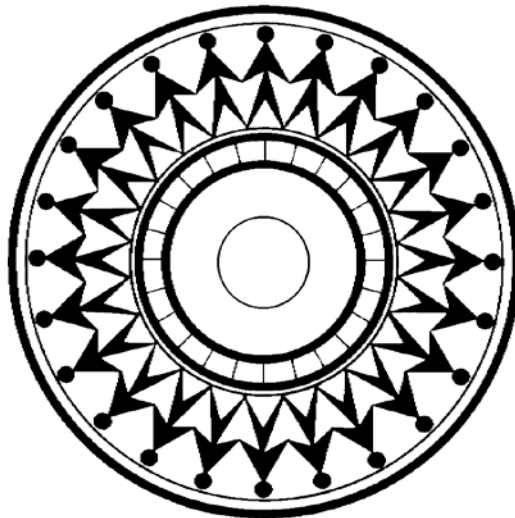


**AFCARS USER'S GUIDE  
ADOPTION FILE  
ANNUAL SUPPLEMENT, 2012  
VERSION 1**

**NDACAN DATASET NUMBER 177**



**National Data Archive on Child Abuse and Neglect**

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## PREFACE

The year 2012 data for the *Adoption and Foster Care Analysis and Reporting System (AFCARS)* have been given to the National Data Archive on Child Abuse and Neglect for public distribution by the Children's Bureau. Funding for the project was provided by the Children's Bureau, Administration on Children, Youth and Families, Administration for Children and Families, U.S. Department of Health and Human Services. This user's guide supplement contains details about the AFCARS Adoption data for the year 2012, and should not be used for details of earlier releases.

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## ACKNOWLEDGEMENT OF SOURCE

Authors should acknowledge the National Data Archive on Child Abuse and Neglect and the original collector of the data when they publish manuscripts that use data provided by NDACAN. Users of these data are urged to follow some adaptation of the statement below.

The data used in this publication were made available by the National Data Archive on Child Abuse and Neglect, Cornell University, Ithaca, NY, and have been used with permission. Data from the *Adoption and Foster Care Analysis and Reporting System (AFCARS)* were originally collected by the Children's Bureau. Funding for the project was provided by the Children's Bureau, Administration on Children, Youth and Families, Administration for Children and Families, U.S. Department of Health and Human Services. The collector of the original data, the funder, NDACAN, Cornell University and their agents or employees bear no responsibility for the analyses or interpretations presented here.

## PUBLICATIONS SUBMISSION REQUIREMENTS

In accordance with the *Terms of Use Agreement* for these datasets, users of these data are required to deposit a copy of any published work or report based wholly or in part on these data with NDACAN. A copy of any completed manuscript, thesis abstract, or reprint should be sent to the National Data Archive on Child Abuse and Neglect, Cornell University, Family Life Development Center, Beebe Hall, Ithaca, New York 14853. Such copies will be used to provide funding agencies with essential information about the use of NDACAN resources and to facilitate the exchange of information about research activities among data users and contributors.

## PURPOSE OF THE 2012 USER'S GUIDE SUPPLEMENT

The *AFCARS User's Guide Annual Supplement, 2012* is intended for use with the *Adoption and Foster Care Analysis and Reporting System (AFCARS) User's Guide and Codebook*. The *User's Guide* contains a general overview of the AFCARS project and detailed descriptions of the variables contained in the adoption and foster care files. This *Supplement* contains information specific to the 2012 AFCARS **Adoption** dataset, *Ad2012v1*. This document contains

- a table specifying which states submitted data in the years 1995 through 2012
- a count of the number of records submitted by each state for the 2012 files
- explanatory footnotes provided by the states

**PART 2**

**AFCARS**

**ADOPTION DATABASE**



## HISTORY OF STATE ADOPTION SUBMISSIONS TO AFCARS

By AFCARS regulation, states were required to submit AFCARS data beginning in 1995; it was not until 1998, however, that penalties established by the same regulation became applicable. Data submitted prior to 1998 were often incomplete. The table below indicates the years in which individual states submitted adoption data. Please note that a black dot in a cell does not imply that a state's data file was complete; some state files contained very few records. The number of records in each state's files is contained in the *State Records Counts Table* for the year. 1999 was the first year that all states (including Puerto Rico and the District of Columbia) submitted data. Since then, all states have submitted data each year.

### STATES THAT SUBMITTED ADOPTION DATA

STATE	1995	1996	1997	1998	1999+
Alabama				●	●
Alaska				●	●
Arizona	●	●	●		●
Arkansas	●	●	●	●	●
California	●	●	●	●	●
Colorado	●	●	●	●	●
Connecticut			●	●	●
Delaware	●	●	●	●	●
District of Columbia	●	●	●	●	●
Florida	●	●	●	●	●
Georgia	●	●	●	●	●
Hawaii		●	●	●	●
Idaho	●	●	●	●	●
Illinois	●	●	●	●	●
Indiana	●	●	●	●	●
Iowa	●	●	●	●	●
Kansas			●	●	●
Kentucky	●	●	●	●	●
Louisiana		●	●	●	●
Maine	●	●	●	●	●
Maryland	●	●	●	●	●
Massachusetts	●	●	●	●	●
Michigan				●	●
Minnesota	●	●	●	●	●
Mississippi	●	●	●	●	●
Missouri				●	●
Montana		●	●	●	●
Nebraska		●	●		●
Nevada			●		●
New Hampshire				●	●

STATE	1995	1996	1997	1998	1999+
New Jersey	●	●	●	●	●
New Mexico	●	●	●	●	●
New York			●	●	●
North Carolina	●	●	●	●	●
North Dakota			●	●	●
Ohio	●	●	●	●	●
Oklahoma	●	●	●	●	●
Oregon	●	●	●	●	●
Pennsylvania		●	●	●	●
Rhode Island	●	●	●	●	●
South Carolina				●	●
South Dakota	●	●	●	●	●
Tennessee	●	●	●	●	●
Texas	●	●	●	●	●
Utah	●	●	●	●	●
Vermont	●	●	●	●	●
Virginia				●	●
Washington		●	●	●	●
West Virginia				●	●
Wisconsin	●	●	●	●	●
Wyoming				●	●
Puerto Rico		●	●	●	●

## 2012 ADOPTION UNDUPLICATED RECORD COUNTS

States submit data to AFCARS twice a year; the first reporting period ends April 30 and the second September 30. Prior to each annual release, the Children's Bureau combines submissions for the two reporting periods and removes duplicate records. The table that follows lists the number of records contained in the unduplicated annual 2012 files by state.

<i>StFIPS</i>	<i>State</i>	<i>Records</i>
01	Alabama	587
02	Alaska	309
04	Arizona	2,275
05	Arkansas	703
06	California	5,938
08	Colorado	905
09	Connecticut	490
10	Delaware	91
11	District of Columbia	114
12	Florida	3,294
13	Georgia	915
15	Hawaii	187
16	Idaho	273
17	Illinois	1,845
18	Indiana	1,713
19	Iowa	1,032
20	Kansas	764
21	Kentucky	784
22	Louisiana	655
23	Maine	291
24	Maryland	455
25	Massachusetts	754
26	Michigan	2,559
27	Minnesota	498
28	Mississippi	425
29	Missouri	1,228
30	Montana	225
31	Nebraska	417
32	Nevada	766
33	New Hampshire	96
34	New Jersey	1,023
35	New Mexico	345
36	New York	2,182

<i>StFIPS</i>	<i>State</i>	<i>Records</i>
37	North Carolina	1,329
38	North Dakota	155
39	Ohio	1,250
40	Oklahoma	1,533
41	Oregon	683
42	Pennsylvania	1,881
44	Rhode Island	191
45	South Carolina	776
46	South Dakota	126
47	Tennessee	813
48	Texas	5,039
49	Utah	562
50	Vermont	172
51	Virginia	639
53	Washington	1,227
54	West Virginia	632
55	Wisconsin	761
56	Wyoming	81
72	Puerto Rico	47
<b>Total Records</b>		<b>52,035</b>

## 2012 ADOPTION STATE FOOTNOTES

States are encouraged, but not required, to provide explanatory footnotes along with their data submissions. The footnotes allow states to provide additional information they consider necessary for proper interpretation of their data. The footnotes may include such information as:

- general characteristics of the state's Adoption system
- characteristics of the population, including whether certain types of placements are included
- state definitions that differ from federal AFCARS definitions.

Footnotes for the 2012 versions of the Adoption data follow, sorted by element number and state. General state footnotes that are not specific to an element or period are given an element number of 00.

**NDACAN strongly recommends that users review the 2012 footnotes that follow before attempting to analyze the AFCARS Adoption data.**

### FY2012 Adoption Footnotes

<i>Element</i>	<i>State</i>	<i>Note</i>
A00	Indiana	Due to late development of Adoption section in new system, data entry has not yet been completed for approximately 50% of finalized adoptions. These will be added in a subsequent submission.
A00	Louisiana	SEVERAL AFCARS DATA EXTRACTION CODE REVISIONS WERE COMPLETED PER TA REPORT FROM NRCCWDT.
A00	Utah	There are a total of 31 adoption maintenance cases that did not come from FC cases.
A00	Utah	There are a total of 16 adoption maintenance cases that did not come from FC cases.
A00	Utah	There are a total of 13 adoption maintenance cases that did not come from FC cases.
A00	Utah	There are a total of 25 adoption maintenance cases that did not come from FC cases.
A00	Utah	There are a total of 24 adoption maintenance cases that did not come from FC cases.
A01	Utah	There are a total of 3 cases where a child was adopted from a single adoptive parent whose rights were terminate. There is no legal father to report.
A03	Florida	SYSTEM GENERATED AFCARS ID NUMBER IS ENCRYPTED.
A03	Iowa	Record numbers are encrypted in data submission.
A03	Nebraska	THE ADOPTION RECORD NUMBER IS A UNIQUE NUMBER FOR CHILD WHICH HAS BEEN ENCRYPTED.

## FY2012 Adoption Footnotes

<i>Element</i>	<i>State</i>	<i>Note</i>
A03	Oregon	Difficulties with the Case Closure Batch in the new SACWIS prevented progression from Pre-Adoptive to Adoptive Cases until recently thus fewer Adoption Cases opened then FC Discharges to Adoption during the report period.
A04	Iowa	The extract does not reflect private adoptions.
A05	Iowa	A date of birth is required. We do not estimate date of birth
A05	Michigan	ALL CHILDREN IDENTIFIED AS 18 YEARS OR OLDER ARE STILL WARDS OF THE STATE AND ARE CONSIDERED DEPENDENT CHILDREN
A06	Nebraska	CHILD WITH UNKNOWN SEX IS INCLUDED IN THE MALE CATEGORY.
A07	Nebraska	UNABLE TO DETERMINE INCLUDES PERSONS WITH RACE INDICATED AS OTHER.
A09	Iowa	Special needs includes: retardation; blind; deaf; physical; medical, emotional, or learning disability; substance abuse; racial background; age; sibling group; and delinquency.
A09	Nebraska	DETERMINATION OF SPECIAL NEEDS IS BASED ON WHETHER THE CHILD MEETS THE CRITERIA FOR SUBSIDY AS DEFINED BY THE STATE.
A10	Iowa	Special needs includes: retardation; blind; deaf; physical; medical, emotional, or learning disability; substance abuse; racial background; age; sibling group; and delinquency.
A10	Nebraska	STATE POLICY STATES THAT SPECIAL NEEDS ARE AGE, GENERALLY AGE 8 AND OLDER, SIBLING GROUPS OF 3 OR MORE TO BE PLACED TOGETHER, BEHAVIORAL, EMOTIONAL, PHYSICAL, OR MENTAL DISABILITY, AND RACE, BUT RACE INSUFFICIENT BY ITSELF.
A15	Iowa	Other conditions include a medical learning disability, medical condition, medical alcohol abuse and drug abuse.
A15	Nebraska	INCLUDES CHILDREN WITH ATTENTION DEFICIT DISORDER AND/OR INFECTIOUS DISEASES.
A17	Hawaii	THIS DATA IS NOT ALWAYS AVAILABLE WHEN THE CHILD IS ADOPTED AS IT IS NOT ON THE BIRTH CERTIFICATE, FATHER IS UNKNOWN OR HAS MOVED AWAY AND CANNOT BE LOCATED.
A17	Michigan	AGENCY REPORTED BIRTH YEAR OF BIRTH FATHER AS UNKNOWN. IN SOME CASES WHEN THE EXACT YEAR OF BIRTH WAS UNKNOWN, AN ESTIMATED YEAR WAS ENTERED.
A19	Montana	Customary adoptions through tribal courts require a tribal court to have legal authority over the child and modify the custodial and legal relationship of the birth parents with the child but do not terminate the birth parent's rights.
A19	Montana	Customary adoptions through tribal courts require a tribal court to have legal authority over the child and modify the custodial and legal relationship of the birth parents with the child but do not terminate the birth parent's rights.
A20	Montana	Customary adoptions through tribal courts require a tribal court to have legal authority over the child and modify the custodial and legal relationship of the birth parents with the child but do not terminate the birth parent's rights.

## FY2012 Adoption Footnotes

<i>Element</i>	<i>State</i>	<i>Note</i>
A29	Indiana	Functionality to record step parent relationship to child was not completed until October; this data will be added in a subsequent submission.
A29	Iowa	Iowa does not track non-welfare stepparent adoptions.
A30	Indiana	Functionality to record other relationship to child was not completed until October; this data will be updated in a subsequent submission.
A30	Iowa	Iowa law defines relative as the fourth degree of consanguinity.
A30	Nebraska	INCLUDES GREAT AUNT/UNCLE, GRT GRANDPARENT, GRT GRT GRT GRANDPARENT, GRT GRT AUNT/UNCLE, GRT GRT GRT GRANDPARENT, GRT GRT GRT AUNT/UNCLE, ADOPTIVE SIBLING, BIOLOGICAL SIBLING, FIRST & SECOND COUSINS, GRANDPARENT, PARENT-IN-LAW, AUNT/UNCLE.
A30	Nebraska	FICTIVE KIN (IE. GODPARENTS) ARE NOT INCLUDED.
A32	Indiana	Functionality to record 'no relationship' to child was not completed until October; this data will be updated in a subsequent submission.
A33	Iowa	Due to Iowa law, we do not perform adoptions that would be considered as "another country" -- AFCARS value 3.
A34	Iowa	The extract does not reflect private, independent, tribal adoptions, birth parent adoptions.
A35	Florida	INCLUDES ONLY CHILDREN ENTERED IN THE INTEGRATED CHILD WELFARE SERVICES INFORMATION SYSTEM (ICWSIS) AND FLORIDA'S SACWIS WITH THE SAME CLIENT IDENTIFICATION NUMBER.
A35	Florida	CODED YES IF PAYMENT WAS MADE FOR MAINTENANCE SUBSIDY, WHETHER OR NOT TITLE IV-E.
A35	Michigan	FIELD WILL DIFFER BETWEEN 2011A AND 2011B DUE TO A NEW PAYROLL SYSTEM.
A36	Florida	INCLUDES ONLY CHILDREN ENTERED IN THE INTEGRATED CHILD WELFARE SERVICES INFORMATION SYSTEM (ICWSIS) AND FLORIDA'S SACWIS WITH THE SAME CLIENT IDENTIFICATION NUMBER.
A36	Florida	MOST RECENT MONTHLY AMOUNT PAID FOR MAINTENANCE SUBSIDY ONLY.
A36	Michigan	FIELD WILL DIFFER BETWEEN 2011A AND 2011B DUE TO A NEW PAYROLL SYSTEM.
A37	Florida	INCLUDES ONLY CHILDREN ENTERED IN THE INTEGRATED CHILD WELFARE SERVICES INFORMATION SYSTEM (ICWSIS) AND FLORIDA'S SACWIS WITH THE SAME CLIENT IDENTIFICATION NUMBER.
A37	Florida	CODED YES IF PAYMENT WAS MADE FOR MAINTENANCE SUBSIDY, TITLE IV-E.
A37	Michigan	FIELD WILL DIFFER BETWEEN 2011A AND 2011B DUE TO A NEW PAYROLL SYSTEM.
A57	Washington	remains out of compliance due to continued data clean up in the beginning of the 2011b report period. Ongoing data entry indicates this element will come into compliance in future report periods.