknown

INYSR1F	<u>Year of Incident - 1st Form</u>
INYSR2F	<u>Year of Incident - 2nd Form, If Applicable</u>
INYSR3F	<u>Year of Incident - 3rd Form, If Applicable</u>

Blank	= Inapplicable (for INYSR2F and INYSR3F, no corresponding form of maltreatment)
75-94	= Year of incident
96	= Partial information
97	= Nonspecific answer
98	= Not ascertained
99	= Unknown

TIME FRAME ELIGIBILITY

CPS/Non-CPS: Transmittal Form, Item Labeled "Time Evaluation."

Assessment of whether the acts/omissions coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F), occurred during the study period. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-20 and 3-21.)

TIMEV1F	<u>Time Frame Eligibility - 1st Form</u>
TIMEV2F	<u>Time Frame Eligibility - 2nd Form, If Applicable</u>
TIMEV3F	<u>Time Frame Eligibility -3rd Form, If Applicable</u>

(TIMEV\_F continues on next page)

(TIMEV\_F continued)

Blank	=	Inapplicable(for TIMEV2F and TIMEV3F, no corresponding form of maltreatment)
1	=	Events occurred during study period
2	=	Unspecified - no indication of problem
3	=	Unclear - indication of possible problem
4	=	Unclear - probably occurred outside period
8	=	Out-of-scope: occurred before study period
9	=	Out-of-scope: occurred after study period

SEVERITY EVALUATION

CPS/Non-CPS: Transmittal Form, Item Labeled "Severity Evaluation."

Classification of the severity of the most severe injury/impairment resulting from (or prolonged by) the acts/omissions involved in the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F).  
Evaluatively coded based on all available information on the data form, including narrative (See *Analysis Report*, Appendix C, pp. 3-22 through 3-25.)

SEVEV1F

Severity Evaluation - 1st Form

SEVEV2F

Severity Evaluation - 2nd Form, If Applicable

SEVEV3F

Severity Evaluation - 3rd Form, If Applicable

Blank	=	Inapplicable (for SEVEV2F and SEVEV3F, no corresponding form of maltreatment)
1	=	Fatal
2	=	Serious
3	=	Moderate
4	=	Harm can be assumed
5	=	Probable impairment
6	=	Endangered
7	=	Insufficient information
9	=	Out-of-scope

MOTHER/SUBSTITUTE COMMITTED THE MALTREATMENT

CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 1.”

Assessment of whether the mother/substitute committed the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F1	<u>Mother/Substitute Committed the Maltreatment - 1st Form</u>
PRSP2F1	<u>Mother/Substitute Committed the Maltreatment - 2nd Form, If Applicable</u>
PRSP3F1	<u>Mother/Substitute Committed the Maltreatment - 3rd Form, If Applicable</u>

Blank	=	Mother/substitute did not commit the maltreatment (or, for PRSP2F1 and PRSP3F1, no corresponding form of maltreatment)
1	=	Mother/substitute did commit the maltreatment
2	=	Mother/substitute may have committed the maltreatment
9	=	Unknown whether the mother/substitute committed the maltreatment

FATHER/SUBSTITUTE COMMITTED THE MALTREATMENT

CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 2.”

Assessment of whether the father/substitute committed the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F2	<u>Father/Substitute Committed the Maltreatment - 1st Form</u>
PRSP2F2	<u>Father/Substitute Committed the Maltreatment - 2nd Form, If Applicable</u>
PRSP3F2	<u>Father/Substitute Committed the Maltreatment - 3rd Form, If Applicable</u>

Blank	=	Father/substitute did not commit the maltreatment (or, for PRSP2F1 and PRSP3F1, no corresponding form of maltreatment)
1	=	Father/substitute did commit the maltreatment
2	=	Father/substitute may have committed the maltreatment
9	=	Unknown whether the father/substitute committed the maltreatment

MOTHER/SUBSTITUTE PERMITTED THE MALTREATMENT

CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 3.”

Assessment of whether the mother/substitute permitted the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F3

Mother/Substitute Permitted the Maltreatment - 1st Form

PRSP2F3

Mother/Substitute Permitted the Maltreatment - 2nd Form, If Applicable

PRSP3F3

Mother/Substitute Permitted the Maltreatment - 3rd Form, If Applicable

Blank	=	Mother/substitute did not permit the maltreatment (or, for PRSP2F3 and PRSP3F3, no corresponding form of maltreatment)
1	=	Mother/substitute did permit the maltreatment
2	=	Mother/substitute may have permitted the maltreatment
9	=	Unknown whether the mother/substitute permitted the maltreatment

FATHER/SUBSTITUTE PERMITTED THE MALTREATMENT

CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 4.”

Assessment of whether the father/substitute permitted the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F4

Father/Substitute Permitted the Maltreatment - 1st Form

PRSP2F4

Father/Substitute Permitted the Maltreatment - 2nd Form, If Applicable

PRSP3F4

Father/Substitute Permitted the Maltreatment - 3rd Form, If Applicable

Blank	=	Father/substitute did not permit the maltreatment (or, for PRSP2F4 and PRSP3F4, no corresponding form of maltreatment)
1	=	Father/substitute did permit the maltreatment
2	=	Father/substitute may have permitted the maltreatment
9	=	Unknown whether the father/substitute permitted the maltreatment

OTHER INVOLVED PERSON COMMITTED THE MALTREATMENT

CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 5.”

Assessment of whether the Other Involved Person committed the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F5 Other Involved Person Committed the Maltreatment - 1st FormPRSP2F5 Other Involved Person Committed the Maltreatment - 2nd Form, If ApplicablePRSP3F5 Other Involved Person Committed the Maltreatment - 3rd Form, If Applicable

Blank	=	Other Involved Person did not commit the maltreatment (or, for PRSP2F5 and PRSP3F5, no corresponding form of maltreatment)
1	=	Other Involved Person did commit the maltreatment
2	=	Other Involved Person may have committed the maltreatment
9	=	Unknown whether the Other Involved Person committed the maltreatment

OTHER INVOLVED PERSON PERMITTED THE MALTREATMENT

CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 6.”

Assessment of whether the Other Involved Person permitted the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F6 Other Involved Person Permitted the Maltreatment - 1st FormPRSP2F6 Other Involved Person Permitted the Maltreatment - 2nd Form, If ApplicablePRSP3F6 Other Involved Person Permitted the Maltreatment - 3rd Form, If Applicable

Blank	=	Other Involved Person did not permit the maltreatment (or, for PRSP2F6 and PRSP3F6, no corresponding form of maltreatment)
1	=	Other Involved Person did permit the maltreatment
2	=	Other Involved Person may have permitted the maltreatment
9	=	Unknown whether the Other Involved Person permitted the maltreatment

SECOND OTHER INVOLVED PERSON COMMITTED THE MALTREATMENT  
CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 7.”

Assessment of whether the second Other Involved Person committed the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F7	<u>Second Other Involved Person Committed the Maltreatment - 1st Form</u>
PRSP2F7	<u>Second Other Involved Person Committed the Maltreatment - 2nd Form, If Applicable</u>
PRSP3F7	<u>Second Other Involved Person Committed the Maltreatment - 3rd Form, If Applicable</u>

Blank	=	Second Other Involved Person did not commit the maltreatment (or, for PRSP2F7 and PRSP3F7, no corresponding form of maltreatment)
1	=	Second Other Involved Person did commit the maltreatment
2	=	Second Other Involved Person may have committed the maltreatment
9	=	Unknown whether the second Other Involved Person committed the maltreatment

PERPETRATOR NOT ASCERTAINED

CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 8.”

Assessment of perpetrator involvement in the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F); no information was available as to who committed the maltreatment. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F8	<u>Perpetrator Not Ascertained - 1st Form</u>
PRSP2F8	<u>Perpetrator Not Ascertained - 2nd Form, If Applicable</u>
PRSP3F8	<u>Perpetrator Not Ascertained - 3rd Form, If Applicable</u>

Blank	=	Information was available concerning the perpetrator of the maltreatment (or, for PRSP2F8 and PRSP3F8, no corresponding form of maltreatment)
1	=	Unknown persons did commit the maltreatment
2	=	Unknown persons may have committed the maltreatment

PERPETRATOR UNKNOWN

CPS/Non-CPS: Transmittal Form, Item Labeled “Person(s) Resp.: 9.”

Assessment of perpetrator involvement in the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F); any of a number of possible perpetrators could have committed the maltreatment. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F9 Perpetrator(s) Unknown - 1st Form

PRSP2F9 Perpetrator(s) Unknown - 2nd Form, If Applicable

PRSP3F9 Perpetrator(s) Unknown - 3rd Form, If Applicable

Blank = Perpetrator(s) were clearly identifiable or no perpetrator information was available (or, for PRSP2F9 and PRSP3F9, no corresponding form of maltreatment)

1 = Unknown who (among the possible perpetrators) committed the maltreatment

2 = Unknown who (among the possible perpetrators) may have committed the maltreatment

#### HARM STANDARD PERPETRATOR REQUIREMENT EVALUATION

CPS/Non-CPS: Transmittal Form, Item Labeled “Harm Standard Evaluation: Perpetrator Requirement.”

Assessment of whether the perpetrator of the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F) met the Harm Standard criteria for that type of maltreatment. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-26 through 3-28.)

HSA1F Harm Standard Perpetrator Evaluation -1st Form

HSA2F Harm Standard Perpetrator Evaluation - 2nd Form, If Applicable

HSA3F Harm Standard Perpetrator Evaluation 3rd Form, If Applicable

Blank = Inapplicable (for HSA2F and HSA3F, no corresponding form of maltreatment)

1 = Clearly fulfills perpetrator requirement

2 = Probably fulfills perpetrator requirement

3 = Possible perpetrator eligibility problem

4 = Perpetrator clearly out-of-scope



HARM STANDARD HARM REQUIREMENT EVALUATION

CPS/Non-CPS: Transmittal Form, Item Labeled “Harm Standard Evaluation: Harm Requirement.”

Assessment of whether the severity of the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) met the Harm Standard criteria for that type of maltreatment. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-29 and 3-30.)

HSB1F

Harm Standard Harm Evaluation - 1st Form

HSB2F

Harm Standard Harm Evaluation - 2nd Form, If Applicable

HSB3F

Harm Standard Harm Evaluation - 3rd Form, If Applicable

Blank	=	Inapplicable (for HSB2F and HSB3F, no corresponding form of maltreatment)
1	=	Clearly fulfills harm requirement
2	=	Out-of-scope: lesser harm
3	=	Out-of-scope: insufficient evidence of harm
4	=	Out-of-scope: no harm alleged

HARM STANDARD PERPETRATOR RESPONSIBILITY EVALUATION

CPS/Non-CPS: Transmittal Form, Item Labeled “Harm Standard Evaluation: Perpetrator Responsibility.”

Assessment of the degree to which there was reasonable cause for holding the most “countable” perpetrator responsible for the acts/omissions coded in the corresponding checked code (CKCDE1F, CKCDE2F, and CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-31 through 3-33.)

HSC1F

Harm Standard Perpetrator Responsibility Evaluation - 1st Form

HSC2F

Harm Standard Perpetrator Responsibility Evaluation - 2nd Form, If Applicable

HSC3F

Harm Standard Perpetrator Responsibility Evaluation - 3rd Form, If Applicable

Blank	=	Inapplicable (for HSC2F and HSC3F, no corresponding form of maltreatment)
1	=	Reliable evidence of in-scope perpetrator responsibility
2	=	Information incomplete, but in-scope responsibility likely
3	=	Responsibility doubtful
4	=	Out-of-scope

HARM STANDARD COUNTABILITY ASSESSMENT

CPS/Non-CPS: Transmittal Form, Item Labeled “Harm Standard Evaluation: HS Countability.”

Overall assessment of the likelihood that the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) fit all the requirements of the Harm Standard. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-34 through 3-36.)

HSD1F

Harm Standard Countability Assessment - 1st Form

HSD2F

Harm Standard Countability Assessment - 2nd Form, If Applicable

HSD3F

Harm Standard Countability Assessment - 3rd Form, If Applicable

Blank	=	Inapplicable (for HSD2F and HSD3F, no corresponding form of maltreatment)
1	=	Very probable
2	=	Probable
3	=	Insufficient information
4	=	Doubtful/unlikely
7	=	Probable except for time
8	=	Situation out-of-scope
9	=	Child out-of-scope

HSE

Overall Harm Standard Countability Assessment of Child

CPS/Non-CPS: Transmittal Form, Item Labeled “Harm Standard Evaluation: Overall HS Countability of Case.”

Overall assessment of the likelihood that this child experience some form of maltreatment that fit the Harm Standard criteria during the study data period. Evaluatively coded based on all available information on the data form, including narrative (See *Analysis Report*, Appendix C, pp. 3-37.)

1	=	Very probable
2	=	Probable
3	=	Insufficient information
4	=	Doubtful/unlikely
7	=	Probable except for time
8	=	Situation out-of-scope
9	=	Child out-of-scope

ENDANGERMENT STANDARD PERPETRATOR REQUIREMENT EVALUATION  
 CPS/Non-CPS: Transmittal Form, Item Labeled “Endangerment Standard  
 Evaluation: Perpetrator Requirement.”

Assessment of whether the perpetrator of the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) met the Endangerment Standard criteria for that type of maltreatment. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-26 through 3-28.)

ESA1F

Endangerment Standard Perpetrator Evaluation - 1st Form

ESA2F

Endangerment Standard Perpetrator Evaluation - 2nd Form, If Applicable

ESA3F

Endangerment Standard Perpetrator Evaluation - 3rd Form, If Applicable

Blank	=	Inapplicable (for ESA2F and ESA3F, no corresponding form of maltreatment)
1	=	Clearly fulfills perpetrator requirement
2	=	Probably fulfills perpetrator requirement
3	=	Possible perpetrator eligibility problem
4	=	Perpetrator clearly out-of-scope

ENDANGERMENT STANDARD HARM REQUIREMENT EVALUATION

CPS/Non-CPS: Transmittal Form, Item Labeled “Endangerment Standard  
 Evaluation: Harm Requirement.”

Assessment of the whether the severity of the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) met the Endangerment Standard criteria for that type of maltreatment. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-29 and 3-30.)

ESB1F

Endangerment Standard Harm Evaluation - 1st Form

ESB2F

Endangerment Standard Harm Evaluation - 2nd Form, If Applicable

ESB3F

Endangerment Standard Harm Evaluation - 3rd Form, If Applicable

Blank	=	Inapplicable (for ESB2F and ESB3F, no corresponding form of maltreatment)
1	=	Clearly fulfills harm requirement
2	=	Out-of-scope: lesser harm
3	=	Out-of-scope: insufficient evidence of harm
4	=	Out-of-scope: no harm alleged

ENDANGERMENT STANDARD PERPETRATOR RESPONSIBILITY EVALUATION  
 CPS/Non-CPS: Transmittal Form, Item Labeled “Endangerment Standard  
 Evaluation: Perpetrator Responsibility.”

Assessment of the degree to which there was reasonable cause for holding the most “countable” perpetrator responsible for the acts/omissions coded in the corresponding checked code (CKCDE1F, CKCDE2F, and CKCDE3F). Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-31 through 3-33.)

ESC1F	<u>Endangerment Standard Perpetrator Responsibility Evaluation - 1st Form</u>
ESC2F	<u>Endangerment Standard Perpetrator Responsibility Evaluation - 2nd Form, If Applicable</u>
ESC3F	<u>Endangerment Standard Perpetrator Responsibility Evaluation - 3rd Form, If Applicable</u>

Blank	=	Inapplicable (for ESC2F and ESC3F, no corresponding form of maltreatment)
1	=	Reliable evidence of in-scope perpetrator responsibility
2	=	Information incomplete, but in-scope responsibility likely
3	=	Responsibility doubtful
4	=	Out-of-scope

ENDANGERMENT STANDARD COUNTABILITY ASSESSMENT

CPS/Non-CPS: Transmittal Form, Item Labeled “Endangerment Standard  
 Evaluation: ES Countability.”

Overall assessment of the likelihood that the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) fit all the requirements of the Endangerment Standard. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-34 through 3-36.)

ESD1F	Endangerment Standard Countability Assessment - 1st Form
ESD2F	Endangerment Standard Countability Assessment - 2nd Form, If Applicable
ESD3F	Endangerment Standard Countability Assessment - 3rd Form, If Applicable

(ESD\_F continues on next page)

(ESD\_F continued)

Blank	=	Inapplicable (for ESD2F and ESD3F, no corresponding form of maltreatment)
1	=	Very probable
2	=	Probable
3	=	Insufficient information
4	=	Doubtful/unlikely
7	=	Probable except for time
8	=	Situation out-of-scope
9	=	Child out-of-scope

ESE

Overall Endangerment Standard Countability Assessment of Child

CPS/Non-CPS: Transmittal Form, Item Labeled "Harm Standard Evaluation: Overall HS Countability of Case."

Overall assessment of the likelihood that this child experienced some form of maltreatment that fit the Endangerment Standard criteria during the study period. Evaluatively coded based on all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-37.)

1	=	Very probable
2	=	Probable
3	=	Insufficient information
4	=	Doubtful/unlikely
7	=	Probable except for time
8	=	Situation out-of-scope
9	=	Child out-of-scope

MALTREATMENT CHARACTERISTICS:SUMMARY CLASSIFICATIONS OF MALTREATMENT COUNTABLE UNDER THE HARM STANDARD

The variables in this series (AMALH to ENH) represent summary classifications of the child's countability under the Harm Standard in different maltreatment categories. They provide summary codes which indicate whether or not the child was countable in the category in question under the Harm Standard criteria, looking across all the forms of maltreatment that were coded for the child (i.e., up to three). The following gives the full algorithm used to create the physical abuse variable, PAH:

## Computation of PAH:

If	(CKCDE1F = '040' and ((HSD1F = ('1' or '2' )) or (HSD1F = '7' and FORMTYPE = '0' )))
Or	(CKCDE2F = '040' and ((HSD2F = ('1' or '2' )) or (HSD2F = '7' and FORMTYPE = '0' )))
Or	(CKCDE3F = '040' and ((HSD3F = ('1' or '2' )) or (HSD3F = '7' and FORMTYPE = '0' )))
Then	PAH = 1;

For convenience, the algorithms given below are specified with an abbreviated format, which should be understood as applying to all three maltreatment forms, in sequence. The above code for PAH is abbreviated below to:

If	(CKCDE_F = '040' and ((HSD_F = ('1' or '2' )) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	PAH = 1;

## AMALH

Child's Countability as Maltreated Under the Harm Standard  
CPS/Non-CPS: Derived.

If	(AABUSEH = 1 or ANEGH = 1)
Then	AMALH = 1;

(See below for derivation of AABUSEH and ANEGH.)

1	=	Countable as maltreated under the Harm Standard
0	=	Not countable as maltreated under the Harm Standard

## AABUSEH

Child's Countability as Abused Under the Harm Standard  
CPS/Non-CPS: Derived.

If	(PAH = 1 or SAH = 1 or EAH = 1)
Then	AABUSEH = 1;

(See below for derivation of PAH, SAH, and EAH.)

1	=	Countable as abused under the Harm Standard
0	=	Not countable as abused under the Harm Standard

PAH

Child's Countability as Physically Abused Under the Harm Standard

CPS/Non-CPS: Derived.

If	(CKCDE_F = '040' and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	PAH = 1;

1 = Countable as physically abused under the Harm Standard

0 = Not countable as physically abused under the Harm Standard

SAH

Child's Countability as Sexually Abused Under the Harm Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = ('010' or '011' or '020' or '030' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	SAH = 1;

1 = Countable as sexually abused under the Harm Standard

0 = Not countable as sexually abused under the Harm Standard

EAH

Child's Countability as Emotionally Abused Under the Harm Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = ('051' or '052' or '060' or '070' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	EAH = 1;

1 = Countable as emotionally abused under the Harm Standard

0 = Not countable as emotionally abused under the Harm Standard

ANEGH

Child's Countability as Neglected Under the Harm Standard

CPS/Non-CPS: Derived.

If	(PNH = 1 or EDNH = 1 or ENH = 1)
Then	ANEGH = 1;

(See below for derivation of PNH, EDNH, and ENH.)

1 = Countable as neglected under the Harm Standard

0 = Not countable as neglected under the Harm Standard

PNH

Child's Countability as Physically Neglected Under the Harm Standard

CPS/Non-CPS: Derived.

If      ((CKCDE_F = ('080' or '090' or '101' or '102' or '103' or '110' or '120' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' ))) Then   PNH = 1;
---

1           =       Countable as physically neglected under the Harm  
                           Standard

0           =       Not countable as physically neglected under the Harm  
                           Standard

EDNH

Child's Countability as Educationally Neglected Under the Harm Standard

CPS/Non-CPS: Derived.

If      ((CKCDE_F = ('130' or '141' or '142' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' ))) Then   EDNH = 1;
---

1           =       Countable as educationally neglected under the Harm  
                           Standard

0           =       Not countable as educationally neglected under the  
                           Harm Standard

ENH

Child's Countability as Emotionally Neglected Under the Harm Standard

CPS/Non-CPS: Derived.

If      ((CKCDE_F = ('151' or '152' or '161' or '162' or '171' or '172' or '173' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' ))) Then   ENH = 1;
---

1           =       Countable as emotionally neglected under the Harm  
                           Standard

0           =       Not countable as emotionally neglected under the Harm  
                           Standard



SUMMARY CLASSIFICATIONS - TYPE OF MALTREATMENT COUNTABLE UNDER THE ENDANGERMENT STANDARD

The variables in the following series (AMALE to OTHMALE) represent summary classifications of the child's countability under the Endangerment Standard in different maltreatment categories. They provide summary codes which indicate whether or not the child was countable in the category in question under the Endangerment Standard criteria, looking across all the forms of maltreatment that were coded for the child (i.e., up to three). The following gives the full algorithm used to create the physical abuse variable, PAE:

Computation of PAE:

If	(CKCDE1F = '040' and ((ESD1F = ('1' or '2' )) or (ESD1F = '7' and FORMTYPE = '0' )))
Or	(CKCDE2F = '040' and ((ESD2F = ('1' or '2' )) or (ESD2F = '7' and FORMTYPE = '0' )))
Or	(CKCDE3F = '040' and ((ESD3F = ('1' or '2' )) or (ESD3F = '7' and FORMTYPE = '0' )))
Then	PAE = 1;

For convenience, the algorithms given below are specified with an abbreviated format, which should be understood as applying to all three maltreatment forms, in sequence. The above derivation for PAE is abbreviated below to:

If	(CKCDE_F = '040' and ((ESD_F = ('1' or '2' )) or (ESD_F = '7' and FORMTYPE = '0' )))
Then	PAE = 1;

AMALE

Child's Countability as Maltreated Under the Endangerment Standard  
CPS/Non-CPS: Derived.

If	(AABUSEE = 1 or ANEGE = 1 or OTHMALE = 1)
Then	AMALE = 1;

(See below for derivation of AABUSEE, ANEGE, and OTHMALE.)

1	=	Countable as maltreated under the Endangerment Standard
0	=	Not countable as maltreated under the Endangerment Standard

AABUSEE

Child's Countability as Abused Under the Endangerment Standard

CPS/Non-CPS: Derived.

If	(PAE = 1 or SAE = 1 or EAE = 1)
Then	AABUSEE = 1;

(See below for derivation of PAE, SAE, and EAE.)

1	=	Countable as abused under the Endangerment Standard
0	=	Not countable as abused under the Endangerment Standard

PAE

Child's Countability as Physically Abused Under the Endangerment Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = '040' and ((ESD_F = ('1' or '2')) or (ESD_F = '7' and FORMTYPE = '0' )))
Then	PAE = 1;

1	=	Countable as physically abused under the Endangerment Standard
0	=	Not countable as physically abused under the Endangerment Standard

SAE

Child's Countability as Sexually Abused Under the Endangerment Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = ('010' or '011' or '020' or '030' )) and ((ESD_F = ('1' or '2')) or (ESD_F = '7' and FORMTYPE = '0' )))
Then	SAE = 1;

1	=	Countable as sexually abused under the Endangerment Standard
0	=	Not countable as sexually abused under the Endangerment Standard

EAE Child's Countability as Emotionally Abused Under the Endangerment Standard  
CPS/Non-CPS: Derived.

If	((CKCDE_F = ('051' or '052' or '060' or '070' )) and ((ESD_F = ('1' or '2')) or (ESD_F = '7' and FORMTYPE = '0' )))
Then	EAE = 1;

1 = Countable as emotionally abused under the  
Endangerment Standard  
0 = Not countable as emotionally abused under the  
Endangerment Standard

ANEGE Child's Countability as Neglected Under the Endangerment Standard  
CPS/Non-CPS: Derived.

If	(PNE = 1 or EDNE = 1 or ENE = 1 or OTHNEGE = 1)
Then	ANEGE = 1;

(See below for derivation of PNE, EDNE, ENE, and OTHNEGE.)

1 = Countable as neglected under the Endangerment  
Standard  
0 = Not countable as neglected under the Endangerment  
Standard

PNE Child's Countability as Physically Neglected Under the Endangerment Standard  
CPS/Non-CPS: Derived.

If	((CKCDE_F = ('080' or '090' or '101' or '102' or '103' or '110' or '120' )) and ((ESD_F = ('1' or '2')) or (ESD_F = '7' and FORMTYPE = '0' )))
Then	PNE = 1;

1 = Countable as physically neglected under the  
Endangerment Standard  
0 = Not countable as physically neglected under the  
Endangerment Standard

EDNE

Child's Countability as Educationally Neglected Under the Endangerment Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = ('130' or '141' or '142' )) and ((ESD_F = ('1' or '2')) or (ESD_F = '7' and FORMTYPE = '0' )))
Then	EDNE = 1;

1 = Countable as educationally neglected under the  
Endangerment Standard\*

0 = Not countable as educationally neglected under the  
Endangerment Standard

ENE

Child's Countability as Emotionally Neglected Under the Endangerment Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = ('151' or '152' or '161' or '162' or '171' or '172' or '173' )) and ((ESD_F = ('1' or '2')) or (ESD_F = '7' and FORMTYPE = '0' )))
Then	ENE = 1;

1 = Countable as emotionally neglected under the  
Endangerment Standard

0 = Not countable as emotionally neglected under the  
Endangerment Standard

OTHNEGE

Child's Countability as Neglected Under the Endangerment Standard in Ways Not Specified

CPS/Non-CPS: Derived.

If	(CKCDE_F = '190' and ((ESD_F = ('1' or '2')) or (ESD_F = '7' and FORMTYPE = '0' )))
Then	OTHNEGE = 1;

1 = Countable as neglect under the Endangerment Standard  
in ways not specified

0 = Not countable as neglect under the Endangerment  
Standard in ways not specified

---

\* Countability Criteria for this category are the same under both the Harm Standard and the Endangerment Standard..

OTHMALE

Child's Countability as Maltreated Under the Endangerment Standard in Ways Not Specified

CPS/Non-CPS: Derived.

If	(CKCDE_F = '201' and ((ESD_F = ('1' or '2')) or (ESD_F = '7' and FORMTYPE = '0')))
Then	OTHMALE = 1;

1 = Countable as maltreated under the Endangerment Standard in ways not specified

0 = Not countable as maltreated under the Endangerment Standard in ways not specified

CPSH

Child Was Countable Under the Harm Standard Countable and Had Maltreatment Investigated by CPS

CPS/Non-CPS: Derived.

If	CPSROL = (1 or 8) and AMALH = 1
Then	CPSH = 1;

1 = Child was countable as maltreated under the Harm Standard and CPS investigated the child's maltreatment

0 = Child was not countable as maltreated under the Harm Standard and/or CPS did not investigate the child's maltreatment

CPSE

Child Was Countable Under the Endangerment Standard and Had Maltreatment Investigated by CPS

CPS/Non-CPS: Derived.

If	CPSROL = (1 or 8) and AMALE = 1
Then	CPSE = 1;

1 = Child was countable as maltreated under the Endangerment Standard and CPS investigated the child's maltreatment

0 = Child was not countable as maltreated under the Endangerment Standard and/or CPS did not investigate the child's maltreatment

NCP SH                      Child’s Was Countable Under the Harm Standard Countable But Was Not Investigated by CPS  
 CPS/Non-CPS: Derived.

If        CPSROL NOT = (1 or 8) and AMALH = 1  
 Then    NCP SH = 1;

1                      =        Child was countable as maltreated under the Harm Standard and CPS did not investigate the child’s maltreatment  
 0                      =        Child was not countable as maltreated under the Harm Standard and/or CPS investigated the child’s maltreatment

NCP SE                      Child’s Was Countable Under the Endangerment Standard But Was Not Investigated by CPS  
 CPS/Non-CPS: Derived.

If        CPSROL NOT = (1 or 8) and AMALE = 1  
 Then    NCP SE = 1;

1                      =        Child was countable as maltreated under the Endangerment Standard and CPS did not investigate the child’s maltreatment  
 0                      =        Child was not countable as maltreated under the Endangerment Standard and/or CPS investigated the child’s maltreatment

SEVER1                      Most Severe Outcome from Any Harm Standard Maltreatment  
 CPS/Non-CPS: Derived.

SEVER1 represents a summary classification for the most severe injury/impairment resulting from maltreatment countable under the Harm Standard. All forms of maltreatment that were countable under the Harm Standard was identified, and the severity codes were compared. The most severe level of injury/impairment was used to represent the SEVER1 value for the child.

That is, HSD1F, HSD2F, and HSD3F were examined, and for those with values of 1 or 2 (or 7 if FORMTYPE = 0), the corresponding severity evaluations (i.e., SEVEV1F, SEVEV2F and/or SEVEV3F) were compared. The SEVEV\_F value with the lowest numerical code value (i.e., most severe injury/impairment) was assigned as the child’s SEVER1 value. SEVER1 should only be used with AMALH and never with any of the subsidiary maltreatment categories.

Blank                =        Child was not countable under the Harm Standard  
 1                      =        Fatal injury/harm  
 2                      =        Serious injury/harm  
 3                      =        Moderate injury/harm  
 4                      =        Inferred or Probable injury/harm

## SEVER2

Most Severe Outcome from Endangerment Standard Maltreatment  
CPS/Non-CPS: Derived.

SEVER2 represents a summary classification for the most severe injury/impairment resulting from maltreatment countable under the Endangerment Standard. Each form of maltreatment which was countable under the Endangerment Standard was identified, and the severity codes were compared. An algorithm analogous to SEVER1 was created based on ESD1F, ESD2F, and ESD3F. The most severe level of injury/impairment was used to represent the SEVER2 value for the child.

Specifically, ESD1F, ESD2F, and ESD3F were examined, and for those with values of 1 or 2 (or 7 if FORMTYPE = 0), the corresponding severity evaluations (i.e., SEVEV1F, SEVEV2F and/or SEVEV3F) were compared. The SEVEV\_F value with the lowest numerical code value (i.e., most severe injury/impairment) was assigned as the child's SEVER2 value. SEVER2 should only be used with AMALE and never with any of the subsidiary maltreatment categories.

Blank	=	Child was not countable under the Endangerment Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
4	=	Inferred or Probable injury/harm
5	=	Endangered

SUMMARY CLASSIFICATIONS OF SEVERITY OF OUTCOMES FROM  
MALTREATMENT COUNTABLE UNDER THE HARM STANDARD

The following series of variables (SV1 to SV9) represent summary severity classifications of the injury/impairment that resulted from different types of Harm Standard maltreatment. Their purpose is to identify the most severe outcome from countable maltreatment within each maltreatment category. The following is an example of the algorithm used to create these variables:

(SV[types] continue on next page)

(SV[types] continued)

Computation of SV3 (Physical Abuse Severity):

If	(CKCDE1F = '040' and ((HSD1F = '1' or HSD1F = '2' ) or (HSD1F = '7' and FORMTYPE = '0' )))
Then	SV3 = SEVEV1F;
And	
If	(CKCDE2F = '040' and ((HSD2F = '1' or HSD2F = '2' ) or (HSD2F = '7' and FORMTYPE = '0' )))
Then	SV3 = MINIMUM VALUE (SEVEV1F, SEVEV2F);
And	
If	(CKCDE3F = '040' and ((HSD3F = '1' or HSD3F = '2' ) or (HSD3F = '7' and FORMTYPE = '0' )))
Then	SV3 = MINIMUM VALUE(SEVEV1F, SEVEV2F, SEVEV3F);
Else	SV3 = 9;

For convenience, the algorithms given below are specified with an abbreviated format, which should be understood as applying to all three maltreatment forms, in sequence. The above code for SV3 is abbreviated below to:

If	(CKCDE_F = '040' and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	SV3 = MINIMUM VALUE (SEVEV_F);
Else	SV3 = 9;

SV1

Most Severe Outcome from Maltreatment Countable Under the Harm Standard  
CPS/Non-CPS: Derived

SV1	=	MINIMUM VALUE (SV2, SV6)
-----	---	--------------------------

(See below for derivation of SV2 and SV6.)

Blank	=	Child not countable under the Harm Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
4	=	Inferred injury/harm*
5	=	Probable injury/harm*

---

\* Codes 4 and 5 are collapsed into the Inferred category in Chapter 6 of the Final Report.



SV2 Most Severe Outcome from Abuse Countable Under the Harm Standard  
CPS/Non-CPS: Derived

SV2	=	MINIMUM VALUE (SV3, SV4, SV5)
-----	---	-------------------------------

See below for derivation of SV3, SV4, and SV5.)

- Blank = Child not countable under the Harm Standard
- 1 = Fatal injury/harm
- 2 = Serious injury/harm
- 3 = Moderate injury/harm
- 4 = Inferred injury/harm\*
- 5 = Probable injury/harm\*
- 9 = No abuse countable under the Harm Standard

SV3 Most Severe Outcome from Physical Abuse Countable Under the Harm Standard  
CPS/Non-CPS: Derived.

If	((CKCDE_F = '040' and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	SV3 = MINIMUM VALUE (SEVEV_F);
Else	SV3 = 9;

- Blank = Child not countable under the Harm Standard
- 1 = Fatal injury/harm
- 2 = Serious injury/harm
- 3 = Moderate injury/harm
- 9 = No physical abuse countable under the Harm Standard

SV4 Most Severe Outcome from Sexual Abuse Countable Under the Harm Standard  
CPS/Non-CPS: Derived.

If	((CKCDE_F = ('010' or '011' or '020' or '030' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	SV4 = MINIMUM VALUE (SEVEV_F);
Else	SV4 = 9;

(SV4 continues on next page)

(SV4 continued)

Blank	=	Child not countable under the Harm Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
4	=	Inferred injury/harm *
5	=	Probable injury/harm *
9	=	No sexual abuse countable under the Harm Standard

SV5 Most Severe Outcome from Emotional Abuse Countable Under the Harm Standard

CPS/Non-CPS: Derived.

If ((CKCDE_F = ('051' or '052' or '060' or '070' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' ))) Then SV5 = MINIMUM VALUE (SEVEV_F); Else SV5 = 9;
--

Blank	=	Child not countable under the Harm Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
4	=	Inferred injury/harm *
5	=	Probable injury/harm *
9	=	No emotional abuse countable under the Harm Standard

SV6 Most Severe Outcome from Neglect Countable Under the Harm Standard

CPS/Non-CPS: Derived.

SV6 = MINIMUM VALUE (SV7, SV8, SV9)
--

See below for derivation of SV7, SV8, and SV9.)

Blank	=	Child not countable under the Harm Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
4	=	Inferred injury/harm *
5	=	Probable injury/harm *
9	=	No neglect countable under the Harm Standard

---

\* Codes 4 and 5 are collapsed into the Inferred category in Chapter 6 of the *Final Report*.

SV7

Most Severe Outcome from Physical Neglect Countable Under the Harm Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = ('101' or '102' or '103' or '110' or '120' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	SV7 = MINIMUM VALUE (SEVEV_F);
Else	SV7 = 9;

Blank	=	Child not countable under the Harm Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
4	=	Inferred injury/harm*
5	=	Probable injury/harm*
9	=	No physical neglect countable under the Harm Standard

SV8

Most Severe Outcome from Educational Neglect Countable Under the Harm Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = ('130' or '141' or '142' )) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0' )))
Then	SV8 = MINIMUM VALUE (SEVEV_F);
Else	SV8 = 9;

Blank	=	Child not countable under the Harm Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
9	=	No educational neglect countable under the Harm Standard

---

\* Codes 4 and 5 are collapsed into the Inferred category in Chapter 6 of the *Final Report*.

SV9

Most Severe Outcome from Emotional Neglect Countable Under the Harm Standard

CPS/Non-CPS: Derived.

If	((CKCDE_F = ('151' or '152' or '161' or '162' or '171' or '172' or '173')) and ((HSD_F = ('1' or '2')) or (HSD_F = '7' and FORMTYPE = '0')))
Then	SV9 = MINIMUM VALUE (SEVEV_F);
Else	SV9 = 9;

Blank	=	Child not countable under the Harm Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
9	=	No emotional neglect countable under the Harm Standard

SUMMARY CLASSIFICATIONS OF PERPETRATORS OF MALTREATMENT COUNTABLE UNDER THE HARM STANDARD

The variables in the following series (PRP1 to PRP9) represent summary classifications of the perpetrator(s) of different types of Harm Standard maltreatment. Note that the evaluative coding system allowed for coding multiple forms of maltreatment within a given category and as many as four perpetrators for a given form of maltreatment, so selection rules were necessary. The classifications given here select maltreatment form on the basis of outcome severity and perpetrator on the basis of relatedness to the victim: Within a maltreatment category, the maltreatment with the most severe outcome was selected, and among the perpetrators of this maltreatment, the individual who was most closely related to the victim was selected.

The following describes the algorithm used to create the variable that summarizes the perpetrator of Harm Standard Physical Abuse, PRP3:

The first step selects the form of maltreatment within the category with the most severe outcome. Because the example category here is PRP3 (perpetrator of Harm Standard Physical Abuse), the most severe outcome is given by the variable SV3, which was defined earlier:

(PRP\_ continues on next page)

(PRP\_ continued)

```
If      SV3 = SEVEV1F
Then select the first form;
Else
If      SV3 = SEVEV2F
Then select the second form;
Else
If      SV3 = SEVEV3F
Then select the third form;
```

Let the symbol # represent the specific form of maltreatment selected in this step. Note that each perpetrator variable described in this series relies on its corresponding SV[type] variable in order to select the form of maltreatment with the most severe outcome. (See the series SV1 through SV9, defined above.) Thus, for PRP1 the above algorithm relies on SV1, for PRP2 it relies on SV2, and so forth.

The second step selects the perpetrator with the closest relationship to the victim. Looking at the perpetrator responsibility variables (PRSP codes listed earlier) for the # form of maltreatment, and considering the relationship codes given in the earlier-defined variables MSUB, FSUB, OTHPCDE, and OTHPCDE2, the algorithm to derive PRP3 then continues:

(PRP\_ continues on next page)

(PRP\_ continued)

If	(PRSP#F1 = (1 or 2) and MSUB = 1) or (PRSP#F2 = (1 or 2) and FSUB = 1)
Then	PRP3 = 1;
Else	
If	(PRSP#F5 = (1 or 2) and OTHPCDE = 01) or (PRSP#F7 = (1 or 2) and OTHPCDE2 = 01)
Then	PRP3 = 2;
Else	
If	(PRSP#F1=(1 or 2) and MSUB = 2) or (PRSP#F2 = (1 or 2) and FSUB =2)
Then	PRP3 = 3;
Else	
If	PRSP#F1 = (1 or 2) or PRSP#F2 = (1 or 2)
Then	PRP3 = 4;
Else	
If	(PRSP#F5 = (1 or 2) and OTHPCDE = 02) or (PRSP#F7 = (1 or 2) and OTHPCDE2 = 02)
Then	PRP3 = 5;
Else	
If	(PRSP#F5 = (1 or 2) and OTHPCDE = (23 or 24 or 25)) or (PRSP#F7 = (1 or 2) and OTHPCDE2 = (23 or 24 or 25))
Then	PRP3 = 6;
Else	
If	(PRSP#F5 = (1 or 2) and OTHPCDE = 33 ) or (PRSP#F7 = (1 or 2) and OTHPCDE2 = 33)
Then	PRP3 = 7;
Else	
If	(PRSP#F5 = (1 or 2) and OTHPCDE = (34 or 35)) or (PRSP#F7 = (1 or 2) and OTHPCDE2 = (34 or 35))
Then	PRP3 = 8;
Else	
If	(PRSP#F5 = (1 or 2) and OTHPCDE = (43 or 44 or 45 or 53 or 54 or 55 or 63 or 64 or 65 or 70 or 73 or 74 or 75 or 96 or 98 or 99)) or (PRSP#F7 = (1 or 2) and OTHPCDE2 = (43 or 44 or 45 or 53 or 54 or 55 or 63 or 64 or 65 or 70 or 73 or 74 or 75 or 96 or 98 or 99))
Then	PRP3 = 9;
Else	PRP3 = 10;

PRP1

Closest-Related Perpetrator of the Harm Standard Maltreatment with the Most Severe Outcome\*

(PRP\_ continues on next page)

\* Tables presented in Chapter 6 of the *Final Report* collapse codes 1 and 2 into "Natural Parents," codes 3, 4, 5, and 6 into "Parent/substitutes," and codes 7, 8, and 9 into the "Other" category.

(PRP\_ continued)

PRP2	<u>Closest-Related Perpetrator of the Harm Standard Abuse with the Most Severe Outcome</u>
PRP3	<u>Closest-Related Perpetrator of the Harm Standard Physical Abuse with the Most Severe Outcome</u>
PRP4	<u>Closest-Related Perpetrator of the Harm Standard Sexual Abuse with the Most Severe Outcome</u>
PRP5	<u>Closest-Related Perpetrator of the Harm Standard Emotional Abuse with the Most Severe Outcome</u>
PRP6	<u>Closest-Related Perpetrator of the Harm Standard Neglect with the Most Severe Outcome</u>
PRP7	<u>Closest-Related Perpetrator of the Harm Standard Physical Neglect with the Most Severe Outcome</u>
PRP8	<u>Closest-Related Perpetrator of the Harm Standard Educational Neglect with the Most Severe Outcome</u>
PRP9	<u>Closest-Related Perpetrator of the Harm Standard Emotional Neglect with the Most Severe Outcome</u>

Blank	=	Child not countable under the Harm Standard
1	=	In-home natural parent
2	=	Out-of-home home natural parent
3	=	In-home stepparent
4	=	Other in-home parent/substitute
5	=	Other out-of-home separated/divorced parent
6	=	Parent's boy/girlfriend
7	=	Other in-home family member**
8	=	Other family member**
9	=	Other person (not a family member)**
10	=	No maltreatment in the category was countable under the Harm Standard <sup>+</sup>

---

\*\* These codes are not available for PRP6, PRP7, PRP8, and PRP9, because the Harm Standard required parents and parent/substitutes to be perpetrators in these maltreatment categories.

<sup>+</sup> This code was not provided for PRP1, because children who were not countable under the Harm Standard at all were assigned blank values for all PRP codes.

## WEIGHTING VARIABLES:

Variable Name

Definition and Codes

FINWT

Final Weight

See the *Analysis Report* for a detailed description of the procedures followed in computing this weight. It incorporates the child's probability of selection at all levels of the sampling design (including multiple chances of selection for duplicated children), various nonresponse adjustments, and annualization.

Analyses must always use this weight, as discussed in Part 1 of this manual.

FINWT already includes the PSU weight (PSUWGT) and the annualization factor (ANNFAC), which are described below. (Those components are provided for specialized analyses only).

3.87 - 8250 = Actual Value

### REPLICATE WEIGHTS

As described in the introductory chapter of this manual, the fact that the NIS uses a multi-stage sample design means that standard statistical packages do not appropriately compute the variances of study estimates (or the significance of differences). The NIS-3 *Final Report* and its appendices offer standard errors of estimates and the results of significance tests that were computed using a replication approach, the jackknife.\* Use of this approach requires replicate weights. These were developed in the manner detailed in Chapter 15 of the *Analysis Report*, and are provided here.+ They should be used in accordance with the guidelines provided in Part 1 and the instructions given in Part 5 of this manual.

REPWT1

First Replicate Weight

REPWT2

Second Replicate Weight

REPWT3

Third Replicate Weight

(REPWT\_ continues on next page)

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\* Cf. Kovar, J.G., Rao, J.N.K., & Wu, C.F.J. (1988). Bootstrap and other method to measure errors in survey estimates. *Canadian Journal of Statistics*, 16 (suppl.), 25-45.

+ WesVarPC is a Windows-based software package which uses these replicate weights to produce accurate variance and significance computations. Users can obtain it free by downloading it from the website [www.westat.com/wesvarpc](http://www.westat.com/wesvarpc), or by writing to WesVarPC c/o Maida Montes, Westat, Inc., 1650 Research Blvd., Rockville, MD 20850 USA.



(REPWT\_ continued)

REPWT4	<u>Fourth Replicate Weight</u>
REPWT5	<u>Fifth Replicate Weight</u>
REPWT6	<u>Sixth Replicate Weight</u>
REPWT7	<u>Seventh Replicate Weight</u>
REPWT8	<u>Eighth Replicate Weight</u>
REPWT9	<u>Ninth Replicate Weight</u>
REPWT10	<u>Tenth Replicate Weight</u>
REPWT11	<u>Eleventh Replicate Weight</u>
REPWT12	<u>Twelfth Replicate Weight</u>
REPWT13	<u>Thirteenth Replicate Weight</u>
REPWT14	<u>Fourteenth Replicate Weight</u>
REPWT15	<u>Fifteenth Replicate Weight</u>
REPWT16	<u>Sixteenth Replicate Weight</u>
REPWT17	<u>Seventeenth Replicate Weight</u>
REPWT18	<u>Eighteenth Replicate Weight</u>
REPWT19	<u>Nineteenth Replicate Weight</u>
REPWT20	<u>Twentieth Replicate Weight</u>
REPWT21	<u>Twenty-first Replicate Weight</u>

0 - 19112.01573 = Actual Value

## PSUWGT

PSU Weight

See the *Analysis Report* for a detailed description of how this factor was computed. It is already a component of the weighting variables FINWT and REPWT1 through REPWT21, described above.

It is provided here for specialized analyses. Users who require estimates concerning a specific PSU can calculate special within-PSU weights by dividing this variable into FINWT.

WARNING: There are three primary cautions for use of this alternative: (1) Within-PSU estimates that are based on relatively low numbers of cases are extremely unstable and should not be used. The recommended rule-of-thumb is that estimates based on fewer than 20 cases should not be reported. (2) Within-PSU annualized estimates are suspect because the annualization factor (see below) is a nationally-representative multiplier and it may not be accurate in its application to the data within a specific PSU. (3) Replicate weights cannot be used for within-PSU computations. Thus, no provisions are available for computing appropriate variances or conducting appropriate significance tests for within-PSU estimates. (See the discussion in Part 1 of this manual.)

1 - 365.13025787 = Actual Value

## ANNFAC

Annualization Factor

See the *Analysis Report* for a detailed description of how this factor was computed. It is already a component of the weighting variables FINWT and REPWT1 through REPWT21, described above.

It is provided here for specialized analyses. Users who require estimates concerning only the three-month data period used in the NIS-3 (i.e., September 5 through December 4, 1993) can calculate special non-annualized weights by dividing this variable into FINWT. To compute appropriate variances and conduct appropriate significance tests for non-annualized estimates, users must also compute a correspondingly-modified series of REPWT variables by dividing this factor into each variable in the REPWT series (REPWT1 through REPWT21).

3.1224031215 - 3.8728186541 = Actual Value

## **PART 3**

### **PART 3. NIS-3 CPS-ONLY PUBLIC USE FILE**

This part of the NIS-3 Public Use Files Manual documents the contents of the NIS-3 CPS-Only Public Use File. This file is available in SAS, SPSS, and ASCII format.

The NIS-3 CPS-Only Public Use File contains the records of all children who were received by the study on CPS Long Forms. These children were evaluatively coded only when they were countable under the NIS definitions, indicated victims, drug-affected newborns, or victims of child perpetrators. As a result, this database contains the records of all the children who were evaluatively coded as well as the records for all the remaining children who had been listed on CPS Long Forms.<sup>1</sup>

The records in this file reflect all the listings of children. As explained in Part 1, the NIS-3 CPS-Only Public Use File is the file that should be used to describe the CPS investigation experiences of children. The file provides for the option of generating estimates in terms of investigations of children (where children are represented as many times as they were listed in a CPS investigation) or in terms of unduplicated children (where children who were listed in more than one investigation are represented only once). As Part 1 of this manual also discussed, users are cautioned to present all findings that pertain to children (or investigations of children) who were countable under the NIS definitions solely in terms of percentage distributions (i.e., not in terms of estimated totals). This point is further explicated in the Appendix.

This section provides the documentation for the NIS-3 CPS-Only Public Use File in four main sections, as follows:

- Section 3.1 This section comprises two exhibits, Exhibits 3-1 and 3-2, which show the correspondences between the variables in this data file and the data items on the CPS Long Form and the CPS Transmittal Sheet.
- Section 3.2 This section gives two listings which index the variables included in this data file.
- Section 3.3 This section gives the complete codebook for this file, providing the variable names, definitions, and code values, and specifying the steps followed in computing all derived variables.
- Section 3.4 This section contains the frequency distributions of the file contents.

---

<sup>1</sup> As noted in Part 1, children who were listed in CPS investigations were evaluatively coded if they were (1) indicated as victims in the investigation, (2) described as victims of maltreatment that fit the NIS definitions, (3) were drug-exposed infants, or (4) were victims of child perpetrators.

### **3.1 Correspondence Between Variables and Data Form Items or Evaluative Codes**

Exhibits 3-1 and 3-2 show the correspondences between the variables in the NIS-3 CPS-Only Public Use File and the data items on the CPS Long Form and the evaluative codes on the CPS Transmittal Sheet. Items marked "XXXX" on these forms were purged from the data for confidentiality reasons.

Exhibit 3-1. Correspondence Between the Data Items on the CPS Long Form  
and Variables in the CPS-Only NIS-3 Public Use File.

Data Form No.:

ID: COUNTY, SEQNO

**CHILD PROTECTIVE SERVICES (CPS) DATA FORM**  
**NATIONAL STUDY OF THE INCIDENCE OF**  
**CHILD ABUSE AND NEGLECT**

OMB No.: 0980-0249

Expires: March 1995

Conducted for: National Center on Child Abuse and Neglect, DHHS

Conducted by: Westat, Inc., 1850 Research Blvd., Rockville, Maryland 20850

1. <u>XXXX</u> CPS (CHILD PROTECTIVE SERVICES) CASE NO.	2. <u>PSMON / PSDAY / PSYR</u> DATE OF REPORT TO CPS	3. <u>XXXX</u> NAME OF INVESTIGATING CPS WORKER	4. <u>REPTMO / REPTDA / REPTYR</u> DATE FORM COMPLETED
--	---	--	---

5. PARENT(S)/SUBSTITUTES(S) IN HOME	AGE IN YEARS	SEX M or F	ALLEGED ROLE <b>(A)</b>	EMPLOYMENT <b>(B)</b>	ETHNIC GROUP <b>(C)</b>
a. Mother/Substitute: MSEXIST 1 - Present 2 - Absent 9 - Status unknown	MSAGE	F	MSROLE	EMPLMLF	MSETHNIC MSETOTH
b. Father/Substitute: FSEXIST 1 - Present 2 - Absent 9 - Status unknown	FSAGE	M	FSROLE	EMPLFLF	FSETHNIC FSETOTH
6. OTHER (INVOLVED ADULTS, IF ANY. Specify relationship (e.g., mother's boyfriend, babysitter))					
a. RELCDE, RELSP	AGEO	SEXO	OROLE	OEMPLF	OETHNIC OETHOTH
b. RELCDE2, RELSP2	AGEO2	SEXO2	OROLE2	OEMPLF2	OETHNIC2 OETHOTH2
7. NUMBER OF CHILDREN UNDER 18 IN HOUSEHOLD: _____ (List below, beginning with alleged victims)					

**ANSWER CODES**

**(A) ALLEGED ROLE CODE:**  
1 - Maltreated the child  
2 - "Permitted" maltreatment by others  
3 - Not involved  
9 - Unknown

**(B) EMPLOYMENT CODE:**  
1 - Employed full-time  
2 - Employed part-time  
3 - Unemployed, looking for work  
4 - Not in labor force  
5 - Active duty military  
9 - Unknown

**(C) ETHNIC GROUP CODE:**  
1 - American Indian/Alaskan/Native  
2 - Asian/Pacific Islander  
3 - Black, not of Hispanic origin  
4 - Hispanic  
5 - White, not of Hispanic origin  
6 - Other (Specify) \_\_\_\_\_  
9 - Unknown

CHILD'S FIRST NAME AND INITIAL LETTER OF LAST NAME	AGE IN YEARS	BIRTHDATE			SEX (M or F)	ETHNIC GROUP <b>(C)</b>	RELATIONSHIP TO: <b>(D)</b>		CHILD STATUS <b>(E)</b>	
		MO	DA	YR			MOTHER/ SUB	FATHER/ SUB	ALLEGED	INDI- CATED
FIRST NAME   INITIAL	AGE	MO	DA	YR	CHSEX	ETHNIC, ETHOTH	MREL, MSPR	FREL, FSPR	ROLA	ROLI
a. CHLDLET XXXX   X	AGE	XX	XX	XX	CHSEX	ETHNIC, ETHOTH	MREL, MSPR	FREL, FSPR	ROLA	ROLI
b.										
c.										
d.										
e.										
f.										

**(D) RELATIONSHIP TO PARENT/  
SUBSTITUTE CODE:**  
1 - Natural child  
2 - Step child  
3 - Foster child  
4 - Adopted child  
5 - Grandchild  
6 - Other (SPECIFY) \_\_\_\_\_  
9 - Unknown

**(E) CHILD STATUS CODE:**  
1 - Victim  
2 - Not a victim  
9 - Unknown

3-3

8. LOCATION OF HOUSEHOLD: City/Town: XXXX County: COUNTY State: COUNTY

9. ESTIMATED ANNUAL FAMILY INCOME: 1 - Less than \$15,000 2 - \$15,000 - \$29,999 3 - \$30,000-\$44,999 4 - \$45,000 or more INCOME 10. FAMILY RECEIVING AFDC? AFDC 1 - Yes 2 - No 9 - Unknown

11a. SOURCE OF THIS REPORT TO CPS: FCPSSRC 1 - Other unit of this DSS/Welfare Dept. 2 - DSS/Welfare Dept. in other state/county 3 - Police/Sheriff 4 - Probation/Corrections Dept. 5 - Coroner/Medical Examiner 6 - School 7 - Day care program 8 - Hospital 9 - Medical clinic/office not in hosp. 10 - Mental health facility/agency 11 - Social/family service agency 12 - Self, family member, relative 13 - Individual unrelated to family 14 - Anonymous 15 - Other (SPECIFY) TYP SRC 11b. TYPE OF REPORT SOURCE: 1 - Public Agency 2 - Private facility or professional 3 - Individual

12. PARENT/SUBSTITUTE RESPONSE TO CPS ASSESSMENT (Enter code number in space indicated; answer a. and b. for both Parents/Substitutes) a. Mother/Substitute COOPM 1 - Cooperative 2 - Uncooperative 3 - Not contacted/not applicable Father/Substitute COOPF b. Mother/Substitute DENYM 1 - Acknowledged allegation 2 - Denied allegation 3 - Not contacted/not applicable Father/Substitute DENYF

13. Have there been any previous substantiated reports of abuse or neglect involving this family? Yes No Unknown PRIORREP

14. STATUS OF CPS ASSESSMENT: 1 - Founded 2 - Indicated 3 - Unfounded FPSASS

15. If the CPS assessment is Founded or Indicated, briefly explain the basis for the assessment. If the CPS assessment is Unfounded, briefly summarize the allegation. RESPCD1F, RESPCD2F, RESPCD3F CKCDE1F, CKCDE2F, CKCDE3F

IF THE CPS ASSESSMENT IS FOUNDED OR INDICATED, CONTINUE ON NEXT PAGE. IF THE CPS ASSESSMENT IS UNFOUNDED, DO NOT COMPLETE REST OF FORM.

3-4

**INDICATED OR FOUNDED CASES ONLY**

**SUMMARY OF MALTREATMENT (Use answer codes from back page.)**

16. FORM(S) OF MALTREATMENT <b>(F)</b>	RESPCD1F	RESPCD2F	RESPCD3F	
17. ADULT(S) RESPONSIBLE FOR ABOVE <b>(G)</b>	PRSP1F1 to PRSP1F9	PRSP2F1 to PRSP2F9	PRSP3F1 to PRSP3F9	
18. DATE OF (MOST RECENT) OCCURRENCE	INMON1F, INDAY1F, INYR1F	INMON2F, INDAY2F, INYR2F	INMON3F, INDAY3F, INYR3F	
19. INVOLVED CHILD(REN) (From item 7: circle all that apply)	a b c d e f	a b c d e f	a b c d e f	a b c d e f
20. SEVERITY <b>(H)</b>	SEVTY1F	SEVTY2F	SEVTY3F	
21. NATURE <b>(I)</b>	NATURE1F	NATURE2F	NATURE3F	

22. BRIEFLY DESCRIBE THE HARM CAUSING OR ENDANGERING ACTS/OMISSIONS. Include pertinent information about frequency, duration, precipitating events, if applicable. Indicate suspected involvement of parent/substitutes or other adults. Use item 24 if you need more space.

CKCDE1F, CKCDE2F, CKCDE3F

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23. EVIDENCE OF HARM. Describe any physical injuries, illnesses, symptoms of emotional injury or trauma, or health, school, or behavior problems which you suspect to have been caused or worsened by the acts/omissions described above. Answer separately for each involved child. Use item 24 if you need more space.

<u>Child's First Name</u>	<u>Effects of Suspected Maltreatment</u>
XXXX	SEVEV1F, SEVEV2F, SEVEV3F
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

24. ADDITIONAL COMMENTS OR EXPLANATIONS:

3-5



## ANSWER CODES

### F FORM(S) OF MALTREATMENT

#### Sexual Exploitation

- 1 - Intrusion (acts involving penile penetration - oral, anal or genital; e.g., rape, incest)
- 2 - Molestation with genital contact
- 3 - Other or unknown sexual exploitation

#### Other Abusive Treatment

- 4 - Physical assault
- 5 - Close confinement (tying, locking in closet, etc.)
- 6 - Verbal or emotional assault (threatening, belittling, etc.)
- 7 - Other or unknown abuse

#### Inattention to Remedial Health Care Needs

- 8 - Refusal to allow or provide needed care for diagnosed condition or impairment
- 9 - Unwarranted delay or failure to seek needed care for serious injury, illness, or impairment

#### Inattention to Physical Needs

- 10 - Refusal of custody (abandonment, expulsion, refusal to accept custody of runaway, etc.)
- 11 - Inadequate supervision
- 12 - Other physical neglect (inadequate nutrition/clothing, unhygienic/dangerous living conditions, etc.)

#### Inattention to Education Needs

- 13 - Knowingly permitted chronic truancy (5+ days per month on an average)
- 14 - Other (failed to enroll, repeatedly kept child home, etc.)

#### Inattention to Developmental/Emotional Needs

- 15 - Inadequate nurturance/affection (e.g., failure-to-thrive)
- 16 - Knowingly permitted maladaptive behavior (delinquency, serious drug/alcohol abuse, etc.)

#### Other

- 17 - Other

### G ADULT(S) ROLE IN MALTREATMENT ACTS/EVENTS (Use all that apply)

- 1 - Mother/substitute maltreated child
- 2 - Mother/substitute knowingly permitted maltreatment by others
- 3 - Father/substitute maltreated child
- 4 - Father/substitute knowingly permitted maltreatment by others
- 5 - Other adult maltreated the child
- 6 - Other than above

### H SEVERITY OF MALTREATMENT-CAUSED HARM/ENDANGERMENT (Use lowest code number that applies)

- 1 - Fatal: maltreatment suspected as a major contributory cause of death
- 2 - Serious Injury/Condition: professional treatment/remediation needed to alleviate acute present suffering or to prevent significant long-term impairment
- 3 - Moderate Injury/Condition: behavior problem or physical/mental/emotional condition with observable symptoms lasting at least 48 hours
- 4 - Probable Impairment: no obvious injuries or problems but, in view of the extreme or traumatic nature of the maltreatment, it is probable that the child's mental or emotional health or capabilities have been significantly impaired
- 5 - Endangered: child's health or safety was or is seriously endangered, but child appears not to have been harmed
- 6 - Other/unknown

### I NATURE OF HARM

- 0 - Not applicable (Use only if (H) = 5 or 6)
- 1 - Physical injury
- 2 - Other health condition or physical impairment
- 3 - Impaired educational development (includes chronic truancy)
- 4 - Mental/emotional injury or impairment or behavior problem (except chronic truancy)
- 5 - Unknown



**EXHIBIT 3-2. (CONTINUED)  
CPS TRANSMITTAL FORM (CONT.)**

**MALTREATMENT**

First Form

Respondent's Code:    RESPCD1F  
 Checked Code:     CKCDE1F  
 SEVTY1F NATURE1F  
 Severity Nature  
 of Harm:  of Harm:   
 INMON1F, INDAY1F, INYR1F  
 M M D D Y Y  
 Date of Incident        
 PRSP1F1 to PRSP1F9  
 1 2 3 4  
 Person(s) Respon.:       
 5 6 7 8 9

Second Form

Respondent's Code:    RESPCDE2F  
 Checked Code:     CKCDE2F  
 SEVTY2F NATURE2F  
 Severity Nature  
 of Harm:  of Harm:   
 INMON2F, INDAY2F, INYR2F  
 M M D D Y Y  
 Date of Incident        
 PRSP2F1 to PRSP2F9  
 1 2 3 4  
 Person(s) Respon.:       
 5 6 7 8 9

Third Form

Respondent's Code:    RESPCDE3F  
 Checked Code:     CKCDE3F  
 SEVTY3F NATURE3F  
 Severity Nature  
 of Harm:  of Harm:   
 INMON3F, INDAY3F, INYR3F  
 M M D D Y Y  
 Date of Incident        
 PRPS3F1 to PRPS3F9  
 1 2 3 4  
 Person(s) Respon.:       
 5 6 7 8 9

CIRCUMSTANCE EVALUATIONS

A. Time Evaluation:   TIMEV1F  
 B. Severity Evaluation:   SEVEV1F

A. Time Evaluation:   TIMEV2F  
 B. Severity Evaluation:   SEVEV2F

A. Time Evaluation:   TIMEV3F  
 B. Severity Evaluation:   SEVEV3F

HARM STANDARD EVALUATIONS:

A. Perpetrator Requirement:   HSA1F  
 B. Harm Requirement:   HSB1F  
 C. Perpetrator Responsibility:   HSC1F  
 D. HS Countability:   HSD1F

A. Perpetrator Requirement:   HSA2F  
 B. Harm Requirement:   HSB2F  
 C. Perpetrator Responsibility:   HSC2F  
 D. HS Countability:   HSD2F

A. Perpetrator Requirement:   HSA3F  
 B. Harm Requirement:   HSB3F  
 C. Perpetrator Responsibility:   HSC3F  
 D. HS Countability:   HSD3F

E. Overall HS	HSE
Countability of Case:	<input type="checkbox"/> <input type="checkbox"/>

ENDANGERMENT STANDARD EVALUATIONS:

A. Perpetrator Requirement:   ESA1F  
 B. Harm Requirement:   ESB1F  
 C. Perpetrator Responsibility:   ESC1F  
 D. ES Countability:   ESD1F

A. Perpetrator Requirement:   ESA2F  
 B. Harm Requirement:   ESB2F  
 C. Perpetrator Responsibility:   ESC2F  
 D. ES Countability:   ESD2F

A. Perpetrator Requirement:   ESA3F  
 B. Harm Requirement:   ESB3F  
 C. Perpetrator Responsibility:   ESC3F  
 D. ES Countability:   ESD3F

E. Overall ES	ESE
Countability of Case:	<input type="checkbox"/> <input type="checkbox"/>

### 3.2 Index of Variables in the NIS-3 CPS-Only Public Use File

This section contains two index listings, given as Table 3-1 and Table 3-2, respectively, each of which comprehensively lists the 248 variables in the Main CPS-Only Public Use File. The page numbers to which they refer are those in Section 3.3, where each variable is fully defined. These index listings also note whether each variable is numeric or character. In the first listing, Table 3-1, the variables are given in order of their appearance in the codebook in Section 3.3. The second index, Table 3-2, lists the variables in alphabetical order. Note that Variable Labels that end with "Bs" refer to "Basic data items" while those that end with "Ev" refer to "Evaluative codes," as explained in section 3.3 below.

Table 3-1. Index of Variables Included in the NIS-3 CPS-Only Public Use File In Order of Their Appearance in Section 3.3, Showing Their Variable Names and Labels, the Pages Where They Are Defined in Section 3.3, and Their Type (Character or Numeric).

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
ID .....	Identification Number.....	3-23	Character
COUNTY .....	NIS3 County Code .....	3-23	Character
SEQNO.....	Within-County Sequence Number of Case.....	3-24	Character
CHILDLET .....	Child's Letter ID .....	3-24	Character
PSMON .....	Month of Report to Protective Services .....	3-24	Character
PSDAY .....	Day of Report to Protective Services .....	3-25	Character
PSYR .....	Year of Report to Protective Services .....	3-25	Character
REPTMO .....	Month Form Completed .....	3-25	Character
REPTDA .....	Day Form Completed .....	3-25	Character
REPTYR .....	Year Form Completed .....	3-25	Character
MSEXIST.....	Status of Mother/Substitute-Bs .....	3-26	Character
FSEXIST.....	Status of Father/Substitute-Bs .....	3-26	Character
MSAGE .....	Age of Mother/Substitute-Bs.....	3-26	Character
FSAGE .....	Age of Father/Substitute-Bs.....	3-26	Character
MSROLE .....	Alleged Role of Mother/Substitute.....	3-27	Character
FSROLE .....	Alleged Role of Father/Substitute .....	3-27	Character
EMPLMLF .....	Employment Status of Mother/Sub-Bs .....	3-27	Character
EMPLFLF .....	Employment Status of Father/Sub-Bs .....	3-27	Character
MSETHNIC .....	Mother/Substitute Race/Ethnicity-Bs.....	3-28	Character
FSETHNIC .....	Father/Substitute Race/Ethnicity-Bs .....	3-28	Character
MSETOTH .....	Other Race/Ethnicity of Mother/Sub-Bs .....	3-28	Character
FSETOTH .....	Other Race/Ethnicity of Father/Sub-Bs.....	3-28	Character
RELCDE.....	Other Involved Person Relat to Child-Bs .....	3-29	Character

(Table 3-1 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
RELCDE2.....	2nd Oth Involvd Person Relat to Child-Bs .....	3-29	Character
RELSP .....	Relatnshp of Other to Child: Specify-Bs.....	3-30	Character
RELSP2.....	Relat of 2nd Other to Chld: Specify-Bs.....	3-30	Character
AGEO.....	Other Involved Person's Age-Bs .....	3-30	Character
AGEO2 .....	2nd Other Involved Person's Age-Bs.....	3-30	Character
SEXO .....	Other Involved Person's Sex-Bs.....	3-31	Character
SEXO2.....	2nd Other Involved Person's Sex-Bs .....	3-31	Character
OROLE .....	Alleged Role of Other Involved Person.....	3-32	Character
OROLE2 .....	Alleged Role of 2nd Oth Involved Person.....	3-32	Character
OEMPLF .....	Employment of Other Involvd Person-Bs .....	3-32	Character
OEMPLF2.....	Employmnt of 2nd Other Involvd Person-Bs .....	3-32	Character
OETHNIC.....	Other Involvd Person Race/Ethnicity-Bs .....	3-33	Character
OETHNIC2 .....	2nd Other Involvd Person Race/Ethncty-Bs.....	3-33	Character
OETHOTH.....	Other Race/Eth of Other Involvd Pers-Bs .....	3-33	Character
OETHOTH2 .....	Oth Race/Eth of 2nd Oth Involvd Pers-Bs.....	3-33	Character
NCHILD .....	Number of Children Under 18 in Household.....	3-33	Character
AGE .....	Child's Age: Years .....	3-34	Character
CHSEX .....	Child's Sex .....	3-34	Character
ETHNIC .....	Child's Race/Ethnicity .....	3-34	Character
ETHOTH .....	Other Race/Ethnicity of Child .....	3-34	Character
MREL.....	Mother/Sub Relationship to Child-Bs .....	3-34	Character
MSPR.....	Other Relatnshp of Moth/Sub to Child-Bs.....	3-35	Character
FREL.....	Father/Sub Relationship to Child-Bs .....	3-35	Character
FSPR.....	Other Relatnshp of Fath/Sub to Child-Bs.....	3-35	Character
ROLA .....	Child's Alleged Role.....	3-36	Character
ROLI .....	Child's Indicated Role .....	3-36	Character
INCOME .....	Estimated Annual Family Income .....	3-36	Character
AFDC .....	Family Received AFDC.....	3-36	Character
FCPSSRC .....	Source of Report to PS (Final Version) .....	3-36	Character
TYP SRC .....	Type of Report Source .....	3-37	Character
COOPM .....	Mo/Sub Response to PS - Cooperativeness .....	3-37	Character
COOPF .....	Fa/Sub Response to PS - Cooperativeness.....	3-37	Character
DENYM .....	Mother/Sub Response to Allegation - Deny.....	3-38	Character
DENYF .....	Father/Sub Response to Allegation - Deny.....	3-38	Character
PRIORREP .....	Previous Reports Involving this Family? .....	3-38	Numeric
FPSASS .....	Final Status of PS Assessment .....	3-38	Numeric
NVICT .....	Total Number of Victims in Household.....	3-39	Character
AGEYCALC.....	Child's Age at Maltreatment in Years .....	3-39	Character
AGEMCALC ....	Child's Age at Maltreatment in Months.....	3-40	Character
AGEYRMO.....	Child's Age at Maltreatment: Yrs_Mos .....	3-41	Character
AGECLASS.....	Child's Age Group .....	3-41	Numeric

(Table 3-1 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
CODING	Level of Coding of Maltreatment	3-42	Character
MSSTAT	Status of Mother/Substitute-Ev	3-42	Character
FSSTAT	Status of Father/Substitute-Ev	3-43	Character
MSUB	Mother/Sub Relationship to Child-Ev	3-43	Character
FSUB	Father/Sub Relationship to Child-Ev	3-43	Character
MSUBOTH	Other Relatnshp of Moth/Sub to Child-Ev	3-44	Character
FSUBOTH	Other Relatnshp of Fath/Sub to Child-Ev	3-44	Character
METH	Mother/Substitute Race/Ethnicity-Ev	3-44	Character
FETHNIC	Father/Substitute Race/Ethnicity-Ev	3-44	Character
METHOTH	Other Race/Ethnicity of Mother/Sub-Ev	3-45	Character
FETHOTH	Other Race/Ethnicity of Father/Sub-Ev	3-45	Character
AGEM	Age of Mother/Substitute-Ev	3-45	Character
AGEF	Age of Father/Substitute-Ev	3-46	Character
EMPM	Employment Status of Mother/Sub-Ev	3-46	Character
EMPF	Employment Status of Father/Sub-Ev	3-46	Character
OTHPCDE	Other Involved Person Relat to Child-Ev	3-47	Character
OTHPCDE2	2nd Oth Involvd Person Relat to Child-Ev	3-47	Character
OTHPSP	Relatnshp of Other to Child: Specify-Ev	3-48	Character
OTHPSP2	Relat of 2nd Other to Chld: Specify-Ev	3-48	Character
SEXOTH	Other Involved Person's Sex-Ev	3-48	Character
SEXOTH2	2nd Other Involved Person's Sex-Ev	3-48	Character
OTHET	Other Involvd Person Race/Ethnicity-Ev	3-49	Character
OTHET2	2nd Other Involvd Person Race/Ethncty-Ev	3-49	Character
OTHE	Other Race/Eth of Other Involvd Pers-Ev	3-49	Character
OTHE2	Oth Race/Eth of 2nd Oth Involvd Pers-Ev	3-49	Character
AGEOTH	Other Involved Person's Age-Ev	3-50	Character
AGEOTH2	2nd Other Involved Person's Age-Ev	3-50	Character
EMPOTH	Employment of Other Involvd Person-Ev	3-51	Character
EMPOTH2	Employmnt of 2nd Other Involvd Person-Ev	3-51	Character
MALTX1F	Alleged Maltreatment - 1st Form	3-51	Character
MALTX2F	Alleged Maltreatment - 2nd Form	3-51	Character
MALTX3F	Alleged Maltreatment - 3rd Form	3-51	Character
AGECK	Child's Age: Out of Scope Check	3-53	Character
RESIDCK	Child's Residency: Out of Scope Check	3-53	Character
CUSTDYCK	Child's Custody Status: Out of Scope Chk	3-54	Character
RESPCD1F	Respondent's Code of Maltreat--1st Form	3-54	Character
RESPCD2F	Respondent's Code of Maltreat--2nd Form	3-54	Character
RESPCD3F	Respondent's Code of Maltreat--3rd Form	3-54	Character
CKCDE1F	Checked Code of Maltreatment--1st Form	3-55	Character
CKCDE2F	Checked Code of Maltreatment--2nd Form	3-55	Character
CKCDE3F	Checked Code of Maltreatment--3rd Form	3-55	Character

(Table 3-1 continues on next page.)

NIS-3 CPS-ONLY PUBLIC USE FILE: INDEX OF VARIABLES IN CODEBOOK ORDER (Continued)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
SEVTY1F .....	Respondent's Severity of Harm--1st Form.....	3-56	Character
SEVTY2F .....	Respondent's Severity of Harm--2nd Form.....	3-56	Character
SEVTY3F .....	Respondent's Severity of Harm--3rd Form .....	3-56	Character
NATURE1F .....	Nature of Harm--1st Form .....	3-57	Character
NATURE2F .....	Nature of Harm--2nd Form .....	3-57	Character
NATURE3F .....	Nature of Harm--3rd Form.....	3-57	Character
INMON1F .....	Month of Incident--1st Form .....	3-57	Character
INMON2F .....	Month of Incident--2nd Form .....	3-57	Character
INMON3F .....	Month of Incident--3rd Form.....	3-57	Character
INDAY1F .....	Day of Incident--1st Form .....	3-58	Character
INDAY2F .....	Day of Incident--2nd Form .....	3-58	Character
INDAY3F .....	Day of Incident--3rd Form.....	3-58	Character
INYR1F .....	Year of Incident--1st Form .....	3-58	Character
INYR2F .....	Year of Incident--2nd Form .....	3-58	Character
INYR3F .....	Year of Incident--3rd Form .....	3-58	Character
PRSP1F1 .....	Mother/Sub Maltreated--Form 1 .....	3-59	Character
PRSP2F1 .....	Mother/Sub Maltreated--Form 2 .....	3-59	Character
PRSP3F1 .....	Mother/Sub Maltreated--Form 3 .....	3-59	Character
PRSP1F2 .....	Father/Sub Maltreated--Form 1 .....	3-59	Character
PRSP2F2 .....	Father/Sub Maltreated--Form 2 .....	3-59	Character
PRSP3F2 .....	Father/Sub Maltreated--Form 3 .....	3-59	Character
PRSP1F3 .....	Mo/Sub Permitted Maltreatment--Form 1 .....	3-60	Character
PRSP2F3 .....	Mo/Sub Permitted Maltreatment--Form 2 .....	3-60	Character
PRSP3F3 .....	Mo/Sub Permitted Maltreatment--Form 3 .....	3-60	Character
PRSP1F4 .....	Fa/Sub Permitted Maltreatment--Form 1 .....	3-60	Character
PRSP2F4 .....	Fa/Sub Permitted Maltreatment--Form 2 .....	3-60	Character
PRSP3F4 .....	Fa/Sub Permitted Maltreatment--Form 3 .....	3-60	Character
PRSP1F5 .....	Other Involved Maltreated--Form 1 .....	3-61	Character
PRSP2F5 .....	Other Involved Maltreated--Form 2 .....	3-61	Character
PRSP3F5 .....	Other Involved Maltreated--Form 3 .....	3-61	Character
PRSP1F6 .....	Other Permitted Maltreatment--Form 1 .....	3-61	Character
PRSP2F6 .....	Other Permitted Maltreatment--Form 2.....	3-61	Character
PRSP3F6 .....	Other Permitted Maltreatment--Form 3.....	3-61	Character
PRSP1F7 .....	2nd Other Involved Maltreated--Form 1 .....	3-62	Character
PRSP2F7 .....	2nd Other Involved Maltreated--Form 2.....	3-62	Character
PRSP3F7 .....	2nd Other Involved Maltreated--Form 3.....	3-62	Character
PRSP1F8 .....	Not Ascertained Who Maltreated--Form 1 .....	3-62	Character
PRSP2F8 .....	Not Ascertained Who Maltreated--Form 2 .....	3-62	Character
PRSP3F8 .....	Not Ascertained Who Maltreated--Form 3 .....	3-62	Character
PRSP1F9 .....	Unknown Who Maltreated--Form 1 .....	3-63	Character
PRSP2F9 .....	Unknown Who Maltreated--Form 2.....	3-63	Character
PRSP3F9 .....	Unknown Who Maltreated--Form 3.....	3-63	Character

(Table 3-1 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
TIMEV1F .....	Time Frame Eligibility--1st Form .....	3-63	Character
TIMEV2F .....	Time Frame Eligibility--2nd Form .....	3-63	Character
TIMEV3F .....	Time Frame Eligibility--3rd Form.....	3-63	Character
SEVEV1F .....	Severity Evaluation--1st Form .....	3-64	Character
SEVEV2F .....	Severity Evaluation--2nd Form .....	3-64	Character
SEVEV3F .....	Severity Evaluation--3rd Form.....	3-64	Character
HSA1F .....	Harm Std Perp Requirement--1st Form.....	3-64	Character
HSA2F .....	Harm Std Perp Requirement--2nd Form.....	3-64	Character
HSA3F .....	Harm Std Perp Requirement--3rd Form.....	3-64	Character
HSB1F .....	Harm Std Harm Requirement--1st Form.....	3-65	Character
HSB2F .....	Harm Std Harm Requirement--2nd Form.....	3-65	Character
HSB3F .....	Harm Std Harm Requirement--3rd Form.....	3-65	Character
HSC1F .....	Harm Std Perp Responsibility--1st Form.....	3-65	Character
HSC2F .....	Harm Std Perp Responsibility--2nd Form.....	3-65	Character
HSC3F .....	Harm Std Perp Responsibility--3rd Form.....	3-65	Character
HSD1F .....	Harm Standard Countability - 1st Form.....	3-66	Character
HSD2F .....	Harm Standard Countability - 2nd Form.....	3-66	Character
HSD3F .....	Harm Standard Countability - 3rd Form.....	3-66	Character
HSE .....	Harm Standard Countability Code.....	3-66	Character
ESA1F .....	Endang Std Perp Requirement--1st Form.....	3-67	Character
ESA2F .....	Endang Std Perp Requirement--2nd Form.....	3-67	Character
ESA3F .....	Endang Std Perp Requirement--3rd Form.....	3-67	Character
ESB1F .....	Endang Std Harm Requirement--1st Form.....	3-67	Character
ESB2F .....	Endang Std Harm Requirement--2nd Form.....	3-67	Character
ESB3F .....	Endang Std Harm Requirement--3rd Form.....	3-67	Character
ESC1F .....	Endang Std Perp Responsibility--1st Form.....	3-68	Character
ESC2F .....	Endang Std Perp Responsibility--2nd Form.....	3-68	Character
ESC3F .....	Endang Std Perp Responsibility--3rd Form.....	3-68	Character
ESD1F .....	Endang Standard Countability - 1st Form.....	3-68	Character
ESD2F .....	Endang Standard Countability - 2nd Form.....	3-68	Character
ESD3F .....	Endang Standard Countability - 3rd Form.....	3-68	Character
ESE .....	Endang Standard Countability Code .....	3-69	Character
AMALH .....	Harm Std Countable--Any Maltreatment.....	3-70	Numeric
AABUSEH .....	Harm Std Countable--Any Abuse.....	3-70	Numeric
PAH .....	Harm Std Countable--Any Physical Abuse.....	3-70	Numeric
SAH .....	Harm Std Countable--Any Sexual Abuse.....	3-70	Numeric
EAH .....	Harm Std Countable--Any Emotional Abuse .....	3-71	Numeric
ANEGH .....	Harm Std Countable--Any Neglect.....	3-71	Numeric
PNH .....	Harm Std Countable--Any Physical Neglect.....	3-71	Numeric
EDNH .....	Harm Std Countable--Any Educat'l Neglect.....	3-71	Numeric
ENH .....	Harm Std Countable--Any Emot'l Neglect .....	3-72	Numeric

(Table 3-1 continues on next page.)



<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
AMALE .....	Endg Std Countable--Any Maltreatment.....	3-73	Numeric
AABUSEE .....	Endg Std Countable--Any Abuse .....	3-73	Numeric
PAE .....	Endg Std Countable--Any Physical Abuse .....	3-73	Numeric
SAE .....	Endg Std Countable--Any Sexual Abuse .....	3-73	Numeric
EAE .....	Endg Std Countable--Any Emotional Abuse.....	3-74	Numeric
ANEGE .....	Endg Std Countable--Any Neglect .....	3-74	Numeric
PNE .....	Endg Std Countable--Any Physical Neglect.....	3-74	Numeric
EDNE .....	Endg Std Countable--Any Educat'l Neglect.....	3-75	Numeric
ENE .....	Endg Std Countable--Any Emot'l Neglect.....	3-75	Numeric
OTHNEGE .....	Endg Std Countable--Other Neglect.....	3-75	Numeric
OTHMALE .....	EndgStd Countable--Other Maltreatment.....	3-76	Numeric
SEVER1 .....	Most Severe Outcome from Harm Std Maltx .....	3-76	Numeric
SEVER2 .....	Most Severe Outcome from Endg Std Maltx.....	3-76	Numeric
DUPFWT .....	Final Weight for Including Duplicates .....	3-77	Numeric
DUPFWT1 .....	Replicate Wgt (Includes Duplicates) 1 .....	3-78	Numeric
DUPFWT2 .....	Replicate Wgt (Includes Duplicates) 2 .....	3-78	Numeric
DUPFWT3 .....	Replicate Wgt (Includes Duplicates) 3 .....	3-78	Numeric
DUPFWT4 .....	Replicate Wgt (Includes Duplicates) 4 .....	3-78	Numeric
DUPFWT5 .....	Replicate Wgt (Includes Duplicates) 5 .....	3-78	Numeric
DUPFWT6 .....	Replicate Wgt (Includes Duplicates) 6 .....	3-78	Numeric
DUPFWT7 .....	Replicate Wgt (Includes Duplicates) 7 .....	3-78	Numeric
DUPFWT8 .....	Replicate Wgt (Includes Duplicates) 8 .....	3-78	Numeric
DUPFWT9 .....	Replicate Wgt (Includes Duplicates) 9 .....	3-78	Numeric
DUPFWT10.....	Replicate Wgt (Includes Duplicates) 10.....	3-78	Numeric
DUPFWT11.....	Replicate Wgt (Includes Duplicates) 11.....	3-78	Numeric
DUPFWT12.....	Replicate Wgt (Includes Duplicates) 12.....	3-78	Numeric
DUPFWT13.....	Replicate Wgt (Includes Duplicates) 13.....	3-78	Numeric
DUPFWT14.....	Replicate Wgt (Includes Duplicates) 14.....	3-78	Numeric
DUPFWT15.....	Replicate Wgt (Includes Duplicates) 15.....	3-78	Numeric
DUPFWT16.....	Replicate Wgt (Includes Duplicates) 16.....	3-78	Numeric
DUPFWT17.....	Replicate Wgt (Includes Duplicates) 17.....	3-79	Numeric
DUPFWT18.....	Replicate Wgt (Includes Duplicates) 18.....	3-79	Numeric
DUPFWT19.....	Replicate Wgt (Includes Duplicates) 19.....	3-79	Numeric
DUPFWT20.....	Replicate Wgt (Includes Duplicates) 20.....	3-79	Numeric
DUPFWT21.....	Replicate Wgt (Includes Duplicates) 21.....	3-79	Numeric
UNIFWT .....	Final Weight for Unduplicated Estimates.....	3-79	Numeric
UNIFWT1 .....	Replicate Wgt (Unduplicated Est's) 1 .....	3-80	Numeric
UNIFWT2.....	Replicate Wgt (Unduplicated Est's) 2 .....	3-80	Numeric
UNIFWT3.....	Replicate Wgt (Unduplicated Est's) 3 .....	3-80	Numeric
UNIFWT4.....	Replicate Wgt (Unduplicated Est's) 4 .....	3-80	Numeric
UNIFWT5.....	Replicate Wgt (Unduplicated Est's) 5 .....	3-80	Numeric

(Table 3-1 continues on next page.)

NIS-3 CPS-ONLY PUBLIC USE FILE: INDEX OF VARIABLES IN CODEBOOK ORDER (Continued)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
UNIFWT6.....	Replicate Wgt (Unduplicated Est's) 6 .....	3-80	Numeric
UNIFWT7.....	Replicate Wgt (Unduplicated Est's) 7 .....	3-80	Numeric
UNIFWT8.....	Replicate Wgt (Unduplicated Est's) 8 .....	3-80	Numeric
UNIFWT9.....	Replicate Wgt (Unduplicated Est's) 9 .....	3-80	Numeric
UNIFWT10 .....	Replicate Wgt (Unduplicated Est's) 10.....	3-80	Numeric
UNIFWT11 .....	Replicate Wgt (Unduplicated Est's) 11.....	3-80	Numeric
UNIFWT12 .....	Replicate Wgt (Unduplicated Est's) 12.....	3-80	Numeric
UNIFWT13 .....	Replicate Wgt (Unduplicated Est's) 13.....	3-80	Numeric
UNIFWT14 .....	Replicate Wgt (Unduplicated Est's) 14.....	3-80	Numeric
UNIFWT15 .....	Replicate Wgt (Unduplicated Est's) 15.....	3-80	Numeric
UNIFWT16 .....	Replicate Wgt (Unduplicated Est's) 16.....	3-80	Numeric
UNIFWT17 .....	Replicate Wgt (Unduplicated Est's) 17.....	3-80	Numeric
UNIFWT18 .....	Replicate Wgt (Unduplicated Est's) 18.....	3-81	Numeric
UNIFWT19 .....	Replicate Wgt (Unduplicated Est's) 19.....	3-81	Numeric
UNIFWT20 .....	Replicate Wgt (Unduplicated Est's) 20.....	3-81	Numeric
UNIFWT21 .....	Replicate Wgt (Unduplicated Est's) 21.....	3-81	Numeric
PSU .....	Primary Sampling Unit.....	3-81	Character
PSUWGT .....	PSU weight .....	3-82	Numeric
ANNFAC.....	Annualization Factor .....	3-83	Numeric

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Table 3-2. Index of Variables Included in the NIS-3 CPS-Only Public Use File In Alphabetical Order, Showing Their Variable Names and Labels, the Pages Where They Are Defined in Section 3.3, and Their Type (Character or Numeric).

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
AABUSEE .....	Endg Std Countable--Any Abuse .....	3-73	Numeric
AABUSEH .....	Harm Std Countable--Any Abuse.....	3-70	Numeric
AFDC .....	Family Received AFDC.....	3-36	Character
AFEF.....	Age of Father/Substitute-Ev .....	3-46	Character
AGE .....	Child's Age: Years .....	3-34	Character
AGECK .....	Child's Age: Out of Scope Check.....	3-53	Character
AGECLASS.....	Child's Age Group .....	3-41	Numeric
AGEM .....	Age of Mother/Substitute-Ev.....	3-45	Character
AGEMCALC ...	Child's Age at Maltreatment in Months.....	3-40	Character
AGEO.....	Other Involved Person's Age-Bs .....	3-30	Character
AGEO2 .....	2nd Other Involved Person's Age-Bs.....	3-30	Character
AGEOTH.....	Other Involved Person's Age-Ev.....	3-50	Character
AGEOTH2 .....	2nd Other Involved Person's Age-Ev.....	3-50	Character
AGEYCALC....	Child's Age at Maltreatment in Years .....	3-39	Character
AGEYRMO.....	Child's Age at Maltreatment: Yrs_Mos .....	3-41	Character
AMALE .....	Endg Std Countable--Any Maltreatment.....	3-73	Numeric
AMALH .....	Harm Std Countable--Any Maltreatment .....	3-70	Numeric
ANEGE .....	Endg Std Countable--Any Neglect .....	3-74	Numeric
ANEGH .....	Harm Std Countable--Any Neglect.....	3-71	Numeric
ANNFAC.....	Annualization Factor .....	3-83	Numeric
CHILDLET .....	Child's Letter ID.....	3-24	Character
CHSEX .....	Child's Sex .....	3-34	Character
CKCDE1F .....	Checked Code of Maltreatment--1st Form .....	3-55	Character
CKCDE2F .....	Checked Code of Maltreatment--2nd Form .....	3-55	Character
CKCDE3F .....	Checked Code of Maltreatment--3rd Form.....	3-55	Character
CODING .....	Level of Coding of Maltreatment.....	3-42	Character
COOPF .....	Fa/Sub Response to PS - Cooperativeness.....	3-37	Character
COOPM .....	Mo/Sub Response to PS - Cooperativeness .....	3-37	Character
COUNTY.....	NIS3 County Code.....	3-23	Character
CUSTDYCK ...	Child's Custody Status:Out of Scope Chk .....	3-54	Character
DENYF .....	Father/Sub Response to Allegation - Deny.....	3-38	Character
DENYM .....	Mother/Sub Response to Allegation - Deny.....	3-38	Character
DUPFWT.....	Final Weight for Including Duplicates .....	3-77	Numeric
DUPFWT1 .....	Replicate Wgt (Includes Duplicates) 1 .....	3-78	Numeric
DUPFWT10.....	Replicate Wgt (Includes Duplicates) 10.....	3-78	Numeric

(Table 3-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
DUPFWT11.....	Replicate Wgt (Includes Duplicates) 11.....	3-78	Numeric
DUPFWT12.....	Replicate Wgt (Includes Duplicates) 12.....	3-78	Numeric
DUPFWT13.....	Replicate Wgt (Includes Duplicates) 13.....	3-78	Numeric
DUPFWT14.....	Replicate Wgt (Includes Duplicates) 14.....	3-78	Numeric
DUPFWT15.....	Replicate Wgt (Includes Duplicates) 15.....	3-78	Numeric
DUPFWT16.....	Replicate Wgt (Includes Duplicates) 16.....	3-78	Numeric
DUPFWT17.....	Replicate Wgt (Includes Duplicates) 17.....	3-79	Numeric
DUPFWT18.....	Replicate Wgt (Includes Duplicates) 18.....	3-79	Numeric
DUPFWT19.....	Replicate Wgt (Includes Duplicates) 19.....	3-79	Numeric
DUPFWT2.....	Replicate Wgt (Includes Duplicates) 2.....	3-78	Numeric
DUPFWT20.....	Replicate Wgt (Includes Duplicates) 20.....	3-79	Numeric
DUPFWT21.....	Replicate Wgt (Includes Duplicates) 21.....	3-79	Numeric
DUPFWT3.....	Replicate Wgt (Includes Duplicates) 3.....	3-78	Numeric
DUPFWT4.....	Replicate Wgt (Includes Duplicates) 4.....	3-78	Numeric
DUPFWT5.....	Replicate Wgt (Includes Duplicates) 5.....	3-78	Numeric
DUPFWT6.....	Replicate Wgt (Includes Duplicates) 6.....	3-78	Numeric
DUPFWT7.....	Replicate Wgt (Includes Duplicates) 7.....	3-78	Numeric
DUPFWT8.....	Replicate Wgt (Includes Duplicates) 8.....	3-78	Numeric
DUPFWT9.....	Replicate Wgt (Includes Duplicates) 9.....	3-78	Numeric
EAE.....	Endg Std Countable--Any Emotional Abuse.....	3-74	Numeric
EAH.....	Harm Std Countable--Any Emotional Abuse.....	3-71	Numeric
EDNE.....	Endg Std Countable--Any Educat'l Neglect.....	3-75	Numeric
EDNH.....	Harm Std Countable--Any Educat'l Neglect.....	3-71	Numeric
EMPF.....	Employment Status of Father/Sub-Ev.....	3-46	Character
EMPLFLF.....	Employment Status of Father/Sub-Bs.....	3-27	Character
EMPLMLF.....	Employment Status of Mother/Sub-Bs.....	3-27	Character
EMPM.....	Employment Status of Mother/Sub-Ev.....	3-46	Character
EMPOTH.....	Employment of Other Involvd Person-Ev.....	3-51	Character
EMPOTH2.....	Employmnt of 2nd Other Involvd Person-Ev.....	3-51	Character
ENE.....	Endg Std Countable--Any Emot'l Neglect.....	3-75	Numeric
ENH.....	Harm Std Countable--Any Emot'l Neglect.....	3-72	Numeric
ESA1F.....	Endang Std Perp Requirement--1st Form.....	3-67	Character
ESA2F.....	Endang Std Perp Requirement--2nd Form.....	3-67	Character
ESA3F.....	Endang Std Perp Requirement--3rd Form.....	3-67	Character
ESB1F.....	Endang Std Harm Requirement--1st Form.....	3-67	Character
ESB2F.....	Endang Std Harm Requirement--2nd Form.....	3-67	Character
ESB3F.....	Endang Std Harm Requirement--3rd Form.....	3-67	Character
ESC1F.....	Endang Std Perp Responsibility--1st Form.....	3-68	Character
ESC2F.....	Endang Std Perp Responsibility--2nd Form.....	3-68	Character
ESC3F.....	Endang Std Perp Responsibility--3rd Form.....	3-68	Character
ESD1F.....	Endang Standard Countability - 1st Form.....	3-68	Character

(Table 3-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
ESD2F .....	Endang Standard Countability - 2nd Form .....	3-68	Character
ESD3F .....	Endang Standard Countability - 3rd Form.....	3-68	Character
ESE .....	Endang Standard Countability Code .....	3-69	Character
ETHNIC .....	Child's Race/Ethnicity .....	3-34	Character
ETHOTH .....	Other Race/Ethnicity of Child .....	3-34	Character
FCPSSRC .....	Source of Report to PS (Final Version) .....	3-36	Character
FETHNIC .....	Father/Substitute Race/Ethnicity-Ev .....	3-44	Character
FETHOTH .....	Other Race/Ethnicity of Father/Sub-Ev .....	3-45	Character
FPSASS .....	Final Status of PS Assessment .....	3-38	Numeric
FREL.....	Father/Sub Relationship to Child-Bs .....	3-35	Character
FSAGE.....	Age of Father/Substitute-Bs .....	3-26	Character
FSETHNIC.....	Father/Substitute Race/Ethnicity-Bs.....	3-28	Character
FSETOTH.....	Other Race/Ethnicity of Father/Sub-Bs .....	3-28	Character
FSEXIST .....	Status of Father/Substitute-Bs .....	3-26	Character
FSPR .....	Other Relatnshp of Fath/Sub to Child-Bs.....	3-35	Character
FSROLE .....	Alleged Role of Father/Substitute .....	3-27	Character
FSSTAT .....	Status of Father/Substitute-Ev.....	3-43	Character
FSUB.....	Father/Sub Relationship to Child-Ev .....	3-43	Character
FSUBOTH .....	Other Relatnshp of Fath/Sub to Child-Ev.....	3-44	Character
HSA1F .....	Harm Std Perp Requirement--1st Form .....	3-64	Character
HSA2F .....	Harm Std Perp Requirement--2nd Form .....	3-64	Character
HSA3F .....	Harm Std Perp Requirement--3rd Form.....	3-64	Character
HSB1F .....	Harm Std Harm Requirement--1st Form.....	3-65	Character
HSB2F .....	Harm Std Harm Requirement--2nd Form.....	3-65	Character
HSB3F .....	Harm Std Harm Requirement--3rd Form .....	3-65	Character
HSC1F .....	Harm Std Perp Responsibility--1st Form .....	3-65	Character
HSC2F .....	Harm Std Perp Responsibility--2nd Form .....	3-65	Character
HSC3F .....	Harm Std Perp Responsibility--3rd Form.....	3-65	Character
HSD1F .....	Harm Standard Countability - 1st Form.....	3-66	Character
HSD2F .....	Harm Standard Countability - 2nd Form.....	3-66	Character
HSD3F .....	Harm Standard Countability - 3rd Form .....	3-66	Character
HSE .....	Harm Standard Countability Code.....	3-66	Character
ID.....	Identification Number .....	3-23	Character
INCOME .....	Estimated Annual Family Income .....	3-36	Character
INDAY1F .....	Day of Incident--1st Form .....	3-58	Character
INDAY2F .....	Day of Incident--2nd Form .....	3-58	Character
INDAY3F .....	Day of Incident--3rd Form.....	3-58	Character
INMON1F .....	Month of Incident--1st Form .....	3-57	Character
INMON2F .....	Month of Incident--2nd Form .....	3-57	Character
INMON3F .....	Month of Incident--3rd Form.....	3-57	Character
INYR1F .....	Year of Incident--1st Form .....	3-58	Character

(Table 3-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
INZR2F .....	Year of Incident--2nd Form .....	3-58	Character
INZR3F .....	Year of Incident--3rd Form .....	3-58	Character
MALTX1F .....	Alleged Maltreatment - 1st Form .....	3-51	Character
MALTX2F .....	Alleged Maltreatment - 2nd Form .....	3-51	Character
MALTX3F .....	Alleged Maltreatment - 3rd Form.....	3-51	Character
METH.....	Mother/Substitute Race/Ethnicity-Ev .....	3-44	Character
METHOTH .....	Other Race/Ethnicity of Mother/Sub-Ev.....	3-45	Character
MREL.....	Mother/Sub Relationship to Child-Bs .....	3-34	Character
MSAGE.....	Age of Mother/Substitute-Bs .....	3-26	Character
MSETHNIC.....	Mother/Substitute Race/Ethnicity-Bs .....	3-28	Character
MSETOOTH.....	Other Race/Ethnicity of Mother/Sub-Bs .....	3-28	Character
MSEXIST .....	Status of Mother/Substitute-Bs.....	3-26	Character
MSPR .....	Other Relatnshp of Moth/Sub to Child-Bs.....	3-35	Character
MSROLE .....	Alleged Role of Mother/Substitute .....	3-27	Character
MSSTAT .....	Status of Mother/Substitute-Ev.....	3-42	Character
MSUB.....	Mother/Sub Relationship to Child-Ev.....	3-43	Character
MSUBOTH .....	Other Relatnshp of Moth/Sub to Child-Ev .....	3-44	Character
NATURE1F .....	Nature of Harm--1st Form .....	3-57	Character
NATURE2F .....	Nature of Harm--2nd Form .....	3-57	Character
NATURE3F .....	Nature of Harm--3rd Form.....	3-57	Character
NCHILD .....	Number of Children Under 18 in Household.....	3-33	Character
NVICT.....	Total Number of Victims in Household.....	3-39	Character
OEMPLF .....	Employment of Other Involvd Person-Bs .....	3-32	Character
OEMPLF2.....	Employmnt of 2nd Other Involvd Person-Bs .....	3-32	Character
OETHNIC.....	Other Involvd Person Race/Ethnicity-Bs .....	3-33	Character
OETHNIC2 .....	2nd Other Involvd Person Race/Ethncty-Bs.....	3-33	Character
OETHOTH.....	Other Race/Eth of Other Involvd Pers-Bs .....	3-33	Character
OETHOTH2 .....	Oth Race/Eth of 2nd Oth Involvd Pers-Bs.....	3-33	Character
OROLE .....	Alleged Role of Other Involved Person.....	3-32	Character
OROLE2 .....	Alleged Role of 2nd Oth Involved Person.....	3-32	Character
OTHE.....	Other Race/Eth of Other Involvd Pers-Ev .....	3-49	Character
OTHE2.....	Oth Race/Eth of 2nd Oth Involvd Pers-Ev.....	3-49	Character
OTHET .....	Other Involvd Person Race/Ethnicity-Ev .....	3-49	Character
OTHET2.....	2nd Other Involvd Person Race/Ethncty-Ev.....	3-49	Character
OTHMALE .....	EndgStd Countable--Other Maltreatment.....	3-76	Numeric
OTHNEGE .....	Endg Std Countable--Other Neglect.....	3-75	Numeric
OTHPCDE .....	Other Involved Person Relat to Child-Ev.....	3-47	Character
OTHPCDE2.....	2nd Oth Involvd Person Relat to Child-Ev .....	3-47	Character
OTHSP .....	Relatnshp of Other to Child: Specify-Ev .....	3-48	Character
OTHSP2 .....	Relat of 2nd Other to Chld: Specify-Ev.....	3-48	Character
PAE .....	Endg Std Countable--Any Physical Abuse .....	3-73	Numeric

(Table 3-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
PAH .....	Harm Std Countable--Any Physical Abuse.....	3-70	Numeric
PNE .....	Endg Std Countable--Any Physical Neglect.....	3-74	Numeric
PNH .....	Harm Std Countable--Any Physical Neglect.....	3-71	Numeric
PRIORREP .....	Previous Reports Involving this Family? .....	3-38	Numeric
PRSP1F1 .....	Mother/Sub Maltreated--Form 1 .....	3-59	Character
PRSP1F2 .....	Father/Sub Maltreated--Form 1 .....	3-59	Character
PRSP1F3 .....	Mo/Sub Permitted Maltreatment--Form 1 .....	3-60	Character
PRSP1F4 .....	Fa/Sub Permitted Maltreatment--Form 1 .....	3-60	Character
PRSP1F5 .....	Other Involved Maltreated--Form 1 .....	3-61	Character
PRSP1F6 .....	Other Permitted Maltreatment--Form 1 .....	3-61	Character
PRSP1F7 .....	2nd Other Involved Maltreated--Form 1 .....	3-62	Character
PRSP1F8 .....	Not Ascertained Who Maltreated--Form 1 .....	3-62	Character
PRSP1F9 .....	Unknown Who Maltreated--Form 1 .....	3-63	Character
PRSP2F1 .....	Mother/Sub Maltreated--Form 2 .....	3-59	Character
PRSP2F2 .....	Father/Sub Maltreated--Form 2 .....	3-59	Character
PRSP2F3 .....	Mo/Sub Permitted Maltreatment--Form 2 .....	3-60	Character
PRSP2F4 .....	Fa/Sub Permitted Maltreatment--Form 2 .....	3-60	Character
PRSP2F5 .....	Other Involved Maltreated--Form 2 .....	3-61	Character
PRSP2F6 .....	Other Permitted Maltreatment--Form 2.....	3-61	Character
PRSP2F7 .....	2nd Other Involved Maltreated--Form 2.....	3-62	Character
PRSP2F8 .....	Not Ascertained Who Maltreated--Form 2 .....	3-62	Character
PRSP2F9 .....	Unknown Who Maltreated--Form 2.....	3-63	Character
PRSP3F1 .....	Mother/Sub Maltreated--Form 3 .....	3-59	Character
PRSP3F2 .....	Father/Sub Maltreated--Form 3 .....	3-59	Character
PRSP3F3 .....	Mo/Sub Permitted Maltreatment--Form 3 .....	3-60	Character
PRSP3F4 .....	Fa/Sub Permitted Maltreatment--Form 3 .....	3-60	Character
PRSP3F5 .....	Other Involved Maltreated--Form 3 .....	3-61	Character
PRSP3F6 .....	Other Permitted Maltreatment--Form 3.....	3-61	Character
PRSP3F7 .....	2nd Other Involved Maltreated--Form 3.....	3-62	Character
PRSP3F8 .....	Not Ascertained Who Maltreated--Form 3 .....	3-62	Character
PRSP3F9 .....	Unknown Who Maltreated--Form 3.....	3-63	Character
PSDAY .....	Day of Report to Protective Services.....	3-25	Character
PSMON .....	Month of Report to Protective Services.....	3-24	Character
PSU .....	Primary Sampling Unit.....	3-81	Character
PSUWGT .....	PSU weight .....	3-82	Numeric
PSYR .....	Year of Report to Protective Services .....	3-25	Character
RELCDE .....	Other Involved Person Relat to Child-Bs.....	3-29	Character
RELCDE2.....	2nd Oth Involvd Person Relat to Child-Bs .....	3-29	Character
RELSP .....	Relatnshp of Other to Child: Specify-Bs.....	3-30	Character
RELSP2.....	Relat of 2nd Other to Chld: Specify-Bs.....	3-30	Character
REPTDA .....	Day Form Completed.....	3-25	Character

(Table 3-2 continues on next page.)

<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
REPTMO .....	Month Form Completed.....	3-25	Character
REPTYR .....	Year Form Completed.....	3-25	Character
RESIDCK .....	Child's Residency: Out of Scope Check .....	3-53	Character
RESPCD1F .....	Respondent's Code of Maltreat--1st Form.....	3-54	Character
RESPCD2F .....	Respondent's Code of Maltreat--2nd Form.....	3-54	Character
RESPCD3F .....	Respondent's Code of Maltreat--3rd Form.....	3-54	Character
ROLA .....	Child's Alleged Role.....	3-36	Character
ROLI .....	Child's Indicated Role .....	3-36	Character
SAE .....	Endg Std Countable--Any Sexual Abuse .....	3-73	Numeric
SAH .....	Harm Std Countable--Any Sexual Abuse.....	3-70	Numeric
SEQNO .....	Within-County Sequence Number of Case .....	3-24	Character
SEVER1 .....	Most Severe Outcome from Harm Std Maltx .....	3-76	Numeric
SEVER2 .....	Most Severe Outcome from Endg Std Maltx.....	3-76	Numeric
SEVEV1F .....	Severity Evaluation--1st Form .....	3-64	Character
SEVEV2F .....	Severity Evaluation--2nd Form .....	3-64	Character
SEVEV3F .....	Severity Evaluation--3rd Form.....	3-64	Character
SEVTY1F .....	Respondent's Severity of Harm--1st Form.....	3-56	Character
SEVTY2F .....	Respondent's Severity of Harm--2nd Form.....	3-56	Character
SEVTY3F .....	Respondent's Severity of Harm--3rd Form .....	3-56	Character
SEXO .....	Other Involved Person's Sex-Bs.....	3-31	Character
SEXO2.....	2nd Other Involved Person's Sex-Bs .....	3-31	Character
SEXOTH .....	Other Involved Person's Sex-Ev .....	3-48	Character
SEXOTH2.....	2nd Other Involved Person's Sex-Ev .....	3-48	Character
TIMEV1F .....	Time Frame Eligibility--1st Form .....	3-63	Character
TIMEV2F .....	Time Frame Eligibility--2nd Form .....	3-63	Character
TIMEV3F .....	Time Frame Eligibility--3rd Form.....	3-63	Character
TYPESRC .....	Type of Report Source .....	3-37	Character
UNIFWT .....	Final Weight for Unduplicated Estimates.....	3-79	Numeric
UNIFWT1.....	Replicate Wgt (Unduplicated Est's) 1 .....	3-80	Numeric
UNIFWT10 .....	Replicate Wgt (Unduplicated Est's) 10.....	3-80	Numeric
UNIFWT11 .....	Replicate Wgt (Unduplicated Est's) 11.....	3-80	Numeric
UNIFWT12 .....	Replicate Wgt (Unduplicated Est's) 12.....	3-80	Numeric
UNIFWT13 .....	Replicate Wgt (Unduplicated Est's) 13.....	3-80	Numeric
UNIFWT14 .....	Replicate Wgt (Unduplicated Est's) 14.....	3-80	Numeric
UNIFWT15 .....	Replicate Wgt (Unduplicated Est's) 15.....	3-80	Numeric
UNIFWT16 .....	Replicate Wgt (Unduplicated Est's) 16.....	3-80	Numeric
UNIFWT17 .....	Replicate Wgt (Unduplicated Est's) 17.....	3-80	Numeric
UNIFWT18 .....	Replicate Wgt (Unduplicated Est's) 18.....	3-81	Numeric
UNIFWT19 .....	Replicate Wgt (Unduplicated Est's) 19.....	3-81	Numeric
UNIFWT2.....	Replicate Wgt (Unduplicated Est's) 2 .....	3-80	Numeric
UNIFWT20 .....	Replicate Wgt (Unduplicated Est's) 20.....	3-81	Numeric

(Table 3-2 continues on next page.)



<u>Variable Name</u>	<u>Variable Label</u>	<u>Page</u>	<u>Type</u>
UNIFWT21 .....	Replicate Wgt (Unduplicated Est's) 21 .....	3-81	Numeric
UNIFWT3 .....	Replicate Wgt (Unduplicated Est's) 3 .....	3-80	Numeric
UNIFWT4 .....	Replicate Wgt (Unduplicated Est's) 4 .....	3-80	Numeric
UNIFWT5 .....	Replicate Wgt (Unduplicated Est's) 5 .....	3-80	Numeric
UNIFWT6 .....	Replicate Wgt (Unduplicated Est's) 6 .....	3-80	Numeric
UNIFWT7 .....	Replicate Wgt (Unduplicated Est's) 7 .....	3-80	Numeric
UNIFWT8 .....	Replicate Wgt (Unduplicated Est's) 8 .....	3-80	Numeric
UNIFWT9 .....	Replicate Wgt (Unduplicated Est's) 9 .....	3-80	Numeric

### 3.3 Definitions and Codes for All Variables in the NIS-3 CPS-Only Public Use File

This section gives the full listing of variables in this file, providing the variable names, definitions, and code values, and specifying the steps followed in computing all derived variables. It is organized by item sequences on the key CPS forms: the CPS Long Form and the CPS Transmittal Sheet.

The CPS Transmittal Sheet contains an initial sequence of Pre-Evaluative Codes, followed by the various evaluative decisions in the Evaluation section of the CPS Transmittal Form. Maltreatment-related derived variables come next. These summarize the child's maltreatment and harm, and to permit analyses of perpetrator characteristics. The listing concludes with the weighting variables.

Note that every item that is labelled as a "Basic Data Item" is cross-referenced to a related "Evaluative Code" and vice versa. Also note that the Basic Data Item version of a given characteristic is available for all children in the data file, whereas the Evaluative Code version is only available for those children who were classified as needing Pre-evaluative or Evaluative coding (that is, the variable CODING=1 or 2 for these children). The Basic Data Item version of a given feature may be quite discrepant from the Evaluative Code version of the same feature. It is strongly recommended that users refrain from "mixing" these items during analyses. That is, if comparisons which involve all children are of interest, the recommendation is that users select the data item that is available for all children (i.e., the Basic Data Item version of the feature). Thus, the Evaluative Code version of the feature would be useful only for comparisons within the group of children with CODING=1 or 2.

**Also note that users must always use one of the final weights, DUPFWT or UNIFWT (or an allowable modification thereof, as described in Part 1).**

**NIS-3 CPS-ONLY PUBLIC USE FILE:  
VARIABLE DEFINITIONS AND CODES**

**DATA FORM ITEMS:**Variable NameDefinition and Codes

ID

Unique Identification Number

Westat ID Number

This is an eight digit field. The first two digits identify the county, the remaining digits comprise the sequence number within the county.

COUNTY

NIS-3 County Code

Same as the first two digits of Westat ID Number

01	=	Maricopa, AZ
02	=	Ouachita, AK
03	=	Kern, CA
04	=	Los Angeles, CA
05	=	Sacramento, CA
06	=	San Bernardino, CA
07	=	Fairfield, CT
08	=	Dade, FL
09	=	Palm Beach, FL
10	=	Dawson, GA
11	=	Gilmer, GA
12	=	Cook, IL
13	=	Marion, IN
14	=	Keokuk, IA
15	=	Louisa, IA
16	=	Plymouth, IA
17	=	Jefferson, KY
18	=	Plymouth, MA
19	=	Carver, MN
20	=	Grenada, MS
21	=	Bergen, NJ
22	=	Dona Ana, NM
23	=	Monroe, NY
24	=	New York, NY
25	=	Queens, NY
26	=	Burleigh, ND

(COUNTY continued on the next page)

Variable Name

Definition and Codes

(COUNTY continued)

27	=	Brown, OH
28	=	Fulton, OH
29	=	Warren, OH
30	=	Washington, OH
31	=	Multnomah, OR
32	=	Butler, PA
33	=	Cumberland, PA
34	=	Montgomery, PA
35	=	Lancaster, SC
36	=	Anderson, TN
37	=	Shelby, TN
38	=	Dallas, TX
39	=	Harris, TX
40	=	Montague, TX
41	=	Travis, TX
42	=	Wood, WV

SEQNO

Within-County Sequence Number of Case  
Same as the last six digits of Westat ID Number

0001 - 9999 = Sequence within COUNTY

CHILDLET

Child's Letter ID  
Q. 7, Child identifier

Multiple children could be listed on a CPS Long Form, so the variable CHILDLET must be used in conjunction with the ID to uniquely identify different children's records in this database.

A - N = Child letter

PSMON

Month of Report to Protective Services (PS)  
Q. 2, Date of Report to CPS

09-12 = Month of report  
97 = >12  
98 = <9  
99 = Unknown

Variable Name

Definition and Codes

PSDAY

Day of Report to Protective Services (PS)

Q. 2, Date of Report to CPS

01-31	=	Day of report
97	=	Greater than 31 or anomalous
99	=	Unknown

PSYR

Year of Report to Protective Services (PS)

Q. 2, Date of Report to CPS

93	=	Year of report
98	=	Out-of-scope (before Sept. 5, or after Dec. 4, 1993)
99	=	Unknown

REPTMO

Month Form Completed

Q. 4, Date Form Completed

01	=	January
02	=	February
03	=	March
04	=	April
05	=	May
06	=	June
07	=	July
08	=	August
09	=	September
10	=	October
11	=	November
12	=	December
99	=	Unknown

REPTDA

Day Form Completed

Q. 4, Date Form Completed

01-31	=	Day
97	=	Greater than 31 or anomalous
99	=	Unknown

REPTYR

Year Form Completed

Q. 4, Date Form Completed

93	=	1993
94	=	1994
95	=	1995
99	=	Unknown

MSEXIST                      Status of Mother/Substitute—Basic Data Item  
Q. 5a (Status of Mother/Substitute)

This variable was coded for all children. Its counterpart MSSTAT is a corrected and verified version (see below) which was coded for a subset of the children.

- 1                      =            Present
- 2                      =            Absent
- 9                      =            Status unknown

FSEXIST                      Status of Father/Substitute—Basic Data Item  
Q. 5b (Status of Father/Substitute)

This variable was coded for all children. Its counterpart FSSTAT is a corrected and verified version (see below) which was coded for a subset of the children.

- 1                      =            Present
- 2                      =            Absent
- 9                      =            Status unknown

MSAGE                      Age of Mother/Substitute—Basic Data Item  
Q. 5a, Age in Years

This variable was coded for all children. Its counterpart AGEM is a corrected and verified version (see below) which was coded for a subset of the children.

- Blank                =            Inapplicable (no mother/substitute in the home)
- 12-70                =            Actual age in years
- 71                    =            71 or higher
- 97                    =            Less than 12
- 99                    =            Unknown

FSAGE                      Age of Father/Substitute—Basic Data Item  
Q. 5b, Age in Years

This variable was coded for all children. Its counterpart AGEF is a corrected and verified version (see below) which was coded for a subset of the children.

- Blank                =            Inapplicable (no father/substitute in the home)
- 12-70                =            Actual age in years
- 71                    =            71 or higher
- 97                    =            Less than 12
- 99                    =            Unknown

## MSROLE

Alleged Role of Mother/Substitute

Q. 5a, Column A, Mother/Substitute's Alleged Role

Blank	=	Inapplicable (no mother/substitute in the home)
1	=	Maltreated the child
2	=	"Permitted" maltreatment by others
3	=	Not involved
9	=	Unknown

## FSROLE

Alleged Role of Father/Substitute

Q. 5b, Column A, Father/Substitute's Alleged Role

Blank	=	Inapplicable ( no father/substitute in the home)
1	=	Maltreated the child
2	=	"Permitted" maltreatment by others
3	=	Not involved
9	=	Unknown

## EMPLMLF

Employment Status of Mother/Substitute—Basic Data Item

Q. 5a, Column B, Employment

This variable was coded for all children. Its counterpart EMPM is a corrected and verified version (see below) which was coded for a subset of the children.

Blank	=	Inapplicable (no mother/substitute in the home)
1	=	Employed full time
2	=	Employed part time
3	=	Unemployed, looking for work
4	=	Not in labor force
5	=	Active Duty Military
9	=	Unknown

## EMPLFLF

Employment Status of Father/Substitute—Basic Data Item

Q. 5b, Column B, Employment

This variable was coded for all children. Its counterpart EMPF is a corrected and verified version (see below) which was coded for a subset of the children.

Blank	=	Inapplicable (no father/substitute in the home)
1	=	Employed full time
2	=	Employed part time
3	=	Unemployed, looking for work
4	=	Not in labor force
5	=	Active Duty Military
9	=	Unknown

MSETHNIC

Mother/Substitute's Race/Ethnicity—Basic Data Item

Q. 5a, Column C, Ethnic Group

This variable was coded for all children. Its counterpart METH is a corrected and verified version (see below) which was coded for a subset of the children.

Blank	=	Inapplicable (no mother/substitute in the home)
1	=	American Indian/Alaskan/Native
2	=	Asian/Pacific Islander
3	=	Black, not Hispanic
4	=	Hispanic
5	=	White, not Hispanic
6	=	Other (specify)
9	=	Unknown

FSETHNIC

Father/Substitute's Race/Ethnicity—Basic Data Item

Q. 5b, Column C, Ethnic Group

This variable was coded for all children. Its counterpart FETHNIC is a corrected and verified version (see below) which was coded for a subset of the children.

Blank	=	Inapplicable (no father/substitute in the home)
1	=	American Indian/Alaskan/Native
2	=	Asian/Pacific Islander
3	=	Black, not Hispanic
4	=	Hispanic
5	=	White, not Hispanic
6	=	Other (Specify)
9	=	Unknown

MSETOTH

Other Race/Ethnicity of Mother/Substitute—Basic Data Item

Q. 5a, Column C, Ethnic Group Other (Specify)

This variable was coded for all children. Its counterpart METHOTH is a corrected and verified version (see below) which was coded for a subset of the children.

Verbatim responses

FSETOTH

Other Race/Ethnicity of Father/Substitute—Basic Data Item

Q. 5b, Column C, Ethnic Group Other (Specify)

This variable was coded for all children. Its counterpart FETHOTH is a corrected and verified version (see below) which was coded for a subset of the children.

Verbatim responses

OTHER INVOLVED PERSON'S RELATIONSHIP TO THE CHILDQ. 6a/6b, Other Involved Adults, If Any. Specify Relationship

These variables were coded for all children. Their counterparts OTHPCDE and OTHPCDE2 are corrected and verified versions (see below) which were coded for a subset of the children.

RELCDE (First or Only) Other Involved Person's Relationship to Child—Basic Data Item

RELCDE2 Second Other Involved Person's Relationship to Child—Basic Data Item

Blank	=	Inapplicable (no other involved person, or no second other involved person)
01	=	Out-of-home natural parent
02	=	Other separated/divorced spouse or in-home parent/substitute
23	=	Parent's paramour living in the child's home
24	=	Parent's paramour not living in the child's home
25	=	Parent's paramour, but could not tell whether this person was or was not living in child's home
33	=	Other: clearly/probably a caretaker relative living in the child's home
34	=	Other: clearly/probably a caretaker relative not living in the child's home
35	=	Other: clearly/probably a caretaker relative, but could not tell whether this person was or was not living in child's home
43	=	Other: clearly/probably a caretaker non-relative (or unknown whether a relative) living in the child's home
44	=	Other: clearly/probably a caretaker non-relative (or unknown whether a relative) not living in the child's home
45	=	Other: clearly/probably a caretaker non-relative, but could not tell whether this person was or was not living in child's home
53	=	Other: not a caretaker (or serious doubt) relative of child living in the child's home
54	=	Other: not a caretaker (or serious doubt) relative of child not living in the child's home
55	=	Other: not a caretaker (or serious doubt) relative of child, but could not tell whether this person was or was not living in child's home
63	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child living in the child's home
64	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child not living in the child's home
65	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child, but could not tell whether this person was or was not living in child's home

(RELCDE\_ continues on the next page)



(RELCDE\_ continued)

70	=	Child's spouse
73	=	Child's boy/girlfriend living in the child's home
74	=	Child's boy/girlfriend not living in the child's home
75	=	Child's boy/girlfriend, but could not tell whether this person was or was not living in the child's home
96	=	Stranger to the child
99	=	Unknown

OTHER RELATIONSHIP OF OTHER INVOLVED PERSON TO THE CHILD (SPECIFIED)

Q. 6a/6b, Other Involved Adults, If Any. Specify Relationship

These variables were coded for all children. Their counterparts OTHPSP and OTHPSP2 are corrected and verified versions (see below) which were coded for a subset of the children.

RELSP Other Relationship of (First or Only) Other Involved Person to Child—Basic Data Item

RELSP2 Other Relationship of Second Other Involved Person to Child—Basic Data Item

Verbatim response

AGE OF OTHER INVOLVED PERSON

Q. 6a/6b, Age in Years

These variables were coded for all children. Their counterparts AGEOTH and AGEOTH2 are corrected and verified versions (see below) which were coded for a subset of the children.

AGEO Age of (First or Only) Other Involved Person—Basic Data Item

AGEO2 Age of Second Other Involved Person—Basic Data Item

Blank	=	Inapplicable (no other involved person, or no second other involved person)
12-70	=	Other's age
71	=	71 years or older
74	=	Minor (probably <18 years old) and (probably) older than the child by 5+ years
75	=	Minor (probably <18 years old) and (probably) older than the child, but could not tell whether older by 5+ years

(AGEO\_ continues on the next page)

(AGEO\_\_ continued)

76	=	Minor (probably <18 years old) and (probably) older than the child but by <5 years
77	=	Minor (probably <18 years old) and younger than the child
78	=	Minor (probably <18 years old) and could not tell this individual's age relative to the child's age
84	=	Unclear whether adult or minor and (probably) older than the child by 5+ years
85	=	Unclear whether adult or minor and (probably) older than the child, but could not tell whether older by 5+ years
86	=	Unclear whether adult or minor and (probably) older than the child but by <5 years
87	=	Unclear whether adult or minor and younger than the child
88	=	Unclear whether adult or minor and could not tell this individual's age relative to the child's age
94	=	Adult (probably 18+ years old) and (probably) older than the child by 5+ years
95	=	Adult (probably 18+ years old) and (probably) older than the child but could not tell whether older by 5+ years
96	=	Adult (probably 18+ years old) and (probably) older than the child but by <5 years
97	=	Less than 12
99	=	Unknown

SEX OF OTHER INVOLVED PERSON

Q. 6a/6b, Sex M or F

These variables were coded for all children. Their counterparts SEXOTH and SEXOTH2 are corrected and verified versions (see below) which were coded for a subset of the children.

SEXO

Sex of (First or Only) Other Involved Person—Basic Data Item

SEXO2

Sex of Second Other Involved Person—Basic Data Item

Blank	=	Inapplicable (no other involved person, or no second other involved person)
M	=	Male
F	=	Female
9	=	Unknown

ALLEGED ROLE OF OTHER INVOLVED PERSONQ. 6a/6b, Other Involved Adult's Alleged Role

OROLE Alleged Role of (First or Only) Other Involved Person—Basic Data Item

OROLE2 Alleged Role of Second Other Involved Person—Basic Data Item

Blank	=	Inapplicable (no other involved person, or no second other involved person)
1	=	Maltreated the child
2	=	"Permitted" maltreatment by others
3	=	Not involved
9	=	Unknown

EMPLOYMENT OF OTHER INVOLVED PERSONQ. 6a/6b, Employment

These variables were coded for all children. Their counterparts EMPOTH and EMPOTH2 are corrected and verified versions (see below) which were coded for a subset of the children.

OEMPLF Employment Status of (First or Only) Other Involved Person—Basic Data Item

OEMPLF2 Employment Status of Second Other Involved Person—Basic Data Item

Blank	=	Inapplicable (no other involved person, or no second other involved person)
1	=	Employed full time
2	=	Employed part -time
3	=	Unemployed, looking for work
4	=	Not in labor force
5	=	Active Duty Military
9	=	Unknown

OTHER INVOLVED PERSON'S RACE/ETHNICITYQ. 6a/6b, Ethnic Group

These variables were coded for all children. Their counterparts OTHET and OTHET2 are corrected and verified versions (see below) which were coded for a subset of the children.

OETHNIC Race/Ethnicity of (First or Only) Other Involved Person—Basic Data Item

OETHNIC2 Race/Ethnicity of Second Other Involved Person—Basic Data Item

Blank	=	Inapplicable (no other involved person, or no second other involved person)
1	=	American Indian/Alaskan/Native
2	=	Asian/Pacific Islander
3	=	Black, not Hispanic
4	=	Hispanic
5	=	White, not Hispanic
6	=	Other (specify)
9	=	Unknown

OTHER RACE/ETHNICITY OF OTHER INVOLVED PERSON (SPECIFIED)Q. 6a/6b, Ethnic Group Other (Specify)

These variables were coded for all children. Their counterparts OTHE and OTHE2 are corrected and verified versions (see below) which were coded for a subset of the children.

OETHOTH Other Race/Ethnicity of (First or Only) Other Involved Person—Basic Data Item

OETHOTH2 Other Race/Ethnicity of Second Other Involved Person—Basic Data Item

Verbatim response

NCHILD Number of Children in the Household

Q. 7, Number of Children under 18 in Household

01-50	=	No. children in household
99	=	Unknown

Variable Name

Definition and Codes

AGE                    Child's Age: Years  
Q. 7, Age in Years

This variable was coded for all children. The derived age variables, AGEYCALC, AGEMCALC, AGEYRMO, and AGECLASS, which are more informative about the child's age at the time of maltreatment, were computed for subsets of the children.

00-17                =        Actual age in years  
96                    =        Listed as 18 or older  
99                    =        Unknown

CHSEX                Child's Sex  
Q. 7, as verified or corrected by evaluative coders on the CPS Transmittal Form.

1                     =        Male  
2                     =        Female  
9                     =        Unknown

ETHNIC               Child's Race/Ethnicity  
Q. 7c, Ethnic Group

1                     =        American Indian/Alaskan Native  
2                     =        Asian/Pacific Islander  
3                     =        Black, not Hispanic  
4                     =        Hispanic  
5                     =        White, not Hispanic  
6                     =        Other (specify)  
9                     =        Unknown

ETHOTH               Other Race/Ethnicity of Child  
Q. 7, Ethnic Group: Other (Specify)

Verbatim response

MREL                 Mother/Substitute's Relationship to Child—Basic Data Item  
Q. 7d, Relationship to Mother/Sub

This variable was coded for all children. Its counterpart MSUB is a corrected and verified version (see below) which was coded for a subset of the children.

(MREL continues on the next page)

(MREL continued)

Blank	=	Inapplicable (no mother/substitute in the home)
1	=	Birth mother
2	=	Stepmother
3	=	Foster Mother
4	=	Adoptive Mother
5	=	Grandmother
6	=	Other (specify)
9	=	Unknown

MSPR

Other Specified Relationship of Mother/Substitute to Child—Basic Data Item  
Q. 7d, Relationship to Mother/Sub Other (Specify)

This variable was coded for all children. Its counterpart MSUBOTH is a corrected and verified version (see below) which was coded for a subset of the children.

Verbatim responses

FREL

Father/Substitute's Relationship to Child—Basic Data Item  
Q. 7d, Relationship to Father/Sub

This variable was coded for all children. Its counterpart FSUB is a corrected and verified version (see below) which was coded for a subset of the children.

Blank	=	Inapplicable (no father/substitute in the home)
1	=	Birth father
2	=	Stepfather
3	=	Foster Father
4	=	Adoptive Father
5	=	Grandfather
6	=	Other (specify)
9	=	Unknown

FSPR

Other Specified Relationship of Father/Substitute to Child—Basic Data Item  
Q. 7d, Relationship to Father/Sub Other (Specify)

This variable was coded for all children. Its counterpart FSUBOTH is a corrected and verified version (see below) which was coded for a subset of the children.

Verbatim responses

Variable Name

Definition and Codes

ROLA

Child's Alleged Role  
Q. 7e, Child Alleged Status

1	=	Victim
2	=	Not a victim
9	=	Unknown

ROLI

Child's Indicated Role  
Q. 7e, Child Indicated Status

1	=	Victim
2	=	Not a victim
9	=	Unknown

INCOME

Estimated Annual Family Income  
Q. 9, Estimated Annual Family Income

1	=	Less than \$15,000
2	=	\$15,000- \$29,999
3	=	\$30,000 - \$44,999
4	=	\$45,000 or more
9	=	Unknown

AFDC

Family Received Aid to Families with Dependent Children (AFDC)  
Q. 10, Family Receiving AFDC?

1	=	Yes
2	=	No
9	=	Unknown

FCPSSRC

Source of This Report to Protective Services (Final Version)  
Q11a, resolved for cases with multiple sources as described

The final version of the code assigned to this variable resolved multiple sources for the same CPS case by considering the hierarchy of sources as listed in the RECSOURC codes and assigning the code that corresponded to the lowest numeric value among the RECSOURC codes (i.e., the first applicable source in the RECSOURC ordering of potential sources).

01	=	Other unit of this DSS/Welfare Dept.
02	=	DSS/Welfare Dept. in other state/county
03	=	Police/Sheriff

(FPSSRC continues on the next page)

Variable Name

Definition and Codes

(FPSSRC continued)

04	=	Probation/Corrections Dept.
05	=	Coroner/Medical Examiner
06	=	School
07	=	Day care program
08	=	Hospital
09	=	Medical clinic/office not in hospital.
10	=	Mental health facility/agency
11	=	Social/family service agency
12	=	Self, family member, relative
13	=	Individual unrelated to family
14	=	Anonymous
16	=	Court
17	=	Other Law Enforcement
18	=	Public Health Department
19	=	Other mandated agency
99	=	Unknown/refused

TYPSRC

Type of Report Source

Q. 11b, Type of Report Source

1	=	Public agency
2	=	Private facility or professional
3	=	Individual
9	=	Unknown

COOPM

Mother/Substitute's Response to CPS Investigation--Cooperativeness

Q. 12a, Parent/Substitute Response to CPS Assessment

1	=	Cooperative
2	=	Uncooperative
3	=	Not contacted
9	=	Unknown

COOPF

Father/Substitute's Response to CPS Investigation--Cooperativeness

Q. 12a, Parent/Substitute Response to CPS Assessment

1	=	Cooperative
2	=	Uncooperative
3	=	Not contacted
9	=	Unknown



Variable Name

Definition and Codes

DENYM Mother/Substitute's Response to the Allegation--Acknowledged vs. Denied  
Q. 12b, Parent/Substitute Response to CPS Assessment

1 = Acknowledged allegation  
2 = Denied allegation  
3 = Not contacted/not applicable  
9 = Unknown

DENYF Father/Substitute's Response to the Allegation--Acknowledged vs. Denied  
Q. 12b, Parent/Substitute Response to CPS Assessment

1 = Acknowledged allegation  
2 = Denied allegation  
3 = Not contacted/not applicable  
9 = Unknown

PRIORREP Previous Substantiated Reports on This Family  
Q. 13, Have There Been Any Previous Substantiated Reports of Abuse or Neglect Involving This Family?

1 = Yes  
2 = No  
9 = Unknown

FPSASS Final Status of PS Assessment  
Q. 14, Status of CPS Assessment, as verified/corrected during coding

1 = Founded  
2 = Indicated  
3 = Unfounded  
9 = Unknown

**DERIVED VARIABLES BASED ON DATA FORM ITEMS:**

<u>Variable Name</u>	<u>Definition and Codes</u>									
NVICT	<p><u>Total Number of Victims in This Household</u>                      Computed from Q. 7E, as described.</p> <p>This variable was computed for children listed on CPS Long Forms by computing the number of children on the form who were alleged and/or indicated victims (i.e., for whom ROLA=1 or ROLI=1).</p> <table border="0" style="margin-left: 20px;"> <tr> <td>01-15</td> <td>=</td> <td>Actual number of victims</td> </tr> <tr> <td>97</td> <td>=</td> <td>Anomalous</td> </tr> <tr> <td>99</td> <td>=</td> <td>Unknown</td> </tr> </table>	01-15	=	Actual number of victims	97	=	Anomalous	99	=	Unknown
01-15	=	Actual number of victims								
97	=	Anomalous								
99	=	Unknown								
AGEYCALC	<p><u>Child's Age at Time of Maltreatment in Years (Calculated)</u>                      Derived</p> <p>The child's age at maltreatment in years, defined as the child's age at the time of the earliest countable maltreatment, or (for noncountable children) the earliest maltreatment within the NIS-3 data period. Failing those alternatives, age was defined as the age at the time of the latest maltreatment described. The derivation followed the algorithm described on the following page.</p>									

(AGEYCALC continues on the next page)

(AGEYCALC continued)

Age = maltreatment date - birthdate + 1 day

Where:

Age = Integer value of (number of days + 1)/365.25

Birthdate = Birthdate with nonmissing month and year (CPS Transmittal Form, Item Labeled "Birthdate"). Missing day set to 15.

Maltreatment date = Maltreatment date with nonmissing month and year (INMON1F, INDAY1F, INYR1F or INMON2F, INDAY2F, INYR2F or INMON3F, INDAY3F, INYR3F). Missing day set to 15.

Date selected according to the following priority:

1. Earliest date where HSD1F or HSD2F or HSD3F or ESD1F or ESD2F or ESD3F = 1 or 2;
- Else
2. Earliest date where TIMEV1F or TIMEV2F or TIMEV3F = 1 or 2;
- Else
3. Latest date.

00 = Less than 1 year

01 - 18 = Age in years

99 = Could not be calculated (no birthdate or no maltreatment date)

AGEMCALC

Age at Time of Maltreatment in Months (Calculated)

Derived

This variable has a computed value only for children less than one year old at the time of their maltreatment. It was assigned a value of '00' for all others. Its calculation paralleled that of AGEYCALC except:

Age = Integer value of (number of days)/30.4

Where:

Number of days is less than 1 year.

00 = Less than 1 month or AGEYCALC => 1

01 - 11 = Age in months

99 = Could not be calculated (no birthdate or no maltreatment date)

AGEYRMO Child's Age at Time of Maltreatment  
Derived

Age of the child at maltreatment used in the analyses:

```

If calculated age not = 9999
Then
AGEYRMO = AGEYCALC followed by AGEMCALC
Else
AGEYRMO = AGE followed by AGEMO
                (reformatted for consistency with AGEYCALC and AGEMCALC)
    
```

0000	=	Less than 1 month
0001 - 0011	=	Age in months (under 1 year)
0099	=	Age unknown but less than one year
0100 - 1800	=	Age in years (children one year or older, last two digits are always 00)
9999	=	No age information available

AGECLASS Child's Age Group  
Derived

This variable was derived only for countable children (i.e., those with AMALE=1).

```

If AGEYRMO => '0000' and AGEYRMO < '0300'
Then AGECLASS = 1;
Else
If AGEYRMO => '0300' and AGEYRMO < '0600'
Then AGECLASS = 2;
Else
If AGEYRMO => '0600' and AGEYRMO < '0900'
Then AGECLASS = 3;
Else
If AGEYRMO => '0900' and AGEYRMO < '1200'
Then AGECLASS = 4;
Else
If AGEYRMO => '1200' and AGEYRMO < '1500'
Then AGECLASS = 5;
Else
If AGEYRMO => '1500' and AGEYRMO < '1800'
Then AGECLASS = 6;
Else AGECLASS = 9;
    
```

(AGECLASS continues on the next page)

(AGECLASS continued)

Blank	=	Child not countable under the Endangerment Standard
1	=	0 to 2 years old
2	=	3 to 5 years old
3	=	6 to 8 years old
4	=	9 to 11 years old
5	=	12 to 14 years old
6	=	15 to 17 years old
9	=	Child's age unknown

**CPS TRANSMITTAL FORM—PRE-EVALUATIVE CODES:**

CODING

Level of Coding

CPS Transmittal Form, Item Labeled "Coding Needed"

This variable reflects the evaluative coders' decision about the degree of coding needed (See *Analysis Report*, Appendix C, pp. 2-4 and 2-5). Only children who were countable under NIS definitional standards or who were alleged or indicated victims received level coding above the basic level, "0." Among these children, full evaluative coding at level "2" was given to those who were countable, who were indicated victims, who were drug-affected newborns, or who had been victims of perpetrators who were minors.

0	=	Only data form variables coded (the variables listed above)
1	=	Data form variables and variables in the "Pre-evaluation" section of CPS Transmittal Form (given immediately below)
2	=	Data form variables and all variables on the CPS Transmittal Form (i.e., all variables listed below).

MSSTAT

Status of Mother/Substitute—Evaluative Code

Q. 5a (Status of Mother/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart MSEXIST which was coded for all children.

1	=	Present
2	=	Absent
9	=	Status unknown

FSSTAT

Status of Father/Substitute—Evaluative Code

Q. 5b (Status of Father/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart FSEXIST which was coded for all children.

1	=	Present
2	=	Absent
9	=	Status unknown

MSUB

Mother/Substitute's Relationship to Child—Evaluative Code

Q. 7d (Mother/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart MREL which was coded for all children.

Blank	=	Inapplicable (no mother/substitute in the home)
1	=	Birth mother
2	=	Stepmother
3	=	Foster Mother
4	=	Adoptive Mother
5	=	Grandmother
6	=	Other (specify)
9	=	Unknown

FSUB

Father/Substitute's Relationship to Child—Evaluative Code

Q. 7d (Father/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart FREL which was coded for all children.

Blank	=	Inapplicable (no father/substitute in the home)
1	=	Birth father
2	=	Stepfather
3	=	Foster Father
4	=	Adoptive Father
5	=	Grandfather
6	=	Other (specify)
9	=	Unknown

**MSUBOTH**                    Other Relationship of Mother/Substitute to Child—Evaluative Code  
 Q. 7d (Mother/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart MSPR which was coded for all children.

Verbatim responses

**FSUBOTH**                    Other Relationship of Father/Substitute to Child—Evaluative Code  
 Q. 7d (Father/Substitute), as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart FSPR which was coded for all children.

Verbatim responses

**METH**                        Mother/Substitute’s Race/Ethnicity—Evaluative Code  
 Q. 5a, column C, as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart MSETHNIC which was coded for all children.

Blank	=	Inapplicable (no mother/substitute in the home)
01	=	American Indian/Alaskan/Native
02	=	Asian/Pacific Islander
03	=	Black, not Hispanic
04	=	Hispanic
05	=	White, not Hispanic
06	=	Other (specify)
09	=	Unknown

**FETHNIC**                    Father/Substitute’s Race/Ethnicity—Evaluative Code  
 Q. 5b, Column C, as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart FSETHNIC which was coded for all children.

(FETHNIC continues on the next page)

(FETHNIC continued)

Blank	=	Inapplicable (no father/substitute in the home)
01	=	American Indian/Alaskan/Native
02	=	Asian/Pacific Islander
03	=	Black, not Hispanic
04	=	Hispanic
05	=	White, not Hispanic
06	=	Other (Specify)
09	=	Unknown

METHOTH

Other Race/Ethnicity of Mother/Substitute—Evaluative Code

Q. 5a, Column C, as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart MSETOTH which was coded for all children.

Verbatim responses

FETHOTH

Other Race/Ethnicity of Father/Substitute—Evaluative Code

Q. 5b, Column C, as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart FSETOTH which was coded for all children.

Verbatim responses

AGEM

Age of Mother/Substitute—Evaluative Code

Q. 5a, as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart MSAGE which was coded for all children.

Blank	=	Inapplicable (no mother/substitute in the home)
12-70	=	Actual age in years
71	=	71 or higher
97	=	Less than 12
99	=	Unknown

AGEF

Age of Father/Substitute—Evaluative Code



Q. 5b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart FSAGE which was coded for all children.

Blank	=	Inapplicable (no father/substitute in the home)
12-70	=	Actual age in years
71	=	71 or higher
97	=	Less than 12
99	=	Unknown

EMPM

Employment Status of Mother/Substitute—Evaluative Code

Q. 5a, Column B, as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart EMPLMLF which was coded for all children.

Blank	=	Inapplicable (no mother/substitute in the home)
1	=	Employed full time
2	=	Employed part time
3	=	Unemployed, looking for work
4	=	Not in labor force
5	=	Active duty military
9	=	Unknown

EMPF

Employment Status of Father/Substitute—Evaluative Code

Q. 5b, Column B, as verified or corrected by evaluative coders on the CPS Transmittal Form.

This variable was coded for children who were classified as needing CODING at level "1" or "2" (see above). See also its counterpart EMPLFLF which was coded for all children.

Blank	=	Inapplicable (no father/substitute in the home)
1	=	Employed full time
2	=	Employed part time
3	=	Unemployed, looking for work
4	=	Not in labor force*
5	=	Active duty military
9	=	Unknown

OTHER INVOLVED PERSON'S RELATIONSHIP TO THE CHILD

Q. 6a/6b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

These variables were coded for children who were classified as needing CODING at level "1" or "2" (see above). See also their counterparts RELCDE and RELCDE2 which were coded for all children.

OTHPCDE (First or Only) Other Involved Person's Relationship to Child—Evaluative Code

OTHPCDE2 Second Other Involved Person's Relationship to Child—Evaluative Code

Blank	=	Inapplicable (no other involved person, or no second other involved person)
01	=	Out-of-home natural parent
02	=	Other separated/divorced spouse or in-home parent/substitute
23	=	Parent's paramour living in the child's home
24	=	Parent's paramour not living in the child's home
25	=	Parent's paramour, but could not tell whether this person was or was not living in child's home
33	=	Other: clearly/probably a caretaker relative living in the child's home
34	=	Other: clearly/probably a caretaker relative not living in the child's home
35	=	Other: clearly/probably a caretaker relative, but could not tell whether this person was or was not living in child's home
43	=	Other: clearly/probably a caretaker non-relative (or unknown whether a relative) living in the child's home
44	=	Other: clearly/probably a caretaker non-relative (or unknown whether a relative) not living in the child's home
45	=	Other: clearly/probably a caretaker non-relative, but could not tell whether this person was or was not living in child's home
53	=	Other: not a caretaker (or serious doubt) relative of child living in the child's home
54	=	Other: not a caretaker (or serious doubt) relative of child not living in the child's home
55	=	Other: not a caretaker (or serious doubt) relative of child, but could not tell whether this person was or was not living in child's home
63	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child living in the child's home
64	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child not living in the child's home

(OTHPCDE and OTHPCDE2 continue on the next page)

(OTHPCDE and OTHPCDE2 continued)

65	=	Other: not a caretaker (or serious doubt) and not a relative (or unknown whether a relative) of child, but could not tell whether this person was or was not living in child's home
70	=	Child's spouse
73	=	Child's boy/girlfriend living in the child's home
74	=	Child's boy/girlfriend not living in the child's home
75	=	Child's boy/girlfriend, but could not tell whether this person was or was not living in the child's home
96	=	Stranger to the child
99	=	Unknown

OTHER RELATIONSHIP OF OTHER INVOLVED PERSON TO THE CHILD (SPECIFIED)

Q. 6a/6b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

These variables were coded for children who were classified as needing CODING at level "1" or "2" (see above). See also their counterparts RELSP and RELSP2 which were coded for all children.

OTHSPSP Other Relationship of (First or Only) Other Involved Person to Child—Evaluative Code

OTHSPSP2 Other Relationship of Second Other Involved Person to Child—Evaluative Code

Verbatim responses for OTHPCDE codes 33-35, 43-45, 53-55 and 63-65 (see above).

SEX OF OTHER INVOLVED PERSON

Q. 6a/6b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

These variables were coded for children who were classified as needing CODING at level "1" or "2" (see above). See also their counterparts SEXO and SEXO2 which were coded for all children.

SEXOTH Sex of (First or Only) Other Involved Person—Evaluative Code

SEXOTH2 Sex of Second Other Involved Person—Evaluative Code

Blank	=	Inapplicable (no other involved person, or no second other involved person)
1	=	Male
2	=	Female
9	=	Unknown

OTHER INVOLVED PERSON'S RACE/ETHNICITY

Q. 6a/6b (column C), as verified or corrected by evaluative coders on the CPS Transmittal Form.

These variables were coded for children who were classified as needing CODING at level "1" or "2" (see above). See also their counterparts OETHNIC and OETHNIC2 which were coded for all children.

OTHET (First or Only) Other Involved Person's Race/Ethnicity—Evaluative Code

OTHET2 Second Other Involved Person's Race/Ethnicity—Evaluative Code

Blank	=	Inapplicable (no other involved person, no second other involved person, or not on data form)
01	=	American Indian/Alaskan/Native
02	=	Asian/Pacific Islander
03	=	Black, not Hispanic
04	=	Hispanic
05	=	White, not Hispanic
06	=	Other (specify)
09	=	Unknown

OTHER RACE/ETHNICITY OF OTHER INVOLVED PERSON (SPECIFIED)

Q. 6a/6b (column C), as verified or corrected by evaluative coders on the CPS Transmittal Form.

These variables were coded for children who were classified as needing CODING at level "1" or "2" (see above). See also their counterparts OETHOTH and OETHOTH2 which were coded for all children.

OTHE Other Race/Ethnicity of (First or Only) Other Involved Person (Specified) — Evaluative Code

OTHE2 Other Race/Ethnicity of Second Other Involved Person (Specified) —Evaluative Code

Verbatim response

AGE OF OTHER INVOLVED PERSON

Q. 6a/6b, as verified or corrected by evaluative coders on the CPS Transmittal Form.

These variables were coded for children who were classified as needing CODING at level "1" or "2" (see above). See also their counterparts AGE0 and AGE02 which were coded for all children.

(AGEOTH and AGEOTH2 continue on the next page)

(AGEOTH and AGEOTH2 continued)

AGEOTH Age of (First or Only) Other Involved Person—Evaluative Code

AGEOTH2 Age of Second Other Involved Person—Evaluative Code

Blank	=	Inapplicable (no other involved person, or no second other involved person)
12-70	=	Other's age
71	=	71 years or older
74	=	Minor (probably <18 years old) and (probably) older than the child by 5+ years
75	=	Minor (probably <18 years old) and (probably) older than the child, but could not tell whether older by 5+ years
76	=	Minor (probably <18 years old) and (probably) older than the child but by <5 years
77	=	Minor (probably <18 years old) and younger than the child
78	=	Minor (probably <18 years old) and could not tell this individual's age relative to the child's age
84	=	Unclear whether adult or minor and (probably) older than the child by 5+ years
85	=	Unclear whether adult or minor and (probably) older than the child, but could not tell whether older by 5+ years
86	=	Unclear whether adult or minor and (probably) older than the child but by <5 years
87	=	Unclear whether adult or minor and younger than the child
88	=	Unclear whether adult or minor and could not tell this individual's age relative to the child's age
94	=	Adult (probably 18+ years old) and (probably) older than the child by 5+ years
95	=	Adult (probably 18+ years old) and (probably) older than the child but could not tell whether older by 5+ years
96	=	Adult (probably 18+ years old) and (probably) older than the child but by <5 years
97	=	Less than 12
99	=	Unknown

EMPLOYMENT OF OTHER INVOLVED PERSON

Q. 6a/6b (column B), as verified or corrected by evaluative coders on the CPS Transmittal Form.

These variables were coded for children who were classified as needing CODING at level "1" or "2" (see above). See also their counterparts OEMPLF and OEMPLF2 which were coded for all children.

EMPOTH

Employment of (First or Only) Other Involved Person—Evaluative Code

EMPOTH2

Employment of Second Other Involved Person—Evaluative Code

Blank	=	Inapplicable (no other involved person, or no second other involved person)
1	=	Employed full time
2	=	Employed part time
3	=	Unemployed, looking for work
4	=	Not in labor force
5	=	Active duty military
9	=	Unknown

ALLEGED MALTREATMENT

Derived from CPS Transmittal Form items labeled "Allegations" and "Checked Code"

The allegations were only coded for children who were classified as needing CODING at level "1." In order to provide information about the allegations for all children, the series of MALTX\_F variables was created. These new variables summarized the relevant allegation information across two groups of children: the allegation codes for children with CODING="1" and the CKCDE\_F variables (see below) for children with CODING="2." In both cases, the component codes had been assigned on the basis of all the available information on the data form, including the narrative." (See *Analysis Report*, Appendix C, pp. 2-14 and pp. 3-8 through 3-11.)

If	CODING = 0
Then	MALTX1F = Blank
and	MALTX2F = Blank
and	MALTX3F = Blank;
If	CODING = 1
Then	MALTX1F = Allegation 1st Form
and	MALTX2F = Allegation 2nd Form
and	MALTX3F = Allegation 3rd Form;
If	CODING = 2
Then	MALTX1F = CKCDE1F (Checked Code--1st Form)
and	MALTX2F = CKCDE2F (Checked Code--2nd Form)
and	MALTX3F = CKCDE3F (Checked Code--3rd Form)

(MALTX\_F continues on the next page)

(MALTX\_F continued)

MALTX1F Alleged Maltreatment - 1st Form

MALTX2F Alleged Maltreatment - 2nd Form, If Applicable

MALTX3F Alleged Maltreatment - 3rd Form, If Applicable

Blank	=	Inapplicable (no additional alleged form(s) of maltreatment)
010	=	Sexual exploitation: penile intrusion
011	=	Sexual exploitation: digital/object intrusion
020	=	Sexual exploitation: molestation with genital contact
030	=	Sexual exploitation: other or unknown
040	=	Physical assault
051	=	Close confinement: tying/binding
052	=	Close confinement: other
060	=	Verbal or emotional assault
070	=	Other or unknown abuse
080	=	Inattention to remedial health care needs: refusal to allow or provide needed care for diagnosed condition or impairment
090	=	Inattention to remedial health care needs: unwarranted delay or failure to seek needed care
101	=	Inattention to physical needs: refusal of custody/abandonment
102	=	Inattention to physical needs: other refusal of custody
103	=	Inattention to physical needs: other custody related maltreatment
110	=	Inattention to physical needs: inadequate supervision
120	=	Inattention to physical needs: other physical neglect
130	=	Inattention to educational needs: knowingly permitted chronic truancy
141	=	Inattention to educational needs: other truancy/failure to register or enroll
142	=	Inattention to educational needs: other refusal to allow or provide needed attention to diagnosed educational need
151	=	Inattention to developmental/emotional needs: inadequate nurturance/affection
152	=	Inattention to developmental/emotional needs: spouse abuse
161	=	Inattention to developmental/emotional needs: knowingly permitting drug/alcohol abuse
162	=	Inattention to developmental/emotional needs: knowingly permitting other maladaptive behavior
171	=	Inattention to developmental/emotional needs: refusal to allow or provide needed care for diagnosed emotional or behavioral impairment/problem
172	=	Inattention to developmental/emotional needs: failure to seek needed care for emotional or behavioral impairment/problem

173	=	Inattention to developmental/emotional needs: other
180	=	Involuntary neglect
190	=	General or unspecified neglect
201	=	Other or unspecified maltreatment
202	=	Chemically dependent newborns
203	=	Nonmaltreatment

**CPS TRANSMITTAL FORM—EVALUATIVE CODES:**

Completed for children who were classified with CODING = '2' (see above).

**AGECK**

Age: Out-Of-Scope Check

CPS Transmittal Form, Item Labeled “Checklist: Age”

Assessment of whether the child was live-born and under 18 years of age at the time of the suspected maltreatment. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-4 and 3-5.)

1	=	Birth through 17
2	=	Unspecified - no indication of problem
3	=	Indication(s) of possible age problem
8	=	Out-of-scope: events before/during delivery
9	=	Out-of-scope: events on/after 18th birthday

**RESIDCK**

County of Residence: Out-Of-Scope Check

CPS Transmittal Form, Item Labeled “Checklist: Residence”

Assessment of whether the child lived in a study county some time during the period, September 5, 1993, through December 4, 1993. Evaluatively coded on the basis of all available information on the data form, including narrative (See *Analysis Report*, Appendix C, p. 3-6.)

1	=	Clearly in a study county
2	=	Unspecified, but no indication of a problem
3	=	Multiple residences during Sept. 5, 1993 through Dec. 4, 1993: at least one county of residence is a study county
4	=	Other indications of possible residence problem
9	=	Out-of-scope: not a study county resident at any time during study



CUSTDYCK

Custody Status: Out-Of-Scope Check

CPS Transmittal Form, Item Labeled "Checklist: Custody Status"

Assessment of whether child met criterion of being a noninstitutionalized dependent in a household at the time of the maltreatment event(s). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, p. 3-7.)

- 1 = No indication of problem
- 2 = Some indication of possible dependency/institutional problem
- 8 = Out-of-scope: child in institutional custody at time of events
- 9 = Out-of-scope: child 15-17 and not living with parents/substitutes at time of events

RESPONDENT'S CODE FOR SUSPECTED MALTREATMENT

Q. 16, as verified or corrected by evaluative coders on the CPS Transmittal Form

Maltreatment codes given on the data form by the respondent. (See *Analysis Report*, Appendix A and Appendix C, pp. 3-8 through 3-11.)

RESPCD1F

Respondent's Code for Suspected Maltreatment - 1st Form

RESPCD2F

Respondent's Code for Suspected Maltreatment - 2nd Form, If Applicable

RESPCD3F

Respondent's Code for Suspected Maltreatment - 3rd Form, If Applicable

- Blank = No maltreatment code specified by respondent (or, for RESPCD2F or RESPCD3F, no additional form of maltreatment)
- 01 = Intrusion - acts involving penile penetration
- 02 = Molestation with genital contact
- 03 = Other or unknown sexual exploitation
- 04 = Physical assault
- 05 = Close confinement
- 06 = Verbal or emotional assault
- 07 = Other or unknown abuse
- 08 = Refusal to allow or provide needed care
- 09 = Unwarranted delay or failure to seek needed care for injury, illness, impairment
- 10 = Refusal of custody
- 11 = Inadequate supervision
- 12 = Other physical neglect
- 13 = Knowingly permitted chronic truancy
- 14 = Other (failed to enroll, repeatedly kept child home, etc.)
- 15 = Inadequate nurturance/affection
- 16 = Knowingly permitted maladaptive behavior
- 17 = Other

CHECKED CODE OF MALTREATMENT

CPS Transmittal Form, Item Labeled “Checked Code”

Assessment of what acts or omissions were involved in maltreatment of child. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix A and Appendix C, pp. 3-8 to 3-11.)

CKCDE1F

Checked Code of Maltreatment - 1st Form

CKCDE2F

Checked Code of Maltreatment - 2nd Form, If Applicable

CKCDE3F

Checked Code of Maltreatment - 3rd Form, If Applicable

Blank	=	Inapplicable (for CKCDE2F and CKCDE3F, no corresponding RESPCD_F)
010	=	Sexual exploitation: penile intrusion
011	=	Sexual exploitation: digital/object intrusion
020	=	Sexual exploitation: molestation with genital contact
030	=	Sexual exploitation: other or unknown
040	=	Physical assault
051	=	Close confinement: tying/binding
052	=	Close confinement: other
060	=	Verbal or emotional assault
070	=	Other or unknown abuse
080	=	Inattention to remedial health care needs: refusal to allow or provide needed care for diagnosed condition or impairment
090	=	Inattention to remedial health care needs: unwarranted delay or failure to seek needed care
101	=	Inattention to physical needs: refusal of custody/abandonment
102	=	Inattention to physical needs: other refusal of custody
103	=	Inattention to physical needs: other custody related maltreatment
110	=	Inattention to physical needs: inadequate supervision
120	=	Inattention to physical needs: other physical neglect
130	=	Inattention to educational needs: knowingly permitted chronic truancy
141	=	Inattention to educational needs: other truancy/failure to register or enroll
142	=	Inattention to educational needs: other refusal to allow or provide needed attention to diagnosed educational need
151	=	Inattention to developmental/emotional needs: inadequate nurturance/affection
152	=	Inattention to developmental/emotional needs: spouse abuse
161	=	Inattention to developmental/emotional needs: knowingly permitting drug/alcohol abuse

(CKCDE\_F continue on the next page)

(CKCDE\_F continued)

162	=	Inattention to developmental/emotional needs: knowingly permitting other maladaptive behavior
171	=	Inattention to developmental/emotional needs: refusal to allow or provide needed care for diagnosed emotional or behavioral impairment/problem
172	=	Inattention to developmental/emotional needs: failure to seek needed care for emotional or behavioral impairment/problem
173	=	Inattention to developmental/emotional needs: other
180	=	Involuntary neglect
190	=	General or unspecified neglect
201	=	Other or unspecified maltreatment
202	=	Chemically dependent newborns
203	=	Nonmaltreatment cases

RESPONDENT'S SEVERITY OF HARM

Q. 20, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Respondent's coding of the most severe injury/impairment the resulted from the maltreatment described in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F), as shown in answers to Q. 20 on the CPS Data Form. (See *Analysis Report*, Appendix C, pp. 3-12 and 3-13.)

SEVTY1F	<u>Respondent's Severity of Harm - 1st Form</u>
SEVTY2F	<u>Respondent's Severity of Harm - 2nd Form, If Applicable</u>
SEVTY3F	<u>Respondent's Severity of Harm - 3rd Form, If Applicable</u>

Blank	=	No severity of harm specified by respondent (or, for SEVTY2F and SEVTY3F, no corresponding form of maltreatment)
1	=	Fatal
2	=	Serious
3	=	Moderate
4	=	Probable impairment
5	=	Endangered
6	=	Other
8	=	Not ascertained
9	=	Unknown

NATURE OF HARM

Q. 21, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Assessment of the nature of harm to the child for the type of injury involved in the maltreatment described in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-14 and 3-15.)

NATURE1F

Nature of Harm - 1st Form

NATURE2F

Nature of Harm - 2nd Form, If Applicable

NATURE3F

Nature of Harm- 3rd Form, If Applicable

Blank	=	Inapplicable (for NATURE2F and NATURE3F, no corresponding form of maltreatment)
0	=	Not applicable
1	=	Physical injury
2	=	Other health condition or physical impairment
3	=	Impaired educational development
4	=	Mental/emotional injury or impairment, or behavior problem
5	=	Unknown

DATE OF INCIDENT

Q. 18, as verified or corrected by evaluative coders on the CPS Transmittal Form.

Assessment of respondent's coding of date of the maltreatment incident referenced in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F), as shown in answers to Q. 18 on the CPS Data Form. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-16 and 3-17.)

INMON1F

Month of Incident - 1st Form

INMON2F

Month of Incident - 2nd Form, If Applicable

INMON3F

Month of Incident - 3rd Form, If Applicable

Blank	=	Inapplicable (for INMON2F and INMON3F, no corresponding form of maltreatment)
01-12	=	Month of incident
96	=	Partial information
97	=	Nonspecific answer
98	=	Not ascertained
99	=	Unknown

NIS-3 CPS-ONLY PUBLIC USE FILE: INDEX OF VARIABLES IN ALPHABETICAL ORDER (Continued)

INDAY1F	<u>Day of Incident - 1st Form</u>
INDAY2F	<u>Day of Incident - 2nd Form, If Applicable</u>
INDAY3F	<u>Day of Incident - 3rd Form, If Applicable</u>
	Blank = Inapplicable (for INDAY2F and INDAY3F, no corresponding form of maltreatment)
	01-31 = Day of incident
	96 = Partial information
	97 = Nonspecific answer
	98 = Not ascertained
	99 = Unknown
INYS1F	<u>Year of Incident - 1st Form</u>
INYS2F	<u>Year of Incident - 2nd Form, If Applicable</u>
INYS3F	<u>Year of Incident - 3rd Form, If Applicable</u>
	Blank = Inapplicable (for INYS2F and INYS3F, no corresponding form of maltreatment)
	75-94 = Year of incident
	96 = Partial information
	97 = Nonspecific answer
	98 = Not ascertained
	99 = Unknown

MOTHER/SUBSTITUTE COMMITTED THE MALTREATMENT  
 CPS Transmittal Form, Item Labeled “Person(s) Resp.: 1”

Assessment of whether the mother/substitute committed the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

- PRSP1F1 Mother/Substitute Committed the Maltreatment - 1st Form
- PRSP2F1 Mother/Substitute Committed the Maltreatment - 2nd Form, If Applicable
- PRSP3F1 Mother/Substitute Committed the Maltreatment - 3rd Form, If Applicable

Blank	=	Mother/substitute did not commit the maltreatment (or, for PRSP2F1 and PRSP3F1, no corresponding form of maltreatment)
1	=	Mother/substitute did commit the maltreatment
2	=	Mother/substitute may have committed the maltreatment
9	=	Unknown whether the mother/substitute committed the maltreatment

FATHER/SUBSTITUTE COMMITTED THE MALTREATMENT  
 CPS Transmittal Form, Item Labeled “Person(s) Resp.: 2”

Assessment of whether the father/substitute committed the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

- PRSP1F2 Father/Substitute Committed the Maltreatment - 1st Form
- PRSP2F2 Father/Substitute Committed the Maltreatment - 2nd Form, If Applicable
- PRSP3F2 Father/Substitute Committed the Maltreatment - 3rd Form, If Applicable

Blank	=	Father/substitute did not commit the maltreatment (or, for PRSP2F1 and PRSP3F1, no corresponding form of maltreatment)
1	=	Father/substitute did commit the maltreatment
2	=	Father/substitute may have committed the maltreatment
9	=	Unknown whether the father/substitute committed the maltreatment

MOTHER/SUBSTITUTE PERMITTED THE MALTREATMENT

CPS Transmittal Form, Item Labeled "Person(s) Resp.: 3"

Assessment of whether the mother/substitute permitted the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

- PRSP1F3      Mother/Substitute Permitted the Maltreatment - 1st Form
- PRSP2F3      Mother/Substitute Permitted the Maltreatment - 2nd Form, If Applicable
- PRSP3F3      Mother/Substitute Permitted the Maltreatment - 3rd Form, If Applicable

- Blank            =      Mother/substitute did not permit the maltreatment (or, for PRSP2F3 and PRSP3F3, no corresponding form of maltreatment)
- 1                =      Mother/substitute did permit the maltreatment
- 2                =      Mother/substitute may have permitted the maltreatment
- 9                =      Unknown whether the mother/substitute permitted the maltreatment

FATHER/SUBSTITUTE PERMITTED THE MALTREATMENT

CPS Transmittal Form, Item Labeled "Person(s) Resp.: 4"

Assessment of whether the father/substitute permitted the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

- PRSP1F4      Father/Substitute Permitted the Maltreatment - 1st Form
- PRSP2F4      Father/Substitute Permitted the Maltreatment - 2nd Form, If Applicable
- PRSP3F4      Father/Substitute Permitted the Maltreatment - 3rd Form, If Applicable

- Blank            =      Father/substitute did not permit the maltreatment (or, for PRSP2F4 and PRSP3F4, no corresponding form of maltreatment)
- 1                =      Father/substitute did permit the maltreatment
- 2                =      Father/substitute may have permitted the maltreatment
- 9                =      Unknown whether the father/substitute permitted the maltreatment

OTHER INVOLVED PERSON COMMITTED THE MALTREATMENT

CPS Transmittal Form, Item Labeled “Person(s) Resp.: 5”

Assessment of whether the Other Involved Person committed the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F5 Other Involved Person Committed the Maltreatment - 1st Form

PRSP2F5 Other Involved Person Committed the Maltreatment - 2nd Form, If Applicable

PRSP3F5 Other Involved Person Committed the Maltreatment - 3rd Form, If Applicable

Blank	=	Other Involved Person did not commit the maltreatment (or, for PRSP2F5 and PRSP3F5, no corresponding form of maltreatment)
1	=	Other Involved Person did commit the maltreatment
2	=	Other Involved Person may have committed the maltreatment
9	=	Unknown whether the Other Involved Person committed the maltreatment

OTHER INVOLVED PERSON PERMITTED THE MALTREATMENT

CPS Transmittal Form, Item Labeled “Person(s) Resp.: 6”

Assessment of whether the Other Involved Person permitted the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

PRSP1F6 Other Involved Person Permitted the Maltreatment - 1st Form

PRSP2F6 Other Involved Person Permitted the Maltreatment - 2nd Form, If Applicable

PRSP3F6 Other Involved Person Permitted the Maltreatment - 3rd Form, If Applicable

Blank	=	Other Involved Person did not permit the maltreatment (or, for PRSP2F6 and PRSP3F6, no corresponding form of maltreatment)
1	=	Other Involved Person did permit the maltreatment
2	=	Other Involved Person may have permitted the maltreatment
9	=	Unknown whether the Other Involved Person permitted the maltreatment



SECOND OTHER INVOLVED PERSON COMMITTED THE MALTREATMENT

CPS Transmittal Form, Item Labeled "Person(s) Resp.: 7"

Assessment of whether the second Other Involved Person committed the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

- PRSP1F7      Second Other Involved Person Committed the Maltreatment - 1st Form
- PRSP2F7      Second Other Involved Person Committed the Maltreatment - 2nd Form, If Applicable
- PRSP3F7      Second Other Involved Person Committed the Maltreatment - 3rd Form, If Applicable

- Blank            =      Second Other Involved Person did not commit the maltreatment (or, for PRSP2F7 and PRSP3F7, no corresponding form of maltreatment)
- 1                =      Second Other Involved Person did commit the maltreatment
- 2                =      Second Other Involved Person may have committed the maltreatment
- 9                =      Unknown whether the second Other Involved Person committed the maltreatment

PERPETRATOR NOT ASCERTAINED

CPS Transmittal Form, Item Labeled "Person(s) Resp.: 8"

Assessment of perpetrator involvement in the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F); it cannot be determined who committed the maltreatment. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

- PRSP1F8      Perpetrator Not Ascertained - 1st Form
- PRSP2F8      Perpetrator Not Ascertained - 2nd Form, If Applicable
- PRSP3F8      Perpetrator Not Ascertained - 3rd Form, If Applicable

- Blank            =      It is known who committed the maltreatment (or, for PRSP2F8 and PRSP3F8, no corresponding form of maltreatment)
- 1                =      Unknown persons did commit the maltreatment
- 2                =      Unknown persons may have committed the maltreatment

PERPETRATOR UNKNOWN

CPS Transmittal Form, Item Labeled “Person(s) Resp.: 9”

Assessment of perpetrator involvement in the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F); anyone could have committed the maltreatment. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-18 and 3-19.)

- PRSP1F9                    Perpetrator(s) Unknown - 1st Form
- PRSP2F9                    Perpetrator(s) Unknown - 2nd Form, If Applicable
- PRSP3F9                    Perpetrator(s) Unknown - 3rd Form, If Applicable

- Blank                    =            Perpetrator(s) known (or, for PRSP2F9 and PRSP3F9, no corresponding form of maltreatment)
- 1                         =            Unknown who (among the possible perpetrators) committed the maltreatment
- 2                         =            Unknown who (among the possible perpetrators) may have committed the maltreatment

TIME FRAME ELIGIBILITY

CPS Transmittal Form, Item Labeled “Time Evaluation”

Assessment of whether the acts/omissions coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F), occurred during the study period. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-20 and 3-21.)

- TIMEV1F                    Time Frame Eligibility - 1st Form
- TIMEV2F                    Time Frame Eligibility - 2nd Form, If Applicable
- TIMEV3F                    Time Frame Eligibility -3rd Form, If Applicable

- Blank                    =            Inapplicable(for TIMEV2F and TIMEV3F, no corresponding form of maltreatment)
- 1                         =            Events occurred during study period
- 2                         =            Unspecified - no indication of problem
- 3                         =            Unclear - indication of possible problem
- 4                         =            Unclear - probably occurred outside period
- 8                         =            Out-of-scope: occurred before study period
- 9                         =            Out-of-scope: occurred after study period

SEVERITY EVALUATION

CPS Transmittal Form, Item Labeled “Severity Evaluation”

Classification of the severity of the most severe injury/impairment resulting from (or prolonged by) the acts/omissions involved in the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F).

Evaluatively coded on the basis of all available information on the data form, including narrative (See *Analysis Report*, Appendix C, pp. 3-22 through 3-25.)

SEVEV1F	<u>Severity Evaluation - 1st Form</u>
SEVEV2F	<u>Severity Evaluation - 2nd Form, If Applicable</u>
SEVEV3F	<u>Severity Evaluation - 3rd Form, If Applicable</u>

Blank	=	Inapplicable (for SEVEV2F and SEVEV3F, no corresponding form of maltreatment)
1	=	Fatal
2	=	Serious
3	=	Moderate
4	=	Harm can be assumed
5	=	Probable impairment
6	=	Endangered
7	=	Insufficient information
9	=	Out-of-scope

HARM STANDARD PERPETRATOR REQUIREMENT EVALUATION

CPS Transmittal Form, Item Labeled “Harm Standard Evaluation: Perpetrator Requirement”

Assessment of whether the perpetrator of the maltreatment coded in the corresponding checked code (CKCDE1F, or CKCDE2F, CKCDE3F) met the Harm Standard criteria for that type of maltreatment. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-26 through 3-28.)

HSA1F	<u>Harm Standard Perpetrator Requirement Evaluation -1st Form</u>
HSA2F	<u>Harm Standard Perpetrator Requirement Evaluation - 2nd Form, If Applicable</u>
HSA3F	<u>Harm Standard Perpetrator Requirement Evaluation 3rd Form, If Applicable</u>

Blank	=	Inapplicable (for HSA2F and HSA3F, no corresponding form of maltreatment)
1	=	Clearly fulfills perpetrator requirement
2	=	Probably fulfills perpetrator requirement
3	=	Possible perpetrator eligibility problem
4	=	Perpetrator clearly out-of-scope

HARM STANDARD HARM REQUIREMENT EVALUATION

CPS Transmittal Form, Item Labeled “Harm Standard Evaluation: Harm Requirement”

Assessment of whether the severity of the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) met the Harm Standard criteria for that type of maltreatment. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-29 and 3-30.)

HSB1F Harm Standard Harm Requirement Evaluation - 1st Form

HSB2F Harm Standard Harm Requirement Evaluation - 2nd Form, If Applicable

HSB3F Harm Standard Harm Requirement Evaluation - 3rd Form, If Applicable

Blank	=	Inapplicable (for HSB2F and HSB3F, no corresponding form of maltreatment)
1	=	Clearly fulfills harm requirement
2	=	Out-of-scope: lesser harm
3	=	Out-of-scope: insufficient evidence of harm
4	=	Out-of-scope: no harm alleged

HARM STANDARD PERPETRATOR RESPONSIBILITY EVALUATION

CPS Transmittal Form, Item Labeled “Harm Standard Evaluation: Perpetrator Responsibility”

Assessment of the degree to which there was reasonable cause for holding the most “countable” perpetrator responsible for the acts/omissions coded in the corresponding checked code (CKCDE1F, CKCDE2F, and CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-31 through 3-33.)

HSC1F Harm Standard Perpetrator Responsibility Evaluation - 1st Form

HSC2F Harm Standard Perpetrator Responsibility Evaluation - 2nd Form, If Applicable

HSC3F Harm Standard Perpetrator Responsibility Evaluation - 3rd Form, If Applicable

Blank	=	Inapplicable (for HSC2F and HSC3F, no corresponding form of maltreatment)
1	=	Reliable evidence of in-scope perpetrator responsibility
2	=	Information incomplete, but in-scope responsibility likely
3	=	Responsibility doubtful
4	=	Out-of-scope

HARM STANDARD COUNTABILITY ASSESSMENT

CPS Transmittal Form, Item Labeled “Harm Standard Evaluation: HS Countability”

Overall assessment of the likelihood that the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) fit all the requirements of the Harm Standard. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-34 through 3-36.)

HSD1F Harm Standard Countability Assessment - 1st Form

HSD2F Harm Standard Countability Assessment - 2nd Form, If Applicable

HSD3F Harm Standard Countability Assessment - 3rd Form, If Applicable

Blank	=	Inapplicable (for HSD2F and HSD3F, no corresponding form of maltreatment)
1	=	Very probable
2	=	Probable
3	=	Insufficient information
4	=	Doubtful/unlikely
7	=	Probable except for time
8	=	Situation out-of-scope
9	=	Child out-of-scope

HSE Overall Harm Standard Countability Assessment of Child

CPS Transmittal Form, Item Labeled “Harm Standard Evaluation: Overall HS Countability of Case”

Overall assessment of the likelihood that this child experience some form of maltreatment that fit the Harm Standard criteria during the study data period. Evaluatively coded on the basis of all available information on the data form, including narrative (See *Analysis Report*, Appendix C, pp. 3-37.)

1	=	Very probable
2	=	Probable
3	=	Insufficient information
4	=	Doubtful/unlikely
7	=	Probable except for time
8	=	Situation out-of-scope
9	=	Child out-of-scope

ENDANGERMENT STANDARD PERPETRATOR REQUIREMENT EVALUATION  
 CPS Transmittal Form, Item Labeled “Endangerment Standard Evaluation:  
 Perpetrator Requirement”

Assessment of whether the perpetrator of the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) met the Endangerment Standard criteria for that type of maltreatment. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-26 through 3-28.)

ESA1F	<u>Endangerment Standard Perpetrator Requirement Evaluation - 1st Form</u>
ESA2F	<u>Endangerment Standard Perpetrator Requirement Evaluation - 2nd Form, If Applicable</u>
ESA3F	<u>Endangerment Standard Perpetrator Requirement Evaluation - 3rd Form, If Applicable</u>

Blank	=	Inapplicable (for ESA2F and ESA3F, no corresponding form of maltreatment)
1	=	Clearly fulfills perpetrator requirement
2	=	Probably fulfills perpetrator requirement
3	=	Possible perpetrator eligibility problem
4	=	Perpetrator clearly out-of-scope

ENDANGERMENT STANDARD HARM REQUIREMENT EVALUATION  
 CPS Transmittal Form, Item Labeled “Endangerment Standard Evaluation:  
 Harm Requirement”

Assessment of whether the severity of the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) met the Endangerment Standard criteria for that type of maltreatment. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-29 and 3-30.)

ESB1F	<u>Endangerment Standard Harm Requirement Evaluation - 1st Form</u>
ESB2F	<u>Endangerment Standard Harm Requirement Evaluation - 2nd Form, If Applicable</u>
ESB3F	<u>Endangerment Standard Harm Requirement Evaluation - 3rd Form, If Applicable</u>

Blank	=	Inapplicable (for ESB2F and ESB3F, no corresponding form of maltreatment)
1	=	Clearly fulfills harm requirement
2	=	Out-of-scope: lesser harm
3	=	Out-of-scope: insufficient evidence of harm
4	=	Out-of-scope: no harm alleged

ENDANGERMENT STANDARD PERPETRATOR RESPONSIBILITY EVALUATION  
 CPS Transmittal Form, Item Labeled “Endangerment Standard Evaluation: Perpetrator Responsibility”

Assessment of the degree to which there was reasonable cause for holding the most “countable” perpetrator responsible for the acts/omissions coded in the corresponding checked code (CKCDE1F, CKCDE2F, and CKCDE3F). Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-31 through 3-33.)

ESC1F		<u>Endangerment Standard Perpetrator Responsibility Evaluation - 1st Form</u>
ESC2F		<u>Endangerment Standard Harm Requirement Evaluation - 2nd Form, If Applicable</u>
ESC3F		<u>Endangerment Standard Harm Requirement Evaluation - 3rd Form, If Applicable</u>
	Blank	= Inapplicable (for ESC2F and ESC3F, no corresponding form of maltreatment)
	1	= Reliable evidence of in-scope perpetrator responsibility
	2	= Information incomplete, but in-scope responsibility likely
	3	= Responsibility doubtful
	4	= Out-of-scope

ENDANGERMENT STANDARD COUNTABILITY ASSESSMENT

CPS Transmittal Form, Item Labeled “Endangerment Standard Evaluation: ES Countability”

Overall assessment of the likelihood that the maltreatment coded in the corresponding checked code (CKCDE1F, CKCDE2F, or CKCDE3F) fit all the requirements of the Endangerment Standard. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-34 through 3-36.)

ESD1F		Endangerment Standard Countability Assessment - 1st Form
ESD2F		Endangerment Standard Countability Assessment - 2nd Form, If Applicable
ESD3F		Endangerment Standard Countability Assessment - 3rd Form, If Applicable
	Blank	= Inapplicable (for ESD2F and ESD3F, no corresponding form of maltreatment)
	1	= Very probable
	2	= Probable
	3	= Insufficient information
	4	= Doubtful/unlikely
	7	= Probable except for time
	8	= Situation out-of-scope
	9	= Child out-of-scope

ESE

Overall Endangerment Standard Countability Assessment of Child  
CPS Transmittal Form, Item Labeled “Harm Standard Evaluation: Overall HS  
Countability of Case”

Overall assessment of the likelihood that this child experienced some form of maltreatment that fit the Endangerment Standard criteria during the study period. Evaluatively coded on the basis of all available information on the data form, including narrative. (See *Analysis Report*, Appendix C, pp. 3-37.)

1	=	Very probable
2	=	Probable
3	=	Insufficient information
4	=	Doubtful/unlikely
7	=	Probable except for time
8	=	Situation out-of-scope
9	=	Child out-of-scope

**MALTREATMENT CHARACTERISTICS:**

SUMMARY CLASSIFICATIONS OF MALTREATMENT COUNTABLE UNDER THE  
HARM STANDARD

The variables in this series (AMALH to ENH) represent summary classifications of the child’s countability under the Harm Standard in different maltreatment categories. They provide summary codes which indicate whether or not the child was countable in the category in question under the Harm Standard criteria, looking across all the forms of maltreatment that were coded for the child (i.e., up to three). The following gives the full algorithm used to create the physical abuse variable, PAH:

Computation of PAH:

If (CKCDE1F = ‘040’ ) and (HSD1F = (‘1’ or ‘2’ or ‘7’))  
Or (CKCDE2F = ‘040’ ) and (HSD2F = (‘1’ or ‘2’ or ‘7’))  
Or (CKCDE3F = ‘040’ ) and ((HSD3F = (‘1’ or ‘2’ or ‘7’))  
Then PAH = 1;

For convenience, the algorithms given below are specified with an abbreviated format, which should be understood as applying to all three maltreatment forms, in sequence. The above code for PAH is abbreviated below to:

If (CKCDE\_F = ‘040’ ) and (HSD\_F = (‘1’ or ‘2’ or ‘7’))  
Then PAH = 1;



AMALH

Child's Countability as Maltreated Under the Harm Standard

Derived

If	(AABUSEH = 1 or ANEGH = 1)
Then	AMALH = 1;

(See below for derivation of AABUSEH and ANEGH.)

1	=	Countable as maltreated under the Harm Standard
0	=	Not countable as maltreated under the Harm Standard

AABUSEH

Child's Countability as Abused Under the Harm Standard

Derived

If	(PAH = 1 or SAH = 1 or EAH = 1)
Then	AABUSEH = 1;

(See below for derivation of PAH, SAH, and EAH.)

1	=	Countable as abused under the Harm Standard
0	=	Not countable as abused under the Harm Standard

PAH

Child's Countability as Physically Abused Under the Harm Standard

Derived

If	(CKCDE_F = '040' and (HSD_F = ('1' or '2' or '7')) )
Then	PAH = 1;

1	=	Countable as physically abused under the Harm Standard
0	=	Not countable as physically abused under the Harm Standard

SAH

Child's Countability as Sexually Abused Under the Harm Standard

Derived

If	(CKCDE_F = ('010' or '011' or '020' or '030' )) and (HSD_F = ('1' or '2' or '7'))
Then	SAH = 1;

1	=	Countable as sexually abused under the Harm Standard
0	=	Not countable as sexually abused under the Harm Standard

EAH

Child's Countability as Emotionally Abused Under the Harm Standard

Derived

If (CKCDE\_F = ('051' or '052' or '060' or '070' )) and  
(HSD\_F = ('1' or '2' or '7'))  
Then EAH = 1;

1 = Countable as emotionally abused under the Harm Standard

0 = Not countable as emotionally abused under the Harm Standard

ANEGH

Child's Countability as Neglected Under the Harm Standard

Derived

If (PNH = 1 or EDNH = 1 or ENH = 1)  
Then ANEGH = 1;

(See below for derivation of PNH, EDNH, and ENH.)

1 = Countable as neglected under the Harm Standard

0 = Not countable as neglected under the Harm Standard

PNH

Child's Countability as Physically Neglected Under the Harm Standard

Derived

If (CKCDE\_F = ('080' or '090' or '101' or '102' or '103' or '110' or  
'120' )) and (HSD\_F = ('1' or '2' or '7'))  
Then PNH = 1;

1 = Countable as physically neglected under the Harm Standard

0 = Not countable as physically neglected under the Harm Standard

EDNH

Child's Countability as Educationally Neglected Under the Harm Standard

Derived

If (CKCDE\_F = ('130' or '141' or '142' )) and  
(HSD\_F = ('1' or '2' or '7'))  
Then EDNH = 1;

1 = Countable as educationally neglected under the Harm Standard

0 = Not countable as educationally neglected under the Harm Standard

ENH

Child's Countability as Emotionally Neglected Under the Harm Standard  
Derived

If	(CKCDE_F = ('151' or '152' or '161' or '162' or '171' or '172' or '173' )) and (HSD_F = ('1' or '2' or '7'))
Then	ENH = 1;

1 = Countable as emotionally neglected under the Harm Standard  
 0 = Not countable as emotionally neglected under the Harm Standard

SUMMARY CLASSIFICATIONS - TYPE OF MALTREATMENT COUNTABLE UNDER THE ENDANGERMENT STANDARD

The variables in the following series (AMALE to OTHMALE) represent summary classifications of the child's countability under the Endangerment Standard in different maltreatment categories. They provide summary codes which indicate whether or not the child was countable in the category in question under the Endangerment Standard criteria, looking across all the forms of maltreatment that were coded for the child (i.e., up to three). The following gives the full algorithm used to create the physical abuse variable, PAE:

Computation of PAE:

If	(CKCDE1F = '040' ) and (ESD1F = ('1' or '2' or '7'))
Or	(CKCDE2F = '040' ) and (ESD2F = ('1' or '2' or '7'))
Or	(CKCDE3F = '040' ) and (ESD3F = ('1' or '2' or '7'))
Then	PAE = 1;

For convenience, the algorithms given below are specified with an abbreviated format, which should be understood as applying to all three maltreatment forms, in sequence. The above derivation for PAE is abbreviated below to:

If	(CKCDE_F = '040' ) and (ESD_F = ('1' or '2' or '7'))
Then	PAE = 1;

AMALE

Child's Countability as Maltreated Under the Endangerment Standard

Derived

If (AABUSEE = 1 or ANEGE = 1 or OTHMALE = 1)  
Then AMALE = 1;

(See below for derivation of AABUSEE, ANEGE, and OTHMALE.)

1 = Countable as maltreated under the Endangerment Standard  
0 = Not countable as maltreated under the Endangerment Standard

AABUSEE

Child's Countability as Abused Under the Endangerment Standard

Derived

If (PAE = 1 or SAE = 1 or EAE = 1)  
Then AABUSEE = 1;

(See below for derivation of PAE, SAE, and EAE.)

1 = Countable as abused under the Endangerment Standard  
0 = Not countable as abused under the Endangerment Standard

PAE

Child's Countability as Physically Abused Under the Endangerment Standard

Derived

If (CKCDE\_F = '040') and (ESD\_F = ('1' or '2' or '7'))  
Then PAE = 1;

1 = Countable as physically abused under the Endangerment Standard  
0 = Not countable as physically abused under the Endangerment Standard

SAE

Child's Countability as Sexually Abused Under the Endangerment Standard

Derived

If (CKCDE\_F = ('010' or '011' or '020' or '030')) and  
(ESD\_F = ('1' or '2' or '7'))  
Then SAE = 1;

1 = Countable as sexually abused under the Endangerment Standard  
0 = Not countable as sexually abused under the Endangerment Standard

EAE Child's Countability as Emotionally Abused Under the Endangerment Standard  
Derived

If (CKCDE_F = ('051' or '052' or '060' or '070' )) and (ESD_F = ('1' or '2' or '7')) Then EAE = 1;
--

1 = Countable as emotionally abused under the  
Endangerment Standard  
0 = Not countable as emotionally abused under the  
Endangerment Standard

ANEGE Child's Countability as Neglected Under the Endangerment Standard  
Derived

If (PNE = 1 or EDNE = 1 or ENE = 1 or OTHNEGE = 1) Then ANEGE = 1;
---

(See below for derivation of PNE, EDNE, ENE, and OTHNEGE.)

1 = Countable as neglected under the Endangerment  
Standard  
0 = Not countable as neglected under the Endangerment  
Standard

PNE Child's Countability as Physically Neglected Under the Endangerment Standard  
Derived

If (CKCDE_F = ('080' or '090' or '101' or '102' or '103' or '110' or '120' )) and (ESD_F = ('1' or '2' or '7')) Then PNE = 1;
---

1 = Countable as physically neglected under the  
Endangerment Standard  
0 = Not countable as physically neglected under the  
Endangerment Standard

EDNE Child's Countability as Educationally Neglected Under the Endangerment Standard  
Derived

If	(CKCDE_F = ('130' or '141' or '142' )) and (ESD_F = ('1' or '2' or '7'))
Then	EDNE = 1;

1 = Countable as educationally neglected under the  
Endangerment Standard\*

0 = Not countable as educationally neglected under the  
Endangerment Standard

ENE Child's Countability as Emotionally Neglected Under the Endangerment Standard  
Derived

If	(CKCDE_F = ('151' or '152' or '161' or '162' or '171' or '172' or '173' )) and (ESD_F = ('1' or '2' or '7'))
Then	ENE = 1;

1 = Countable as emotionally neglected under the  
Endangerment Standard

0 = Not countable as emotionally neglected under the  
Endangerment Standard

OTHNEGE Child's Countability as Neglected Under the Endangerment Standard in Ways Not Specified  
Derived

If	(CKCDE_F = '190' ) and (ESD_F = ('1' or '2' or '7'))
Then	OTHNEGE = 1;

1 = Countable as neglect under the Endangerment Standard  
in ways not specified

0 = Not countable as neglect under the Endangerment  
Standard in ways not specified

---

\* Countability Criteria for this category are the same under both the Harm Standard and the Endangerment Standard..

OTHMALE Child's Countability as Maltreated Under the Endangerment Standard in Ways Not Specified  
Derived

If (CKCDE\_F = '201') and (ESD\_F = ('1' or '2' or '7'))  
Then OTHMALE = 1;

1 = Countable as maltreated under the Endangerment Standard in ways not specified  
0 = Not countable as maltreated under the Endangerment Standard in ways not specified

SEVER1 Most Severe Outcome from Any Harm Standard Maltreatment  
Derived

SEVER1 represents a summary classification for the most severe injury/impairment resulting from maltreatment countable under the Harm Standard. All forms of maltreatment that were countable under the Harm Standard was identified, and the severity codes were compared. The most severe level of injury/impairment was used to represent the SEVER1 value for the child.

That is, HSD1F, HSD2F, and HSD3F were examined and, for those with values of 1 or 2 or 7, the corresponding severity evaluations (i.e., SEVEV1F, SEVEV2F and/or SEVEV3F) were compared. The SEVEV\_F value with the lowest numerical code value (i.e., most severe injury/impairment) was assigned as the child's SEVER1 value. *SEVER1 should only be used with AMALH and never with any of the subsidiary maltreatment categories.*

Blank = Child was not countable under the Harm Standard  
1 = Fatal injury/harm  
2 = Serious injury/harm  
3 = Moderate injury/harm  
4 = Inferred or Probable injury/harm

SEVER2 Most Severe Outcome from Endangerment Standard Maltreatment  
Derived

SEVER2 represents a summary classification for the most severe injury/impairment resulting from maltreatment countable under the Endangerment Standard. Each form of maltreatment which was countable under the Endangerment Standard was identified, and the severity codes were compared. An algorithm analogous to SEVER1 was created based on ESD1F,

(SEVER2 continues on the next page)

(SEVER2 continued)

ESD2F, and ESD3F. The most severe level of injury/impairment was used to represent the SEVER2 value for the child.

Specifically, ESD1F, ESD2F, and ESD3F were examined and, for those with values of 1 or 2 or 7, the corresponding severity evaluations (i.e., SEVEV1F, SEVEV2F and/or SEVEV3F) were compared. The SEVEV\_F value with the lowest numerical code value (i.e., most severe injury/impairment) was assigned as the child's SEVER2 value. SEVER2 should only be used with AMALE and never with any of the subsidiary maltreatment categories.

Blank	=	Child was not countable under the Endangerment Standard
1	=	Fatal injury/harm
2	=	Serious injury/harm
3	=	Moderate injury/harm
4	=	Inferred or Probable injury/harm
5	=	Endangered

**WEIGHTING VARIABLES:**

DUPFWT                      Duplicated Final Weight

This weight has a value for every record in this database. The records reflect the number of child-listings in investigations of cases that were reported to CPS during the study data period. This weight applies incorporates the child's probability of selection into the CPS Long Form sample, including the sampling of the county and of the investigation within the CPS agency. This weight also includes a nonresponse adjustment to correct for missing CPS case records and an annualization multiplier to provide annual estimates based on the 3-month NIS-3 data period.

This weight produces estimates in terms of the number of investigations of children. That is, it does not adjust for multiple listings of a child in different investigations, so it does not provide unduplicated child-level estimates. Users who prefer unduplicated child estimates should use UNIFWT instead.

Analyses must always use one of the two versions of final weights, DUPFWT or UNIFWT, as discussed in Part 1 of this manual.

FINWT already includes the PSU weight (PSUWGT) and the annualization factor (ANNFAC), which are described below. (Those components are provided for specialized analyses only).

#####                      =                      Actual Value



DUPPLICATED REPLICATE WEIGHTS

As described in the introductory chapter of this manual, the fact that the NIS uses a multi-stage sample design means that standard statistical packages do not appropriately compute the variances of study estimates (or the significance of differences). The significance tests reported in the NIS-3 *Final Report* were computed using a replication approach, the jackknife.\* Use of this approach requires replicate weights. The replicate weights in this series were developed for computing standard errors and significance tests for estimates based on the Duplicated Final Weight (above). They should be used in accordance with the guidelines provided in Part 1 and the instructions given in Part 5 of this manual.

DUPFWT1	<u>First Duplicated Replicate Weight</u>
DUPFWT2	<u>Second Duplicated Replicate Weight</u>
DUPFWT3	<u>Third Duplicated Replicate Weight</u>
DUPFWT4	<u>Fourth Duplicated Replicate Weight</u>
DUPFWT5	<u>Fifth Duplicated Replicate Weight</u>
DUPFWT6	<u>Sixth Duplicated Replicate Weight</u>
DUPFWT7	<u>Seventh Duplicated Replicate Weight</u>
DUPFWT8	<u>Eighth Duplicated Replicate Weight</u>
DUPFWT9	<u>Ninth Duplicated Replicate Weight</u>
DUPFWT10	<u>Tenth Duplicated Replicate Weight</u>
DUPFWT11	<u>Eleventh Duplicated Replicate Weight</u>
DUPFWT12	<u>Twelfth Duplicated Replicate Weight</u>
DUPFWT13	<u>Thirteenth Duplicated Replicate Weight</u>
DUPFWT14	<u>Fourteenth Duplicated Replicate Weight</u>
DUPFWT15	<u>Fifteenth Duplicated Replicate Weight</u>
DUPFWT16	<u>Sixteenth Duplicated Replicate Weight</u>

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\* Cf. Kovar, J.G., Rao, J.N.K., & Wu, C.F.J. (1988). Bootstrap and other method to measure errors in survey estimates. *Canadian Journal of Statistics*, 16 (suppl.), 25-45.

DUPFWT17	<u>Seventeenth Duplicated Replicate Weight</u>
DUPFWT18	<u>Eighteenth Duplicated Replicate Weight</u>
DUPFWT19	<u>Nineteenth Duplicated Replicate Weight</u>
DUPFWT20	<u>Twentieth Duplicated Replicate Weight</u>
DUPFWT21	<u>Twenty-first Duplicated Replicate Weight</u>

##### = Actual Value

UNIFWT Unduplicated Final Weight

This weight has a value for only those records in this database that were selected to represent the unduplicated children in the CPS Long Form sample. The records with values for this weighting variable reflect the unique children reported to CPS during the study data period who were sampled into the study for CPS Long Form completion. This weight applies incorporates the child's probability of selection into the CPS Long Form sample, including the sampling of the county and of the investigation within the CPS agency and correcting for the child's multiple chances of selection into the study because of his or her duplicate listings in more than one CPS investigation. This weight also includes a nonresponse adjustment to correct for missing CPS case records and an annualization multiplier to provide annual estimates based on the 3-month NIS-3 data period.

This weight produces estimates in terms of the unduplicated number of children, who were listed in CPS investigations. In order to produce estimates of this type using WesVarPC (see Part 5), it is necessary to subset the data to only those records with a value for this variable.

Users who prefer estimates in terms of the number of investigations of children (i.e., where children are counted as many times as they were listed in investigations) should use the weight DUPFWT instead.

Analyses must always use one of the two versions of final weights, DUPFWT or UNIFWT, as discussed in Part 1 of this manual.

UNIFWT already includes the PSU weight (PSUWGT) and the annualization factor (ANNFAC), which are described below. (Those components are provided for specialized analyses only).

##### = Actual Value

UNDUPLICATED REPLICATE WEIGHTS

As described in the introductory chapter of this manual, the fact that the NIS uses a multi-stage sample design means that standard statistical packages do not appropriately compute the variances of study estimates (or the significance of differences). The significance tests reported in the NIS-3 *Final Report* were computed using a replication approach, the jackknife.\* Use of this approach requires replicate weights. The replicate weights in this series were developed for computing standard errors and significance tests for estimates based on the Unduplicated Final Weight (above). They should be used in accordance with the guidelines provided in Part 1 and the instructions given in Part 5 of this manual.

UNIFWT1	<u>First Unduplicated Replicate Weight</u>
UNIFWT2	<u>Second Unduplicated Replicate Weight</u>
UNIFWT3	<u>Third Unduplicated Replicate Weight</u>
UNIFWT4	<u>Fourth Unduplicated Replicate Weight</u>
UNIFWT5	<u>Fifth Unduplicated Replicate Weight</u>
UNIFWT6	<u>Sixth Unduplicated Replicate Weight</u>
UNIFWT7	<u>Seventh Unduplicated Replicate Weight</u>
UNIFWT8	<u>Eighth Unduplicated Replicate Weight</u>
UNIFWT9	<u>Ninth Unduplicated Replicate Weight</u>
UNIFWT10	<u>Tenth Unduplicated Replicate Weight</u>
UNIFWT11	<u>Eleventh Unduplicated Replicate Weight</u>
UNIFWT12	<u>Twelfth Unduplicated Replicate Weight</u>
UNIFWT13	<u>Thirteenth Unduplicated Replicate Weight</u>
UNIFWT14	<u>Fourteenth Unduplicated Replicate Weight</u>
UNIFWT15	<u>Fifteenth Unduplicated Replicate Weight</u>
UNIFWT16	<u>Sixteenth Unduplicated Replicate Weight</u>
UNIFWT17	<u>Seventeenth Unduplicated Replicate Weight</u>

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\* *Ibid.*

UNIFWT18	<u>Eighteenth Unduplicated Replicate Weight</u>
UNIFWT19	<u>Nineteenth Unduplicated Replicate Weight</u>
UNIFWT20	<u>Twentieth Unduplicated Replicate Weight</u>
UNIFWT21	<u>Twenty-first Unduplicated Replicate Weight</u>

##### = Actual Value

PSU Primary Sampling Unit (PSU)  
Assigned on the basis of the sample design.

This variable is provided in this database to permit specialized use of the PSUWGT variable, which is given below. User's who are implement that specialized use should first subset the database to the PSU of interest in their analyses. Note that the variable COUNTY is closely related, but provides the two further distinctions between counties that were paired members of the same PSU. See *Sample Selection Report* and *Analysis Report*.

01	=	Maricopa, AZ
02	=	Ouachita, AR
03	=	Kern, CA
04	=	Los Angeles, CA
05	=	Sacramento, CA
06	=	San Bernardino, CA
07	=	Fairfield, CT
08	=	Dade, FL
09	=	Palm Beach, FL
10	=	Dawson, GA
		Gilmer, GA
11	=	Cook, IL
12	=	Marion, IN
13	=	Keokuk, IA
		Louisa, IA
14	=	Plymouth, IA
15	=	Jefferson, KY
16	=	Plymouth, MA
17	=	Carver, MN
18	=	Grenada, MS
19	=	Bergen, NJ
20	=	Dona Ana, NM
21	=	Monroe, NY
22	=	New York, NY

(PSU continues on the next page)

(PSU continued)

23	=	Queens, NY
24	=	Burleigh, ND
25	=	Brown, OH
26	=	Fulton, OH
27	=	Warren, OH
28	=	Washington, OH
29	=	Multnomah, OR
30	=	Butler, PA
31	=	Cumberland, PA
32	=	Montgomery, PA
33	=	Lancaster, SC
34	=	Anderson, TN
35	=	Shelby, TN
36	=	Dallas, TX
37	=	Harris, TX
38	=	Montague, TX
39	=	Travis, TX
40	=	Wood, WV

PSUWGT

PSU Weight

See the *Analysis Report* for a detailed description of how this factor was computed. It is already a component of the weighting variables DUPFWT and UNIFWT, described above (as well as of their corresponding replicate weights, DUPFWT1 through DUPFWT21 and UNIFWT1 through UNIFWT21).

It is provided here for *specialized analyses*. Users who require estimates concerning a specific PSU can calculate special within-PSU weights by dividing this variable into UNIFWT (or DUPFWT, as preferred).

**WARNING:** Within-PSU estimates that are based on relatively low numbers of cases are extremely unstable and should not be used. The recommended rule-of-thumb is that estimates based on fewer than 20 cases should not be reported. Also note that replicate weights cannot be used for within-PSU computations. Thus, no provisions are available for computing appropriate variances or conducting appropriate significance tests for within-PSU estimates. (See the discussion in Part 1 of this manual.)

1 - 365.13025787 = Actual Value

ANNFAC

Annualization Factor

See the *Analysis Report* for a detailed description of how this factor was computed. It is already a component of the weighting variables DUPFWT and UNIFWT, described above (as well as of their corresponding replicate weights, DUPFWT1 through DUPFWT21 and UNIFWT1 through UNIFWT21).

It is provided here for *specialized analyses*. Users who require estimates concerning only the three-month data period used in the NIS-3 (i.e., September 5 through December 4, 1993) can calculate special non-annualized weights by dividing this variable into UNIFWT (or DUPFWT, as preferred). To compute appropriate variances and conduct appropriate significance tests for non-annualized estimates, users must also compute a correspondingly-modified series of the appropriate series of replicate variables by dividing this factor into each variable in the replicate weight series (DUPFWT1 through DUPFWT21 or UNIFWT1 through UNIFWT21, as appropriate).

3.1224031215 - 3.8728186541 = Actual Value

## **PART 4**

## PART 4. NIS-3 FINAL ESTIMATE TABLES

The NIS-3 Public Use Files include two Excel spreadsheets, which contain the tables provided in Appendices A and B of the NIS-3 *Final Report*. These files are provided in Excel 4.0 format. The file entitled NS3HARM.XLS contains the Harm Standard estimates, while NS3ENDG.XLS contains the Endangerment Standard estimates. These files include all the information given in the corresponding hard-copy versions of the relevant tables. Tables 4-1 and 4-2 are given here for illustration. Except for the table numbers, these are exact copies of Table A-1A and Table A-1B in Appendix A of the *Final Report*.

The first column in each table indicates the category of maltreatment that is the basis for the estimate (e.g., physical abuse, educational neglect, etc.). Thus, all the children who fit the Harm Standard in any way were included in the first row of these tables. The second column provides the estimate of interest. When the table number ends with the letter 'A' (as for Table A-1A here), the estimates are incidence rates (i.e., the estimated number of maltreated children per 1,000 children in the general population). For tables whose numbers end with the letter 'B' (as is the case in Table A-1B), the estimates are totals (i.e., the estimated total number of children who experienced the maltreatment in question during the NIS-3 study year, 1993).

The third column provides the standard error for the estimate, computed using WesVar in order to take the multi-stage nature of the sample design into consideration appropriately. The fourth column is titled the "Coefficient of Variation." This measure is sometimes referred to as the "C.V.", and it is a commonly used index of precision. It is computed by dividing the standard error by the estimate and expressing the result as a percentage. For instance, the first row in Table A-1A shows that an estimated 23.1411 children per 1,000 experienced some form of Harm Standard maltreatment during the NIS-3 study year. This had a standard error of 3.65339. The coefficient of variation was 15.7874, which is equivalent to  $100 \times (3.65339/23.1411)$ . Generally, C.V. values in the range of 15 to 20 percent are considered acceptable in survey research, whereas those that approach or exceed 50 percent are regarded as unacceptable. Note that the latter reflect estimates that are highly unreliable and which are associated with 95-percent confidence intervals that include zero.

The fifth and sixth columns provide the upper and lower 95-percent confidence bounds for the estimate. These bounds are computed using the *t*-value associated with 21 degrees of freedom, which



is the number of replicate weights computed for the NIS-3 data. The t-value required for significance at  $p=0.05$  level with 21 degrees of freedom is 2.0796. This quantity is multiplied by the standard error and subtracted from the estimate to compute the lower bound, and it is multiplied by the standard error and added to the estimate to calculate the upper bound. Thus, the lower bound on the estimate of 23.1411 children, discussed above, was computed as 23.1411 minus  $3.65339 \times 2.0796$ , or 15.5435, whereas the upper bound was computed as 23.1411 plus  $3.65339 \times 2.0796$ , or 30.7387.

TABLE 4-1.

CATEGORIES OF MALTREATMENT AND SEVERITY FOR CHILDREN COUNTABLE UNDER THE HARM  
STANDARD: INCIDENCE RATES (Per 1.000 Children)

CATEGORY OF MALTREATMENT OR SEVERITY	ESTIMATED INCIDENCE RATE	STANDARD ERROR	COEFFICIENT OF VARIATION	LOWER CONFIDENCE LIMIT	UPPER CONFIDENCE LIMIT
ALL MALTREATED	23.1411	3.65339	15.7874	15.5435	30.7387
ALL ABUSED	11.0693	1.74363	15.7519	7.4432	14.6954
PHYSICALLY ABUSED	5.6844	0.88795	15.6207	3.8378	7.5310
SEXUALLY ABUSED	3.2416	0.66203	20.4227	1.8648	4.6184
EMOTIONALLY ABUSED	3.0455	0.59035	19.3845	1.8178	4.2732
ALL NEGLECTED	13.0913	2.33884	17.8656	8.2274	17.9552
PHYSICALLY NEGLECTED	5.0472	1.09628	21.7205	2.7674	7.3270
EDUCATIONALLY NEGLECTED	5.9175	1.16725	19.7254	3.4901	8.3449
EMOTIONALLY NEGLECTED	3.1700	0.69906	22.0525	1.7162	4.6237
SEVERITY, FATAL	0.0227	0.01059	46.5989	0.0007	0.0447
SEVERITY, SERIOUS	8.4141	1.63968	19.4874	5.0041	11.8240
SEVERITY, MODERATE	12.2422	1.92859	15.7536	8.2315	16.2530
SEVERITY, INFERRED	2.4621	0.43040	17.4811	1.5670	3.3572

TABLE 4-2.

CATEGORIES OF MALTREATMENT AND SEVERITY FOR CHILDREN COUNTABLE UNDER THE HARM  
STANDARD: ESTIMATED TOTALS

CATEGORY OF MALTREATMENT OR SEVERITY	ESTIMATED TOTAL	STANDARD ERROR	COEFFICIENT OF VARIATION	LOWER CONFIDENCE LIMIT	UPPER CONFIDENCE LIMIT
ALL MALTREATED	1,553,786.0	245,303.10	15.7874	1,043,650.2	2,063,921.8
ALL ABUSED	743,238.3	117,074.20	15.7519	499,769.1	986,707.5
PHYSICALLY ABUSED	381,675.0	59,620.27	15.6207	257,687.8	505,662.2
SEXUALLY ABUSED	217,654.8	44,451.10	20.4227	125,213.7	310,095.9
EMOTIONALLY ABUSED	204,485.5	39,638.43	19.3845	122,052.9	286,918.1
ALL NEGLECTED	879,002.7	157,039.20	17.8656	552,421.7	1,205,583.7
PHYSICALLY NEGLECTED	338,888.2	73,608.37	21.7205	185,811.2	491,965.2
EDUCATIONALLY NEGLECTED	397,323.3	78,373.64	19.7254	234,336.4	560,310.2
EMOTIONALLY NEGLECTED	212,843.9	46,937.40	22.0525	115,232.2	310,455.6
SEVERITY, FATAL	1,525.6	710.92	46.5989	47.2	3,004.1
SEVERITY, SERIOUS	564,952.7	110,094.50	19.4874	335,998.6	793,906.8
SEVERITY, MODERATE	821,992.3	129,493.40	15.7536	552,696.0	1,091,288.6
SEVERITY, INFERRED	165,315.2	28,898.96	17.4811	105,216.5	225,413.9

**PART 5**

## PART 5. USING WESVARPC WITH THE NIS-3 PUBLIC USE FILES

All of the estimates, rates, and statistical comparisons in the *Final Report* were produced using WesVarPC. WesVarPC is a software package that computes estimates and replicate variance estimates for data collected using complex sampling and estimation procedures. WesVarPC can be obtained via the internet or on diskette. Internet users can find WesVarPC on Westat's home page on the World Wide Web<sup>i</sup> Other users can contact Westat directly to receive WesVarPC on diskette.<sup>ii</sup> Both methods include complete directions regarding installation.

This part of the NIS-3 Public Use Files Manual provides instructions on how to set up the NIS-3 Main Public Use File for analysis within the WesVarPC program; how to compute estimated totals, together with their correct standard errors and confidence intervals; how to calculate estimated rates per 1,000 children, together with their correct standard errors and confidence intervals for the rate estimates; and how to test the significance of between-group differences in incidence rates.

### 5.1 Importing NIS-3 Public Use Files

WesVarPC operates in a windows-based environment. Once WesVarPC has been installed, select the WesVarPC icon created during the installation process to run the program. To import the NIS-3 Main Public Use File, select "Import Data File" from the Prep menu and identify the SAS or SPSS version of this file. Once the file has been imported, all of the variables contained in the NIS-3 Main Public Use File should appear in the SOURCE VARIABLES box. These variables need to be moved into the other boxes on the screen as follows:

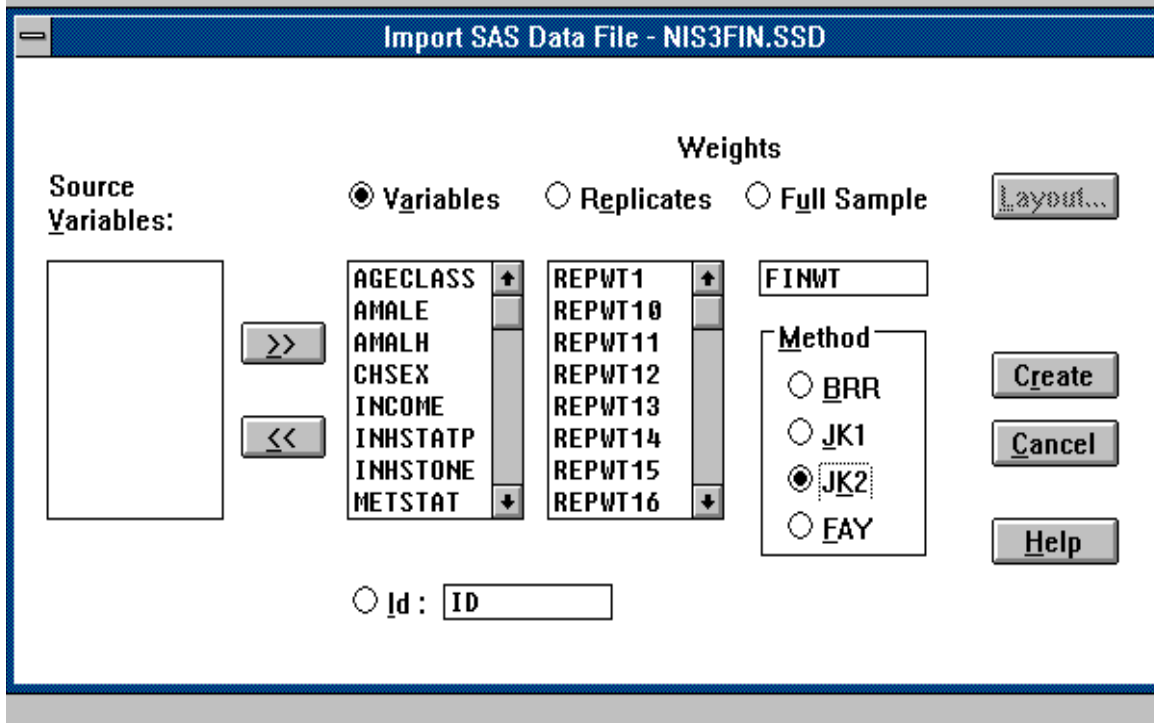
- Move the "ID" variable to the ID box.
- Move the "FINWT" variable to the FULL SAMPLE box.
- Move the replicate weight variables (*REPWT1* - *REPWT21*) to the REPLICATES box.
- Move the remaining variables to the VARIABLES box.
- Select JK2 in the METHOD box.

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<sup>i</sup> [www.westat.com](http://www.westat.com)

<sup>ii</sup> WesVarPC, c/o Maida Montes, Westat Inc., 1650 Research Boulevard, Rockville, MD 20850.

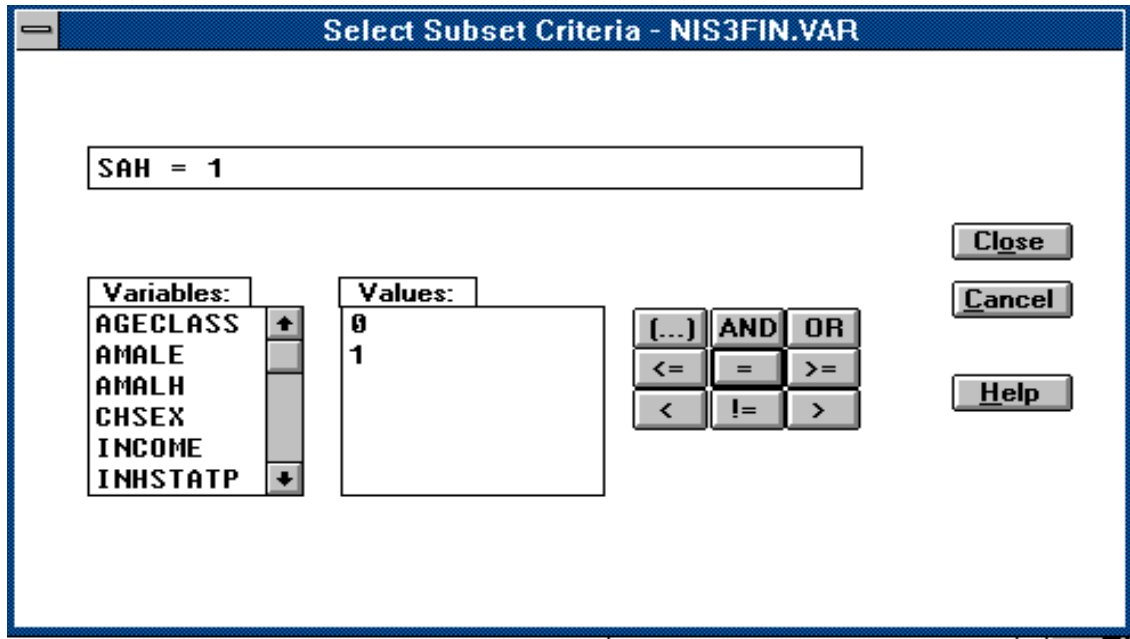
The “Import SAS Data File” window is shown below. For directions on moving variables from one box to another consult the WesVarPC documentation. Once all of the variables have been moved and the model selected, click on the CREATE button to create a WesVarPC version of the NIS-3 Main Public Use File. WesVarPC will ask users to name the new data file and give the file a .var extension.



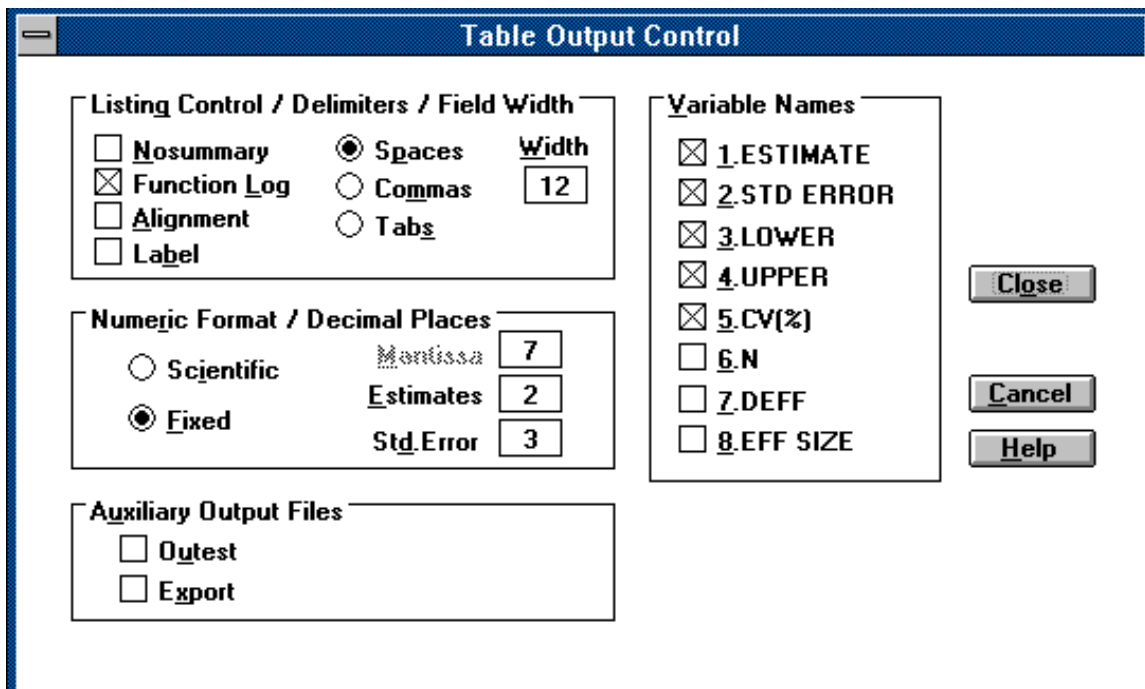
## 5.2 Obtaining Overall Estimates with the NIS-3 Main Public Use File

Both estimates and rates are produced from the “Tables” menu. The following instructions demonstrate how to use the NIS-3 Main Public Use File to produce an estimate of the total number of children who experienced Harm Standard sexual abuse.

Select “Tables” from the main menu and then choose NEW. Choose the appropriate data file (the one you named in following the instructions under Section 5.1) and click on the OK button. Click on the SUBSET button and type SAH=1 in the center box to subset the data to Harm Standard sexual abuse. The “Subset” window is shown on the next page.



Once the subset is completed, click on the CLOSE button to return to the “Table Request” window. From this window click on the OUTPUT button to specify which output variables WesVarPC should produce. The output specifications for the estimates and rates in the *Final Report* appendices included the estimate, standard error, lower confidence interval, upper confidence interval, and coefficient of variation. Click on the boxes next to these options in the “Variable Names” box. The resulting “Output Control” window is shown below.



Click on the CLOSE button to return to the “Table Request” window. Before running the table request, the degrees of freedom need to be specified. The degrees of freedom are based on the number of replicate weights (21) and should be entered in the “Options” box. Save the table request by clicking on the SAVE button. Run the table request by clicking on the RUN button. Once WesVarPC has finished calculating the estimate, click on the VIEW button to look at the output. The output is shown below.

```

Browse
File
PC WESVAR VERSION NUMBER:      2.02
TIME THE JOB EXECUTED:        11:53:21 02/03/97
INPUT DATASET NAME:           C:\NIS-3\NIS3FIN.VAR
OUTPUT LISTING:                C:\NIS-3\CONF\EST.LST

SUBSET CRITERIA IS:           SAH = 1
OPTION NOSUMMARY IS:          OFF
OPTION FUNCTION LOG IS:       ON
OPTION ALIGNMENT IS:          OFF
OPTION EXPORT IS:             OFF
VARIANCE ESTIMATION METHOD:    JK2
FINITE POPULATION CORRECTION FACTOR: 1.00000
VALUE OF ALPHA (CONFIDENCE INTERVAL %): 0.05000 (95.00000 %)
DEGREES OF FREEDOM:          21
t VALUE:                       2.080

OPTION COMPLETE IS:           ON
FULL SAMPLE WEIGHT:           FINWT
REPLICATE WEIGHTS:            REPWT1...REPWT21
ANALYSIS VARIABLES:          None Specified.
COMPUTE STATISTIC:           None Specified.
TABLE REQUESTS:              None Specified.

FACTOR(S):                    1.00

NUMBER OF REPLICATES:         21
NUMBER OF OBSERVATIONS READ:  659
WEIGHTED NUMBER OF OBSERVATIONS READ: 217654.826

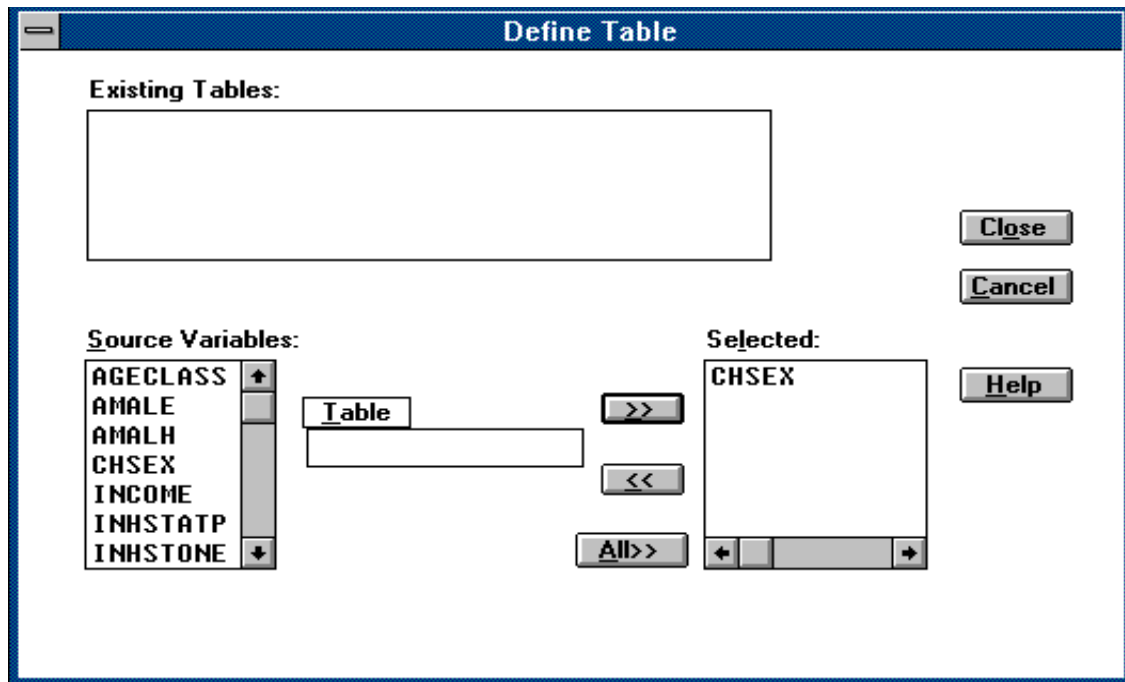
■
STATISTIC  EST_TYPE      ESTIMATE  STDERROR  LOWER  UPPER  CU(%)
SUM_WTS    VALUE          217654.83  44451.099 125213.61 310096.05 20.423

```



### 5.3 Obtaining Estimates for a Subgroup with the NIS-3 Main Public Use File

Estimates for subgroups are also obtained from the “Tables” menu. To determine the estimated numbers of boys and of girls who were sexually abused under the Harm Standard, start with the table request created above with the data subset to children who were sexually abused under the Harm Standard and using the same output options and degrees of freedom specified earlier. From the “Table Request” window, click on the TABLES button and select NEW. From the “Source Variables” box on the left side of the screen, move the *CHSEX* variable first to the “Table” box and then to the “Selected” box. The “Define Table” window is shown below.



Click on the CLOSE button to leave this window. In the “Option” box choose only the value and percent boxes under the “Sum of Weights” option as table output. Click on the CLOSE button again to return to the “Table Request” window. SAVE the new table request with a different name and RUN the request. The output now includes a breakdown of the overall estimated total by sex, as shown on the next page.

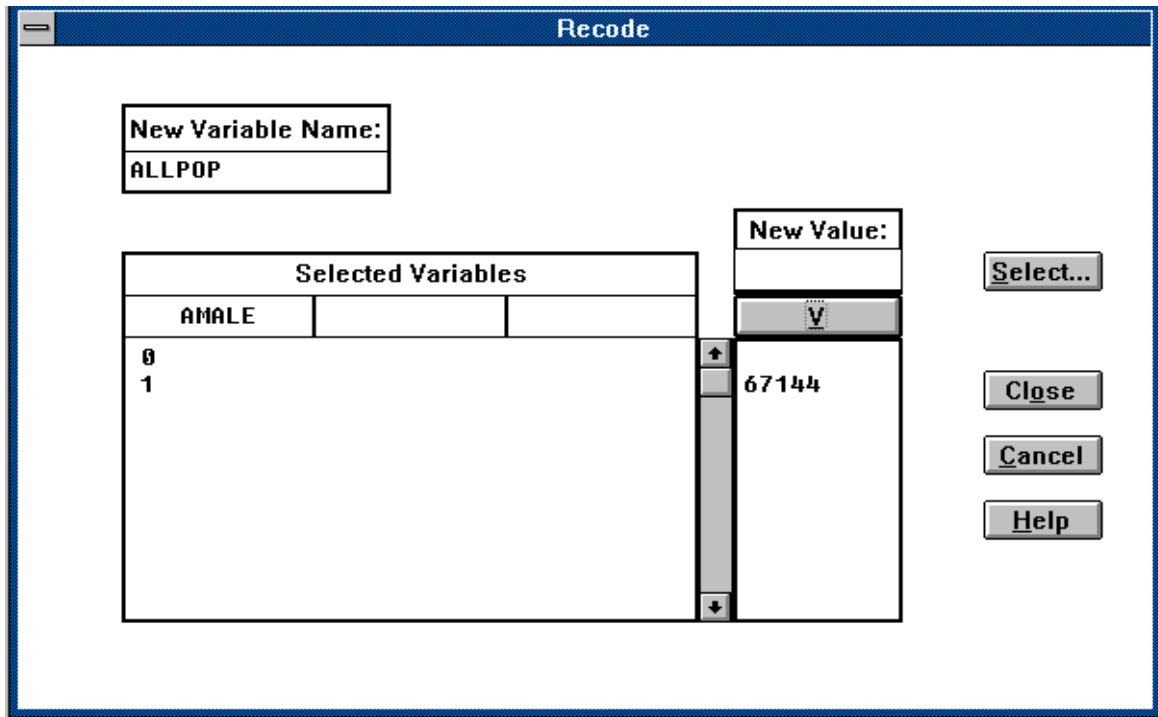
Browse							
File							
OPTION EXPORT IS:							
VARIANCE ESTIMATION METHOD:							
FINITE POPULATION CORRECTION FACTOR:							
VALUE OF ALPHA (CONFIDENCE INTERVAL %):							
DEGREES OF FREEDOM:							
t VALUE:							
OPTION COMPLETE IS:							
FULL SAMPLE WEIGHT:							
REPLICATE WEIGHTS:							
ANALYSIS VARIABLES:							
COMPUTE STATISTIC:							
TABLE REQUESTS:							
FACTOR(S):							
NUMBER OF REPLICATES:							
NUMBER OF OBSERVATIONS READ:							
WEIGHTED NUMBER OF OBSERVATIONS READ:							
■							
TABLE REQUEST : CHSEX							
CHSEX	STATISTIC	EST_TYPE	ESTIMATE	STDERROR	LOWER	UPPER	CU(%)
1	SUM_WTS	VALUE	55769.64	16143.276	22197.83	89341.46	28.946
2	SUM_WTS	VALUE	161603.89	33957.965	90984.36	232223.42	21.013
9	SUM_WTS	VALUE	281.29	281.608	-304.34	866.93	100.112
MARGINAL	SUM_WTS	VALUE	217654.83	44451.099	125213.61	310096.05	20.423
1	SUM_WTS	PERCENT	25.62	5.254	14.70	36.55	20.503
2	SUM_WTS	PERCENT	74.25	5.265	63.30	85.20	7.091
9	SUM_WTS	PERCENT	0.13	0.132	-0.14	0.40	101.990
MARGINAL	SUM_WTS	PERCENT	100.00	0.000	100.00	100.00	0.000

## 5.4 Obtaining Overall Rates with the NIS-3 Main Public Use File

To produce the estimated rate of Harm Standard sexual abuse per 1,000 children, an overall population variable needs to be created to reflect the total number of children in the general population. This will be attached to the record of every child who was countable as maltreated. To create this variable, select the "Format" window from the main menu, and then "Recodes." Choose the appropriate data file (in this example, the same one you named in section 5.1 earlier) and then click on the OK button. Select NEW. Name the overall population variable *ALLPOP* by typing "ALLPOP" in the "New Variable Name" box. Click on the SELECT button. Move the *AMALE* variable from the "Source Variable" box to the "Selected" box and click on the CLOSE button. Highlight the *AMALE=1* row and then enter

“67,144” in the “New Value” box as the total number of children in thousands. Table 5-1 gives the population totals for computing rates per 1,000 for all maltreated children and for specific subgroups.

Click on the “V” bar to enter the population value. The “Recode” screen appears below.



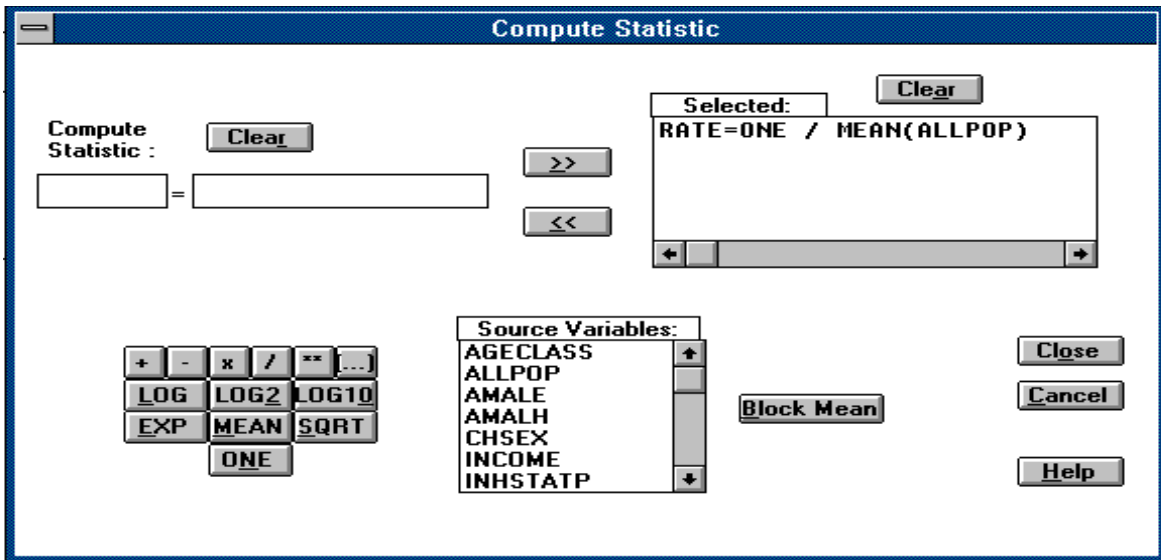
Click on the CLOSE button to exit this window. Click on the CREATE button to create the *ALLPOP* variable. Once the new *ALLPOP* variable has been created, you can request the estimated rate of interest. To do this, select the “Tables” window from the main menu and begin a new table request. You will again subset the data to Harm Standard sexual abuse in the “Subset” window, specify the data items to be produced in the “Output” window, and specify the degrees of freedom in the “options” box in the main “Table Request” window.

To calculate the rate of Harm Standard sexual abuse, click on the COMPUTE button. Name the overall rate of sexual abuse *RATE* by typing “RATE” in the “Compute Statistic” box. Enter ONE/MEAN(ALLPOP) on the other side of the “Compute Statistic” box. This instruction multiplies each child's FINWT by ONE and divides that result by the average of the ALLPOP value across the selected group (here, all children with SAH=1). Because ALLPOP is actually a constant, this essentially divides each child's weight by ALLPOP and produces the sum for the group, which is equivalent to summing the weights within the group and dividing the sum by ALLPOP.

Table 5-1. Population Totals for Computing Rates per 1,000 for All Maltreated Children and Specific Subgroups.

Population Total	Group/Subgroup(s) of Interest	Variable Names and Values	Population Total(s)
ALLPOP	All Maltreated Children		67,144.000
SEXPOP	Sex	CHSEX	
	Male	1	34,429.000
	Female	2	32,713.000
AGEPOP	Age	AGECLASS	
	0-2 Years Old	1	12,194.000
	3-5 Years Old	2	11,504.000
	6-8 Years Old	3	10,991.000
	9-11 Years Old	4	11,351.000
	12-14 Years Old	5	10,785.000
	15-17 Years Old	6	10,319.000
RACEPOP	Race/Ethnicity	RACEETH	
	White	1	54,138.000
	Black	2	9,959.000
	Other	3	3,046.000
INCPOP	Income	INCOME	
	Less than \$15,000	1	13,531.525
	\$15,000-\$29,999	2	14,839.122
	\$30,000 or more	3 and 4	38,773.352
NCHPOP	Number of Children	NCHILD	
	1 Child	1	14,795.411
	2-3 Children	2 to 3	42,592.820
	4 or more Children	4 to 50	9,755.768
METPOP	Metrostatus	METSTAT	
	Major Urban	1	26,282.831
	Urban	2	26,107.615
	Rural	3	14,753.554
PS1POP	Parent Structure 1	INHSTATP	
	One Parent	1	17,966.000
	Two Parents	2	46,467.000
	Neither Parent	3	2,711.000
PS2POP	Parent Structure 2	INHSTONE	
	Mother Only	1	15,785.000
	Father Only	2	2,181.000

Move the entire equation to the “Selected” box by clicking on the RIGHT ARROW button in the middle of the screen. The “Compute Statistic” window is shown below.

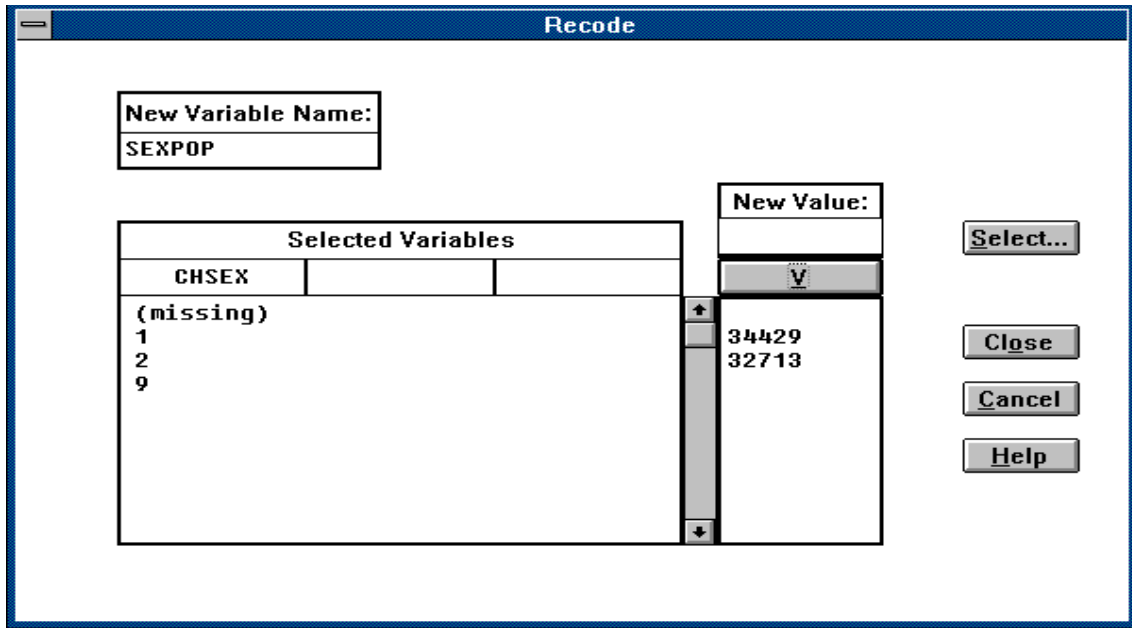


Click on the CLOSE button to return to the “Table Request” menu. SAVE the new table request with a new file name and RUN the request. The output now includes the overall rate of Harm Standard sexual abuse, as seen on the next page.

Browse						
File						
PC WESVAR VERSION NUMBER:		2.02				
TIME THE JOB EXECUTED:		11:59:34	02/03/97			
INPUT DATASET NAME:		C:\NIS-3\NIS3FIN.VAR				
OUTPUT LISTING:		C:\NIS-3\CONF\RATE.LST				
SUBSET CRITERIA IS:		SAH = 1				
OPTION NOSUMMARY IS:		OFF				
OPTION FUNCTION LOG IS:		ON				
OPTION ALIGNMENT IS:		OFF				
OPTION EXPORT IS:		OFF				
VARIANCE ESTIMATION METHOD:		JK2				
FINITE POPULATION CORRECTION FACTOR:		1.00000				
VALUE OF ALPHA (CONFIDENCE INTERVAL %):		0.05000	(95.00000 %)			
DEGREES OF FREEDOM:		21				
t VALUE:		2.080				
OPTION COMPLETE IS:		ON				
FULL SAMPLE WEIGHT:		FINWT				
REPLICATE WEIGHTS:		REPWT1...REPWT21				
ANALYSIS VARIABLES:		None Specified.				
COMPUTE STATISTIC:		RATE=ONE / MEAN(ALLPOP)				
TABLE REQUESTS:		None Specified.				
FACTOR(S):		1.00				
NUMBER OF REPLICATES:		21				
NUMBER OF OBSERVATIONS READ:		659				
WEIGHTED NUMBER OF OBSERVATIONS READ:		217654.826				
■						
STATISTIC	EST_TYPE	ESTIMATE	STDERROR	LOWER	UPPER	CU(%)
SUM_WTS	VALUE	217654.83	44451.099	125213.61	310096.05	20.423
RATE	VALUE	3.24	0.662	1.86	4.62	20.423

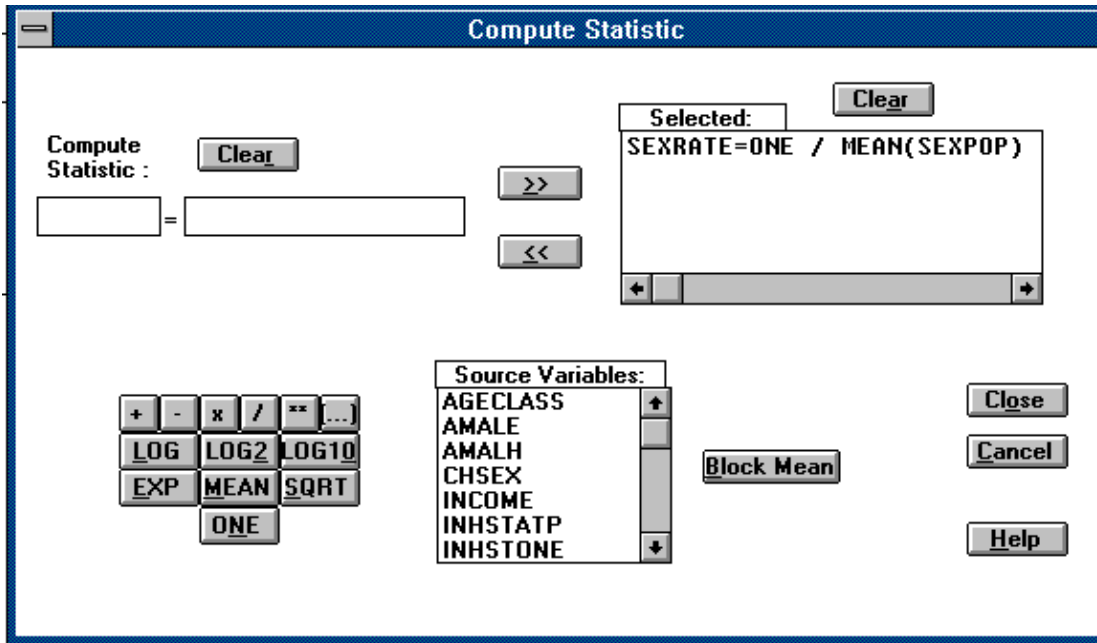
## 5.5 Obtaining Rates for Subgroups with the NIS-3 Main Public Use File

To determine the rate of Harm Standard sexual abuse separately for girls and for boys, a sex population variable needs to be created. For girls, this variable will index the total number of girls in the general population; for boys, it will index the total number of boys in the general population. From the main menu, select the “Format” window and then “Recodes.” Choose the appropriate data file and then click on the OK button. Select NEW. Name the sex population variable *SEXPOP* by typing “SEXPOP” in the “New Variable Name” box. Click on the SELECT button. Move the *CHSEX* variable from the “Source Variable” box to the “Selected” box and click on the CLOSE button. Highlight the row for CHSEX=1 and enter the corresponding population total from Table 1-1 in the “New Value” box. Click on the “V” bar to enter the population value. Repeat this for the CHSEX=2 row. The “Recode” window is shown on the next page.

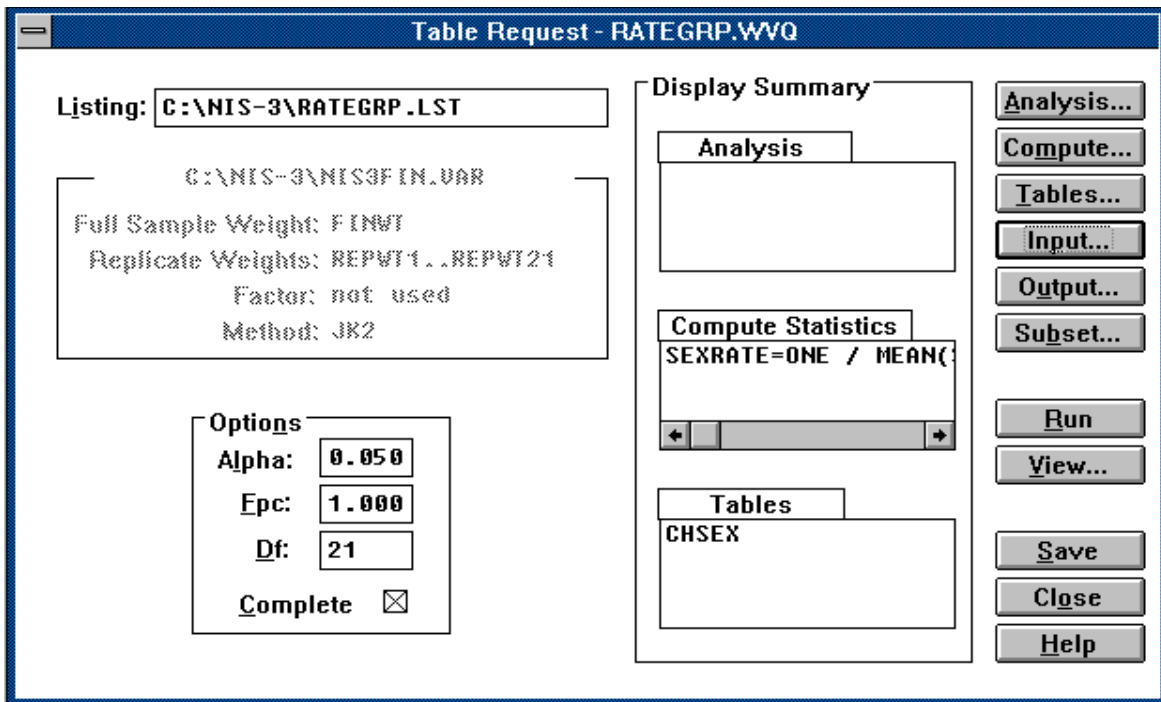


Click on the CLOSE button to exit this window. Click on the CREATE button to create the *SEXPOP* variable. Once the *SEXPOP* variable has been created, return to the “Tables” window and begin a new table request. Again, subset the data to Harm Standard sexual abuse in the “Subset” window, specify the data items that will be produced in the “Output” window, and specify the degrees of freedom in the “Options” box in the main “Table Request” window.

Click on the COMPUTE button to calculate the rate of sexual abuse by sex. Name the within-sex rate of sexual abuse by typing “SEXRATE” in the “Compute Statistic” box. Enter ONE/MEAN(SEXPOP) on the other side of the “Compute Statistic” box. Move the entire equation to the “Selected” box by clicking on the RIGHT ARROW button in the middle of the screen. The “Compute Statistic” window is shown on the following page.



Click on the CLOSE button to return to the “Table Request” window. From this window, click on the TABLES button, and then NEW to specify the subgroup. Move the *CHSEX* variable from the “Source Variable” box to the “Tables” box and then to the “Selected” box. Click on the CLOSE button to return to the “Tables” window and click on the CLOSE button again to return to the “Table Request” window. The “Table Request” window is shown below.



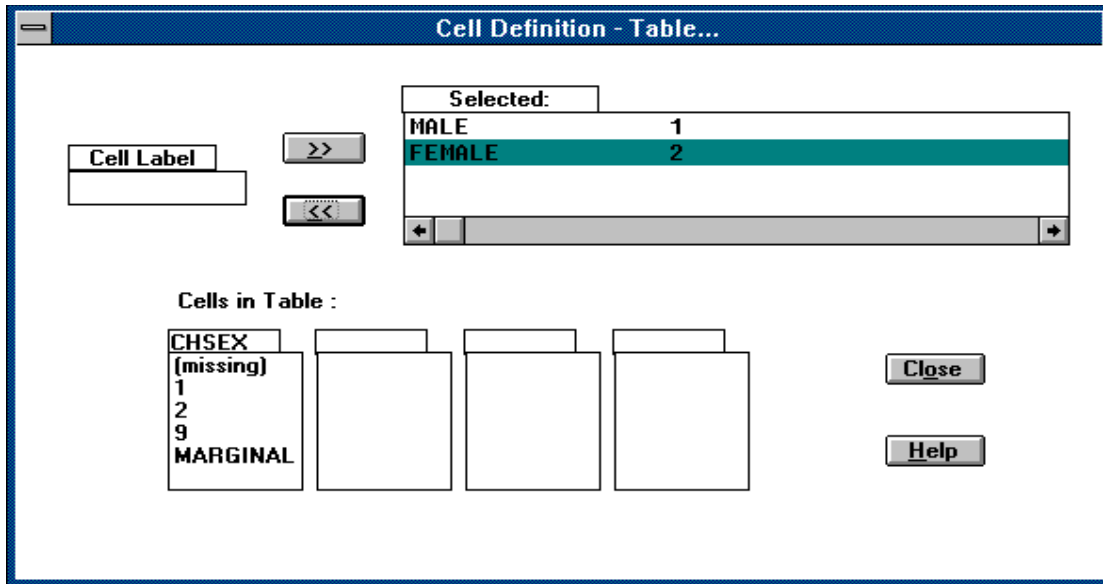


SAVE the new table request and RUN the request. The output now includes the rate of Harm Standard sexual abuse by sex, as shown below.

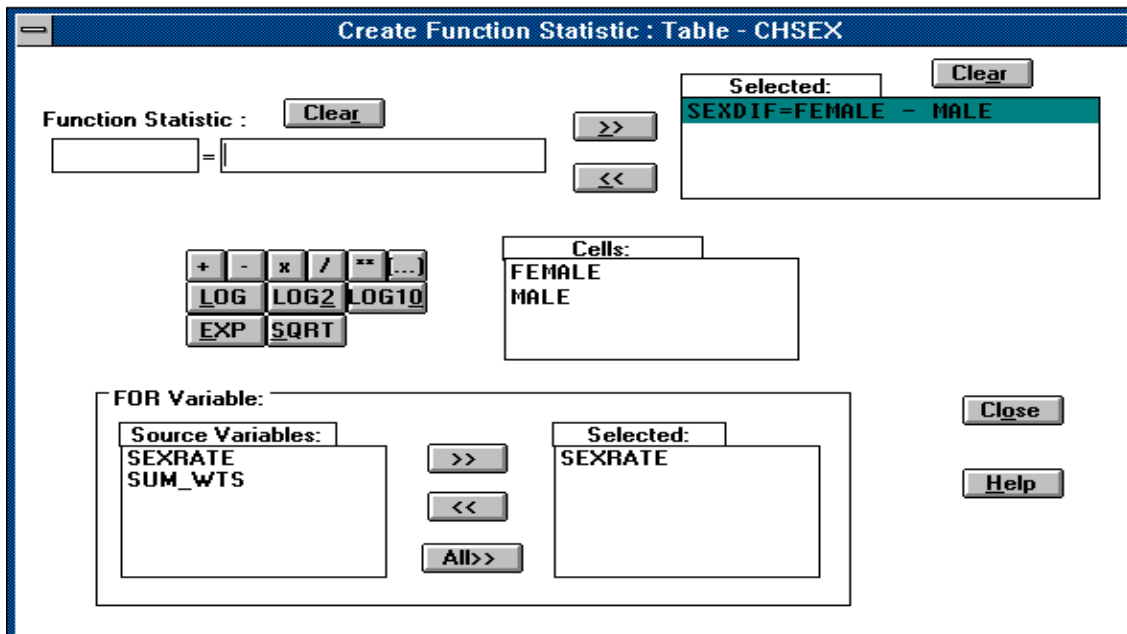
Browse							
File							
FULL SAMPLE WEIGHT:	FINWT						
REPLICATE WEIGHTS:	REPWT1...REPWT21						
ANALYSIS VARIABLES:	None Specified.						
COMPUTE STATISTIC:	SEXRATE=ONE / MEAN(SEXPOP)						
TABLE REQUESTS:	CHSEX						
FACTOR(S):	1.00						
NUMBER OF REPLICATES:	21						
NUMBER OF OBSERVATIONS READ:	659						
WEIGHTED NUMBER OF OBSERVATIONS READ:	217654.826						
■							
TABLE REQUEST : CHSEX							
CHSEX	STATISTIC	EST_TYPE	ESTIMATE	STDERROR	LOWER	UPPER	CV(%)
1	SUM_WTS	VALUE	55769.64	16143.276	22197.83	89341.46	28.946
2	SUM_WTS	VALUE	161603.89	33957.965	90984.36	232223.42	21.013
9	SUM_WTS	VALUE	0.00	0.000	0.00	0.00	0.000
MARGINAL	SUM_WTS	VALUE	217373.53	44403.234	125031.85	309715.21	20.427
1	SUM_WTS	PERCENT	25.66	5.263	14.71	36.60	20.515
2	SUM_WTS	PERCENT	74.34	5.263	63.40	85.29	7.080
9	SUM_WTS	PERCENT	0.00	0.000	0.00	0.00	0.000
MARGINAL	SUM_WTS	PERCENT	100.00	0.000	100.00	100.00	0.000
1	SEXRATE	VALUE	1.62	0.469	0.64	2.59	28.946
2	SEXRATE	VALUE	4.94	1.038	2.78	7.10	21.013
9	SEXRATE	VALUE	-	-	-	-	-
MARGINAL	SEXRATE	VALUE	6.56	1.338	3.77	9.34	20.410
Warning: One observation was excluded from the preceding table. This observation was excluded because it contained one or more requested variables with missing values.							

## 5.6 Computing Differences in Rates for a Subgroup with the NIS-3 Main Public Use File

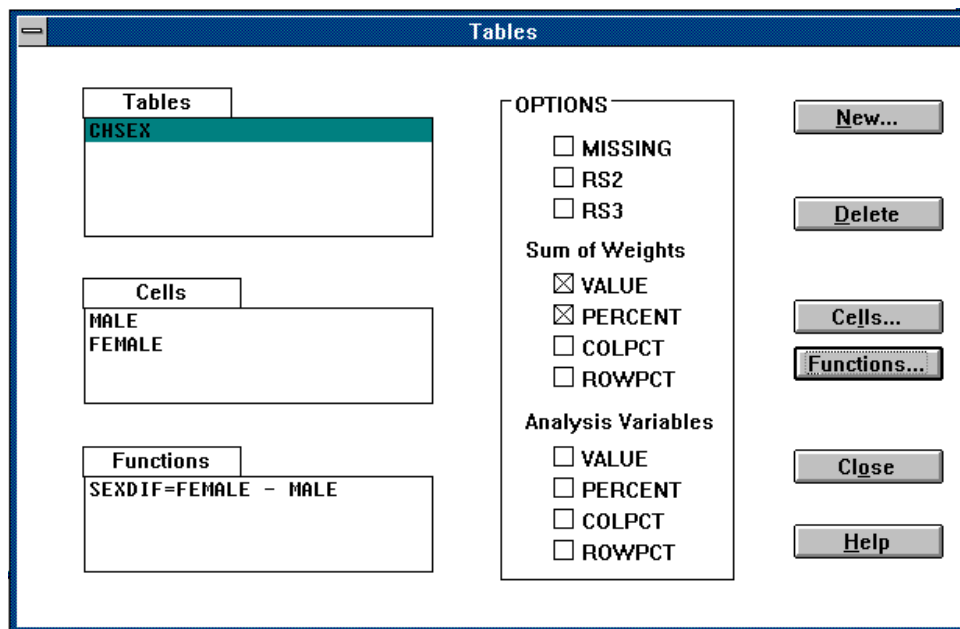
The significance of the difference in rates between subgroups of NIS-3 children was also computed for the *Final Report* by using WesVarPC. Starting with the table request from the previous section, select “Tables” from the main “Table Request” window. The “Cells” window allows users to define the table cells, in this case the one-dimensional gender table. Choose the CELLS button. In the “Cell Label” box type MALE and then click on CHSEX=1 in the “Cells in Table” box. Click on the “Right Arrow” button to label this cell. The label should appear in the “Selected” box. To label the females, type FEMALE in the “Cell Label” box and then click on CHSEX=2 in the “Cells in Table” box. Again, click on the “Right Arrow” button to label this cell. Both labels should now appear in the “Selected” box. The “Cell Definition-Table” window appears on the next page.



Click on the CLOSE button to return to the “Tables” window. The “Function” window allows users to compute the difference in estimates for different cells of the table. Click on the FUNCTIONS button to enter this window from the “Tables” window. Name the difference in the rate of Harm Standard sexual abuse between males and females *SEXDIF* by typing “SEXDIF” in the “Function Statistics” box on the left-hand side of the equation. On the right-hand side of the equation, type “MALE - FEMALE” to complete the difference calculation. Move the equation to the “Selected” box by clicking on the RIGHT ARROW button. On the bottom of this screen, move the SEXRATE variable from the “Source Variable” box to the “Selected” box by highlighting it and clicking on the RIGHT ARROW button. Move the SUM\_WGTS variable from the “Selected” box to the “Source Variables” box by highlighting it and clicking on the LEFT ARROW button. The difference function will now be calculated on the rate. The “Create Function Statistic” window is shown on the following page.



Click on the CLOSE button to return to the “Tables” window. The “Tables” window is shown below. The two defined cells, MALE and FEMALE, should appear in the “Cells” box, and the difference function, FEMALE - MALE, should appear in the “Functions” box.



Click on the CLOSE button to return to the main “Table Request” window. SAVE the new table request and RUN the request. The output now includes the difference between males and females in the rate of Harm Standard sexual abuse, as shown on the following page. To determine whether this

difference is statistically significant divide the estimate by the standard error, and compare the resulting *t*-value to the significance level for this sex difference in Appendix C of the *Final Report*.

Browse							
File							
TABLE REQUEST : CHSEX							
Cell Definition:							
MALE : CHSEX = 1							
FEMALE : CHSEX = 2							
Function Statistics:							
SEXDIF=FEMALE - MALE							
FOR-- SEXRATE							
■							
TABLE REQUEST : CHSEX							
CHSEX	STATISTIC	EST_TYPE	ESTIMATE	STDERROR	LOWER	UPPER	CV(%)
1	SUM_WTS	VALUE	55769.64	16143.276	22197.83	89341.46	28.946
2	SUM_WTS	VALUE	161603.89	33957.965	90984.36	232223.42	21.013
9	SUM_WTS	VALUE	0.00	0.000	0.00	0.00	0.000
MARGINAL	SUM_WTS	VALUE	217373.53	44403.234	125031.85	309715.21	20.427
1	SUM_WTS	PERCENT	25.66	5.263	14.71	36.60	20.515
2	SUM_WTS	PERCENT	74.34	5.263	63.40	85.29	7.080
9	SUM_WTS	PERCENT	0.00	0.000	0.00	0.00	0.000
MARGINAL	SUM_WTS	PERCENT	100.00	0.000	100.00	100.00	0.000
1	SEXRATE	VALUE	1.62	0.469	0.64	2.59	28.946
2	SEXRATE	VALUE	4.94	1.038	2.78	7.10	21.013
9	SEXRATE	VALUE	.	.	.	.	.
MARGINAL	SEXRATE	VALUE	6.56	1.338	3.77	9.34	20.410
LABEL	STATISTIC	ESTIMATE	STDERROR	LOWER	UPPER	CV(%)	
SEXDIF	SEXRATE	3.32	0.896	1.46	5.18	26.974	
Warning: One observation was excluded from the preceding table. This observation was excluded because it contained one or more requested variables with missing values.							

## **APPENDIX**

## APPENDIX

### TECHNICAL DETAILS REGARDING INTERRELATIONSHIPS AMONG CASE SAMPLES AND AVAILABLE DATA FORMS FOR CHILDREN IN THE NIS-3 PUBLIC USE FILES

This appendix provides a more detailed explanation of the distribution of information in the NIS-3 Public Use Files for users who require a more in-depth understanding of the relation between the Main File and the CPS-Only File. This Appendix also explains why it was necessary to exclude the information about CPS investigation activities from the Main File and why estimates from the CPS-only file which specifically concern countable children must be reported only in terms of percentages.

Part 1 contained a graph, Figure 1-2, which offered a very general overview of the categories of children in the NIS. The graph given here as Figure A-1 enlarges that view and places it into the larger context of the general sampling frames from which the study children were selected. Although this is a more elaborated view than was provided in Figure 1-2, it should be recognized that Figure A-1 is itself a simplification in that it does not represent a number of issues that had to be addressed during data processing and analyses (e.g., the duplication of children within the CPS sector because of multiple investigations involving the same child; the duplication within the Non-CPS sector because of multiple sentinel sources recognizing the same child as maltreated or the same source recognizing the same child as the victim of different maltreatment events at different times; etc). Nevertheless, the perspective given by Figure A-1 is more comprehensive than that given in Part 1 of this manual, and it can serve to clarify several important issues.

#### **A.1           Frames and Samples in the NIS-3**

Each arrow in Figure A-1 points to and labels the boundary surrounding an important subgroup of children in the NIS-3. The labels and arrows for the two large rectangular areas are given in the lower left corner of the chart. The rectangle with the solid boundary reflects all children listed in CPS investigations during the NIS study data period (September 5 through December 4, 1993). Data were collected about all children in this rectangle.

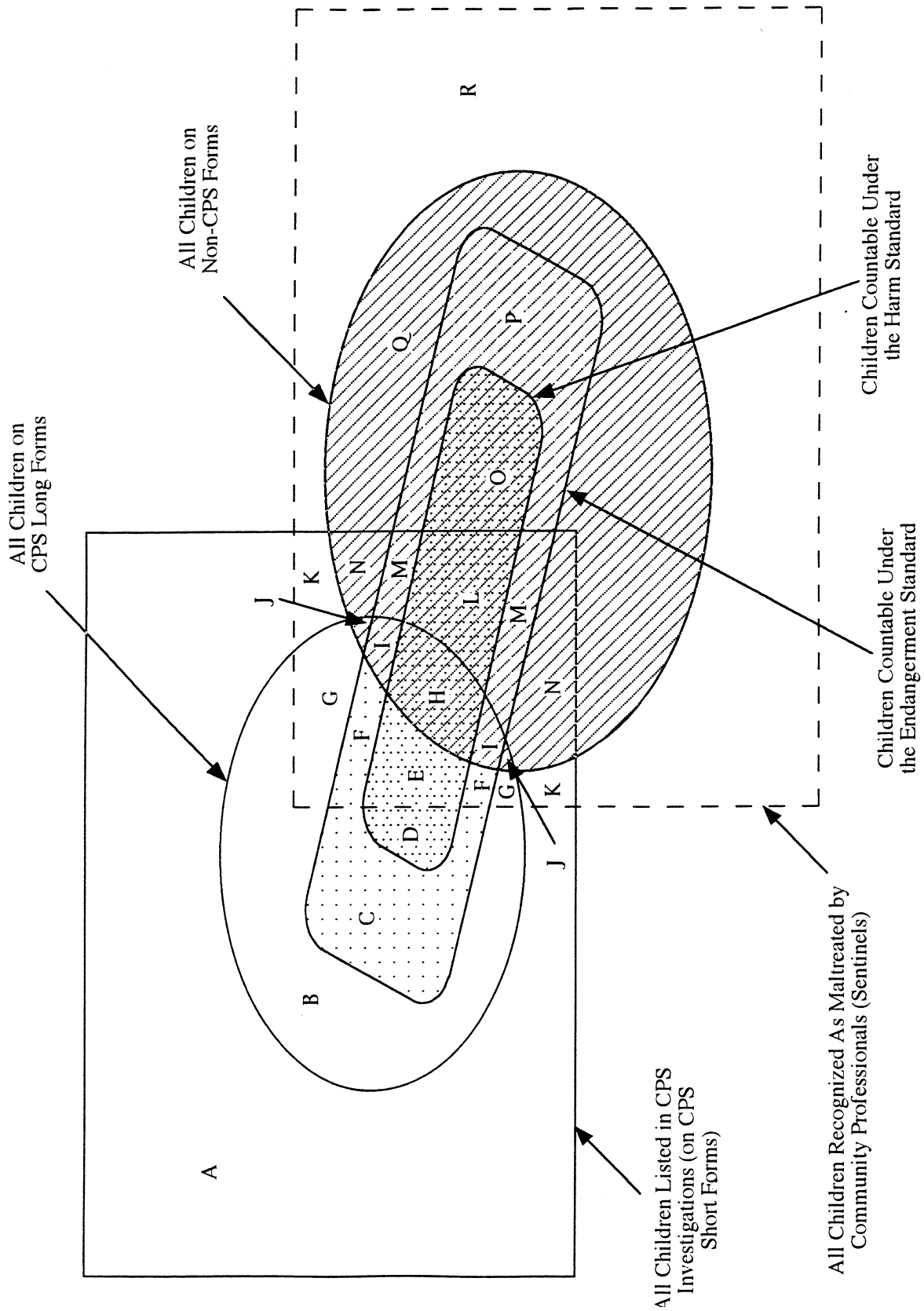


Figure A-1. The interrelationships among frames, samples, and countability classifications for children in the NIS.

The rectangle with the dashed boundary reflects all children who were recognized as maltreated during this same period by community professionals who had some chance of being selected as NIS-3 sentinels in the study counties. This boundary is shown as a dashed line because the group of children constituted a "sampling frame" in only a theoretical sense. That is, the NIS never explicitly established a comprehensive listing of this full set of children prior to sampling these children. Instead, this frame of children remained implicit in the design of the NIS Non-CPS sentinel sample.<sup>1</sup>

Note that the two rectangular frames intersect—some of the children who are recognized as maltreated by community professionals (sentinels) are among those who were listed in cases investigated by CPS. The dotted line boundary around the implicit frame of children recognized by sentinels remains an implicit boundary (conceptually understood but not empirically documented) in this intersection. The reason it is not empirically documented is that, within the NIS data, it is not possible to objectively distinguish between children who are in A and those in K, between children in B and those in G, between those in C and those in F, or between those in D and those in E. During the original design of the NIS-3, it was supposed that the information concerning the source of the report to CPS might provide a basis for making these distinctions, but that information turned out to be unreliable for that purpose.<sup>2</sup>

The two large oval shapes in Figure A-1 reflect the children who were sampled for data collection via CPS Long Forms and/or via Non-CPS Forms. The labelled arrows that identify these ovals are given in the upper right section of the figure. The figure depicts the circumstances in medium and large counties, where information about all the children in this rectangle was captured on CPS Short Forms. A slightly different situation described small counties, where all the investigated CPS cases were targeted for CPS Long Forms (and CPS Short Forms were not used). To envision the situation in the small counties, imagine that the "CPS Long Forms" oval was expanded to fill the entire CPS rectangle. The "Non-CPS Forms" oval includes all the children who were submitted to the NIS on Non-CPS data forms by the Non-CPS sentinel sample. These children were randomly sampled from the full set (i.e., implicit frame) of children encountered by NIS-type sentinels by virtue of the selection of the sentinels who saw them into the NIS-3 Non-CPS sentinel sample. Thus, these sampled children represent the full set of children who were recognized as maltreated by all eligible NIS-type sentinels in the United States.

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<sup>1</sup> That is, as described in the *Sample Selection Report*, frames were established of sentinel agencies from which agencies were sampled for participation. Then, within participating agencies, frame listings of the targeted sentinels were compiled and samples of those sentinels were selected for participation. Finally, the children who were identified as maltreated by the sampled sentinels were used to represent all the children recognized as maltreated by sentinels in the original frame.

<sup>2</sup> Children in areas I, H, J, L, M, and N are all known to be within the intersection of the two large frames by virtue of the fact that they came into the study on Non-CPS Forms and were also listed on a CPS Short Form, but the report source to CPS often failed to show that they were within this intersection. (For example, the source of report to CPS might be listed in the record as "neighbor," which would suggest that the child was not within this intersection, yet the same child may have been submitted to the study on a Non-CPS Form by a hospital sentinel, thus revealing that the child was actually within the intersection.)



Also observe that the two ovals in Figure A-1 intersect—some of the children who were submitted to the study on Non-CPS Forms were also among those sampled for data collection onto CPS Long Forms. Specifically, the children who are in the areas lettered H, I, and J came into the study on both a CPS Long Form and a Non-CPS Form. During the case unification process, either one of these data forms might have been selected as the "best" (most informative) record for the child.<sup>3</sup> As a result, some children in H, I, and J are represented in the NIS-3 Main Public Use File on a CPS Long Form whereas others are represented on a Non-CPS Form.

The oval reflecting the children received on Non-CPS Forms also intersects with the rectangle representing the full CPS frame of investigated children. Their full intersection includes the areas labelled H, I, J, L, M, and N. As noted above, children in areas H, I, and J were submitted on both a CPS Long Form and a Non-CPS form. Children in areas L, M, and N were submitted to the study on both a CPS Short Form and a Non-CPS Form. From the CPS data forms on these children, it was determined whether CPS investigated their maltreatment.<sup>4</sup>

## **A.2 Levels of Coding**

In Part 1, Figure 1-1 showed that reports to the study flowed in two directions and underwent two different processes simultaneously—unduplication and evaluation. That is, while duplicate reports on the same child were being identified and unified, details concerning the child's maltreatment were also being evaluated to assess their conformity to the NIS definitional standards. As summarized in Part 1, two definitional standards were applied to each case: the Harm Standard and the Endangerment Standard. Children whose maltreatment fit the Harm Standard were included among those whose maltreatment fit the Endangerment Standard, but the Endangerment Standard is more lenient so it includes additional children beyond those who are countable under the Harm Standard.

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<sup>3</sup> See the *Analysis Report*, Chapter 7, for a detailed account of how a unified record was selected for children who were the subject of duplicate submissions to the study.

<sup>4</sup> When the child was listed on a CPS Long Form, this was decided on the basis of whether the child was an alleged victim, an indicated victim, or described as the victim of countable maltreatment on the data form. When the child was listed on a CPS Short Form, it was decided on the basis of whether the child was checked as an "Alleged Victim" on the form.

The two oblong shapes that cross-cut the ovals in Figure A-1 represent the children who were countable under the Harm Standard (the smaller of the two oblongs) and Endangerment Standard children (the larger of the two oblongs). The larger oblong contains the smaller one, reflecting the fact that the Harm Standard children are a subset of the Endangerment Standard children. Only children who were submitted to the NIS on CPS Long Forms or on Non-CPS Forms were evaluatively coded to determine if their maltreatment met either definitional standard, because these were the only data forms which gathered information about the details of maltreatment. The figure illustrates this fact by representing the countable children as a subset of the union of those on CPS Long Forms and Non-CPS Forms.

Children who were submitted to the NIS on Non-CPS Forms all underwent evaluative coding. As discussed in the earlier parts of this manual, not every child who was listed on the CPS Long Forms underwent evaluative coding. One of three possible 'levels' of coding was assigned to children listed on CPS Long Forms: (1) Basic Coding Only, (2) Basic Coding and Pre-evaluative Coding, or (3) Full coding, including Basic, Pre-evaluative, and Evaluative. These assignments were made at the outset of the evaluative coding process, and they served to screen children out of the process altogether, to limit the extent of evaluation needed for certain children, and to identify children for whom full coding details would be necessary and/or useful to have.

Children who were merely listed as uninvolved members of investigated household were assigned to the Basic Coding Only category. This meant that they were effectively screened out of the evaluative decision-making altogether (because there were no details about maltreatment for coders to evaluate).

Next were children who were given both Basic and Pre-evaluative Coding. This set included any child who had been an alleged victim in the CPS investigation as well as any child who qualified for Full Coding. Pre-evaluative coding involved reviewing, and if necessary correcting, the basic codes concerning the child's parent(s) and other involved adults. It was necessary to do this on a child-by-child basis because the CPS Long Form is a household-level form and the parties it listed as 'parents' were sometimes not truly 'parents' for all the household children. Pre-evaluative coding focused on capturing the child's household configuration accurately and on characterizing the nature of allegations of maltreatment.<sup>5</sup>

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<sup>5</sup> If the child had not been alleged as a victim, but was indicated to be a victim or was countable under the NIS definitions, then the maltreatment that was indicated or countable was described in these "allegation" codes, as explained in the definition of the MALTX\_F codes in the CPS-Only Codebook in Part 3.

The final category of children were those who were accorded Full Coding. Full evaluative coding involved reviewing the details of maltreatment described anywhere on the data form (including the narrative responses) and applying the rules of the coding system described in the *Analysis Report* (especially Appendix C of that document). Full evaluative coding was performed for those children who were (1) countable under the NIS definitions, (2) indicated as victims in the CPS investigation, (3) identified as drug affected newborns during the CPS investigation, or (4) identified as victims of child perpetrators during the CPS investigation.

### **A.3 Children Included in the NIS-3 Main Public Use File**

The NIS-3 Main Public Use File includes all the children who received Full Evaluative Coding, as described above. This means that it includes all the countable children (i.e., those in sectors C, D, E, F, H, I, L, M, O, and P in Figure A-1). It also includes

- all the children in sectors N and Q;
- those children in sector J for whom the Non-CPS Form was selected to represent the unduplicated child's record; and
- those children in sectors B, G, and J who qualified for Full evaluative coding because they were indicated victims in the CPS investigation, drug-affected newborns, or victims of child perpetrators.

Note that the information about whether or not CPS had investigated a child's maltreatment could be obtained from either a CPS Long Form or a CPS Short Form, so this information was available for all the children in the NIS-3 Main Public Use File. However, the data items on the CPS Short Form are extremely limited, providing just enough information to permit the NIS to identify duplicate reports on the same child and to determine whether the CPS investigation involved the child's maltreatment. Because of this, no other information about the CPS investigations of the children in sectors L, M, and N are available. For this reason, no details about CPS investigations were provided for any of the children in the NIS-3 Main Public Use File. This point is elaborated further in the discussion under section A.5, below.

#### **A.4 Children Included in the NIS-3 CPS-Only Public Use File**

The NIS-3 CPS-Only Public Use File includes all the children who were listed on CPS Long Forms. This means that it includes all the children in sectors B, C, D, E, F, G, H, I, and J in Figure A-1. Note that the children in this file reflect a nationally representative sample of all children listed in CPS investigations. Also note that the details about the CPS investigation activities are available for all the children in this database. Thus, this file can be used to develop estimates about the population of children in CPS investigations in general, and their experiences, regardless of their relevance to the NIS definitions.

The records in this file reflect children with different levels of coding. The information on the CPS Long Form itself was available for all the children (i.e., all these children received Basic Coding), so those items have values for everyone. The Pre-evaluative codes on the CPS Transmittal Form were provided for all the children who qualified for pre-evaluative coding, as described above. Also discussed above, not all these children qualified for the further coding process involved in Full evaluative coding. Only those children who did so received codes for the fields in the Evaluation section of the CPS Transmittal form. These different groups of children can be identified in this database through the variable CODING, which specified the level of coding assigned to the child at the outset of the evaluative coding process.

#### **A.5 Estimates Concerning Countable Children In CPS Investigations**

In Part 1, users were cautioned about the appropriate use of the NIS-3 Public Use files in connection with countable children in CPS investigations. In that discussion, it was noted that the two files would produce slightly different estimates concerning numbers of countable children in CPS investigations. The reasons for these differences and the importance of using the appropriate file can be understood by reference to Figure A-1 and considering, for simplicity, just the Harm Standard countable children.

In the NIS-3 Main Public Use File the Harm Standard children who were investigated by CPS are those in sections D, E, H and L. Note that the children in section L were known to be countable by virtue of the details of maltreatment provided on their Non-CPS Form, while they were known to have had their maltreatment investigated by CPS by virtue of their appearance as an alleged victim on a CPS Short Form.

By contrast, in the NIS-3 CPS-Only Public Use File, the Harm Standard children investigated by CPS are represented in sections D, E, and H. The children in section L are missing because only CPS Long Forms are provided in this data file. The weights assigned to the children in section H take into account the fact they must also represent the children in section L.

Because of sampling error, the weighted total of children in sector H in the CPS-Only file is not exactly equivalent to the weighted total of children in sectors H and L in the Main file.

Note that the weights assigned to the children in the NIS-3 Main Public Use File were designed to provide the best estimates concerning the countable children per se. That is why this is the file that should be used for countable children whenever possible. Because details about CPS investigations are not available for many of the countable children who were investigated by CPS, it is necessary to rely on the CPS-Only file when these details are of interest. When doing so, however, it is crucial to avoid implying that there is any real difference between the two files in the total number of countable children who were investigated by CPS (since any apparent discrepancies merely stem from sampling error). As instructed in Part 1 and reiterated here, users should avoid this implication by phrasing their findings concerning CPS investigation details in terms of the percentage of countable investigated children to whom it applies. For instance, a user might say the following:

An estimated 437,300 children who were maltreated under the Harm Standard definitions had their maltreatment investigated by CPS. Among these children, \_\_\_ percent were indicated to be victims in their CPS investigation, and \_\_\_ percent lived in households where there had been a previous substantiated report concerning abuse or neglect.

In this example statement, which is factually correct on the basis of the NIS-3 data, the estimated total (437,300 children) was generated using the NIS-3 Main Public Use File (and rounding the estimate to the nearest hundred, to avoid suggesting it has exact precision), while the percentages were generated using the NIS-3 CPS-Only Public Use File.